

PAUL SCHERRER INSTITUT



WIR SCHAFFEN WISSEN – HEUTE FÜR MORGEN

Andreas Menzel :: Coherent X-Ray Scattering Group :: Paul Scherrer Institut

# Ptychographic Nanotomography at SLS

“Round Beam” Mini-Workshop at SOLEIL  
June 14–16, 2017, Saint Aubin, France



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Guizar-Sicairos

**Mariana**  
Verezhak

**Michal**  
Odstrčil

**Viviane**  
Lütz-Bueno

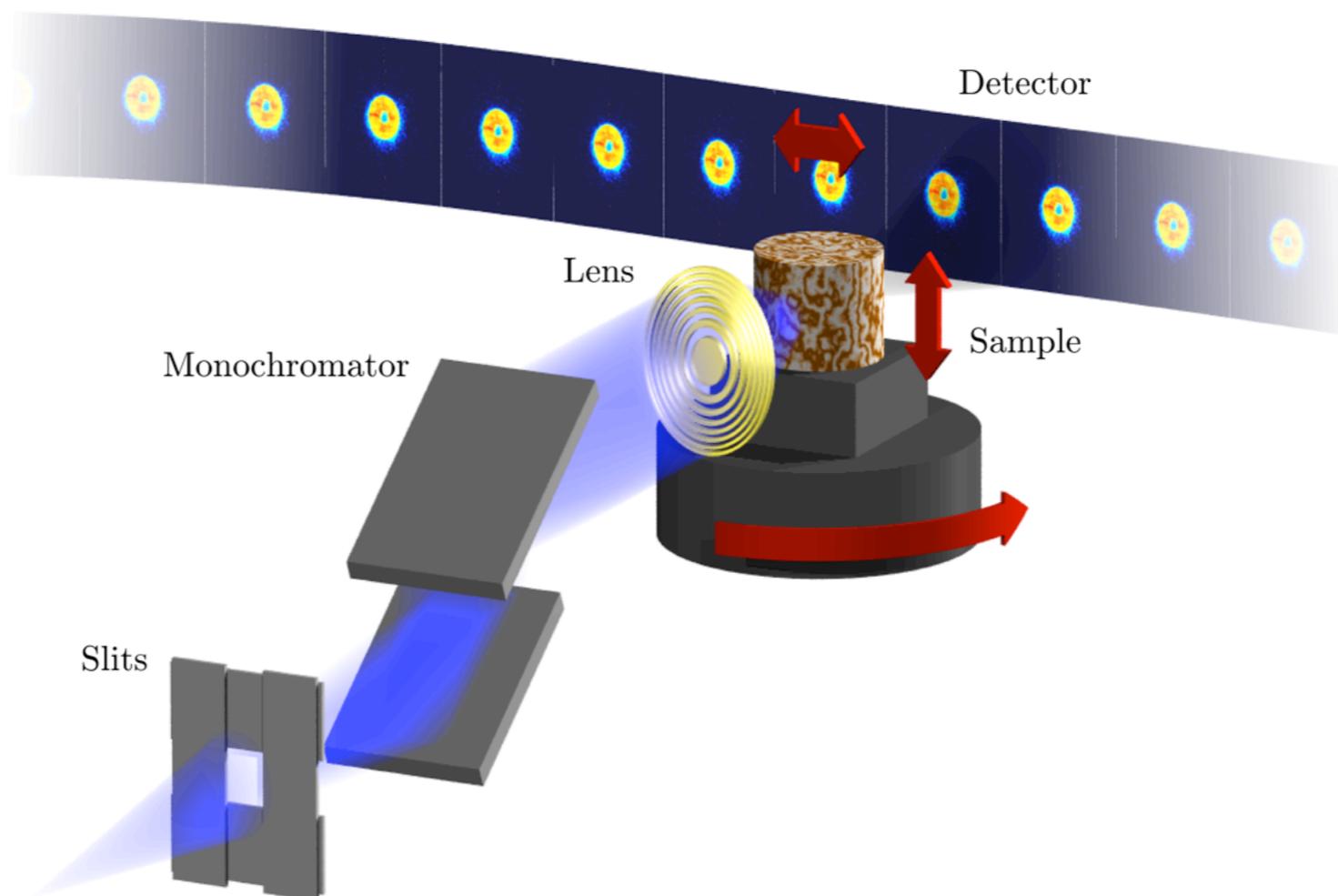
**Xavier**  
Donath

## Coherent X-Ray Scattering Group and Special Thanks to:

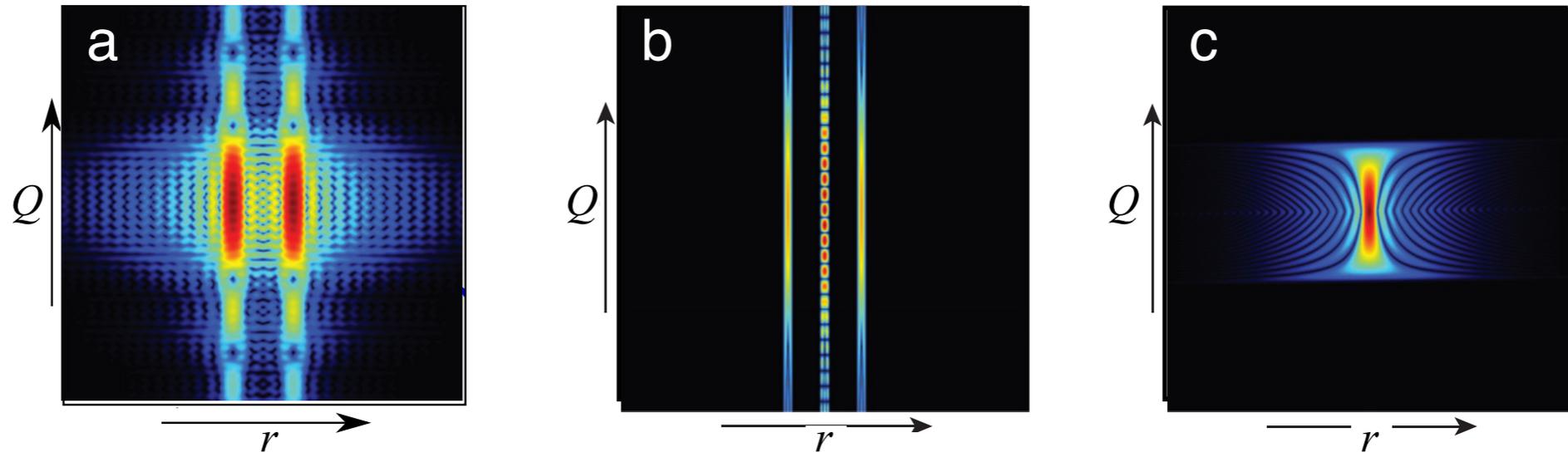
- Mirko Holler, Jörg Raabe, ... (OMNY)
- Christian David and his X-Ray Optics Group
- Bernd Schmitt and his Detector Group
- Derek Feichtinger and his Scientific Computing Group
- J.C. da Silva (now ESRF)
- Alessandro Sepe (Adolphe Merkle Institute, Fribourg)
- Sarah Shahmoradian (BIO @ PSI)
- and other users of cSAXS

# Pty·chog·ra·phy *noun*

*from Greek: πτυξ = to fold (crease)  
and: γραφή = writing, drawing*



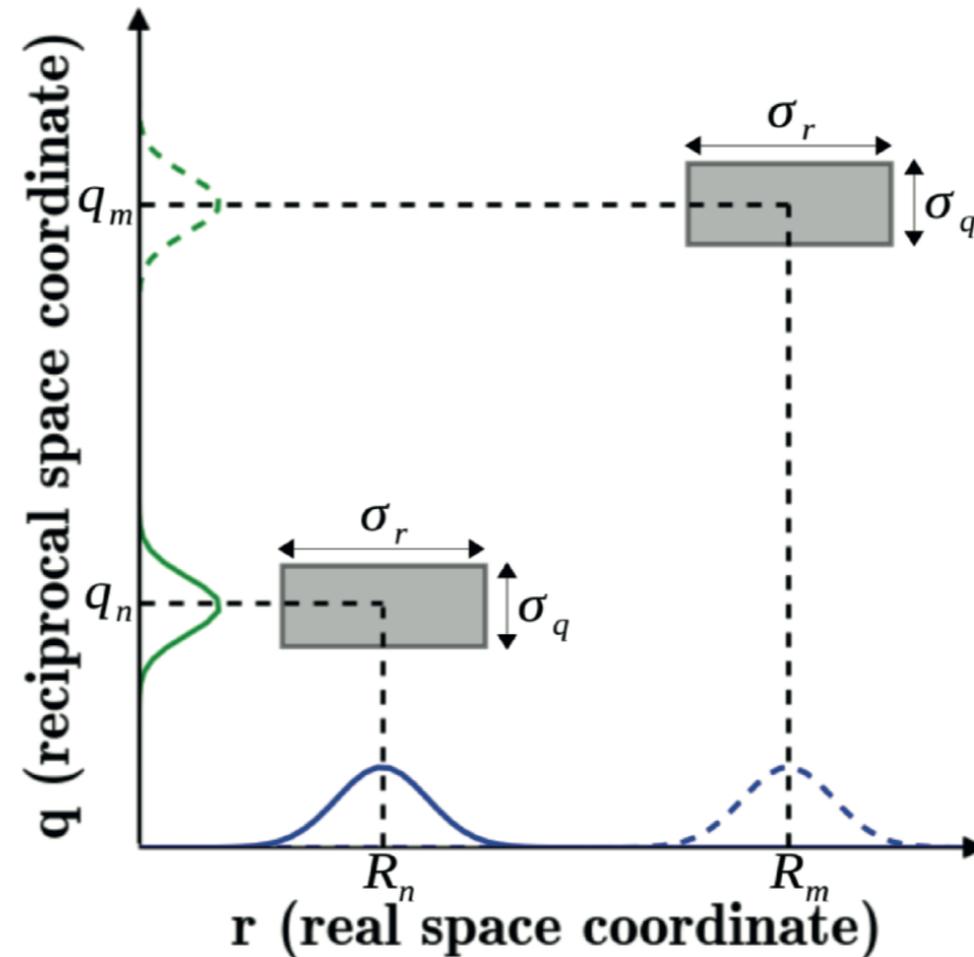
# Ptychographic Sampling Ratio



$$I(\mathbf{q}; \mathbf{R}) = |\Psi(\mathbf{q}; \mathbf{R})|^2 = \left| \int_{-\infty}^{\infty} d\mathbf{r} O(\mathbf{r}) \underbrace{P(\mathbf{r} - \mathbf{R}) \exp(-i\mathbf{q} \cdot \mathbf{r})}_{\equiv P_{\mathbf{R}, \mathbf{q}}^*(\mathbf{r})} \right|$$

The measurable quantity  $I(\mathbf{q}; \mathbf{R})$  is a spectrogram. It represents the energy density of  $O(\mathbf{r})$  in the phase space neighborhood of the position  $(\mathbf{q}; \mathbf{R})$ .

# Ptychographic Sampling Ratio



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# Ptychographic Sampling Ratio

$$\frac{2\pi}{\Delta q \Delta R} = 1$$

“critical sampling”

poor localization properties of the window functions. Numerical stability will be poor, and the reconstruction may be reduced to phase retrieval on individual, decoupled “views.”

$$\frac{2\pi}{\Delta q \Delta R} > 1$$

in real space: “overlap”

in reciprocal space: “oversampling”

$$\frac{2\pi}{\Delta q \Delta R} < 1$$

the window function  
4D phase space

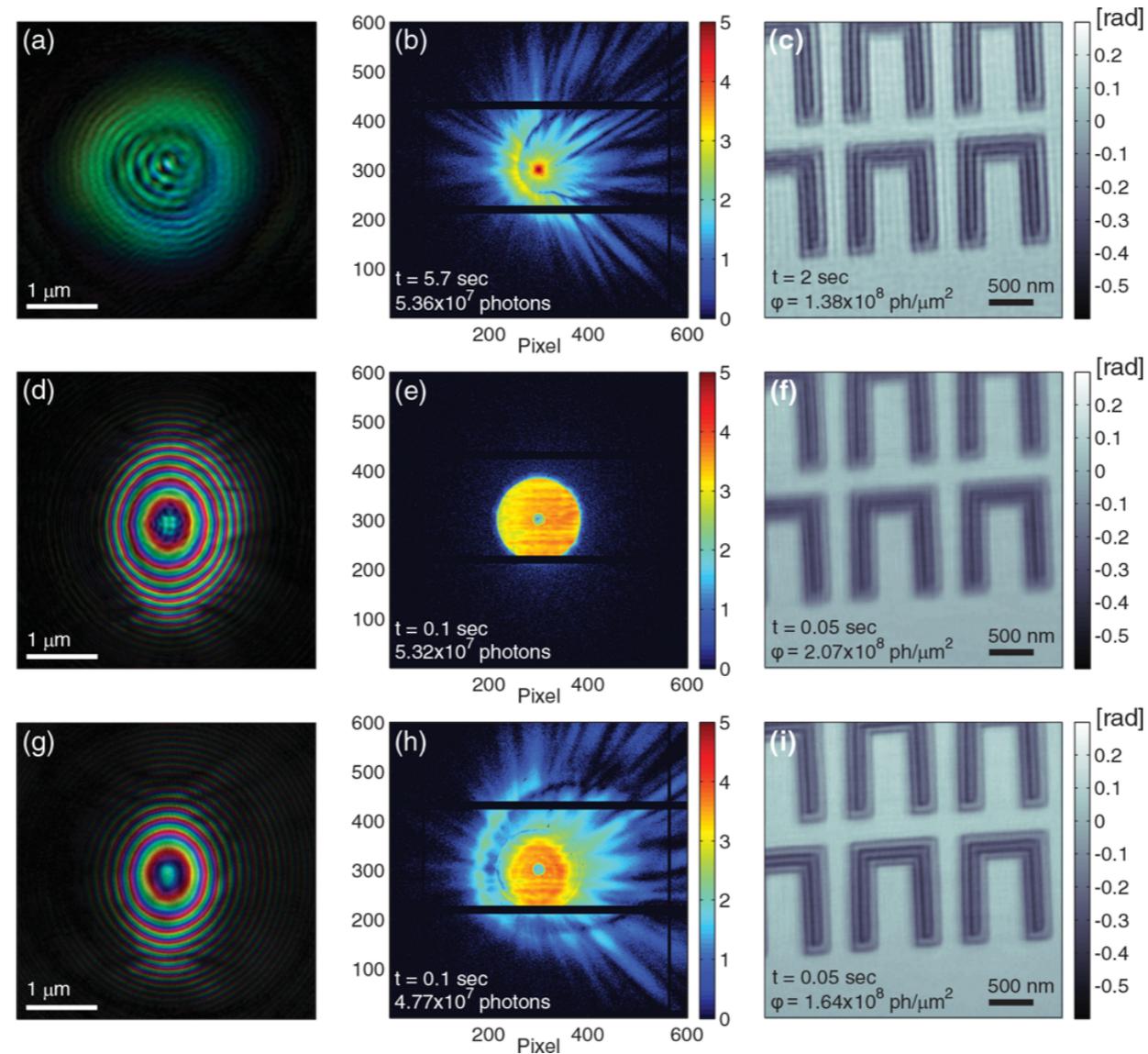
may not completely fill

Note that a successful and stable reconstruction with such poor sampling was observed. We speculate that this could be explained by a structured illumination, featuring a larger footprint in phase space than the minimal size.

T.B. Edo *et al.*, Phys Rev A **87**(5) (2013) 053850

J.C. da Silva, A. Menzel, Opt Express **23**(26) (2015) 33812

# Illumination Design



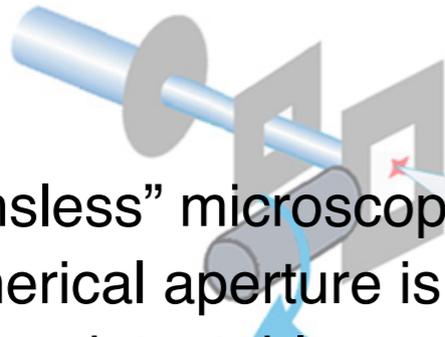
Structured illuminations were found beneficial to reconstruction stability, image resolution, and dose efficiency and allow for “super-resolution.”

A. Maiden *et al.*, JOSAA **28** (2011) 604.

Guizar-Sicairos *et al.*, Phys Rev B **86** (2012) 100103.

# Coherent Diffractive Imaging

## An Overview



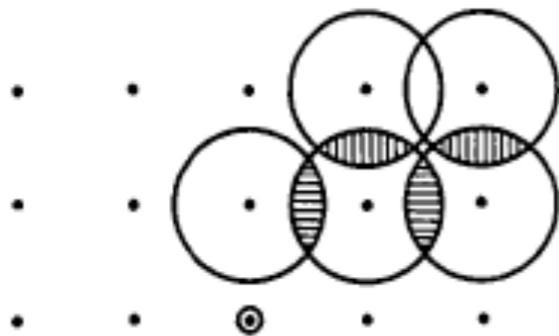
- “Lensless” microscopy numerical aperture is limited only by the detectable scattered signal
- Quantitative Information
- Numerical propagation
  - refocusing (3D information)
  - aberration correction
- Increase in space-bandwidth product

- Detection requires high dynamic range
- Slow convergence rates in particular, the low-frequency information is hard to recover albeit crucially important for high-quality images
- Specimens need to be isolated, i.e., far removed from any other scattering objects

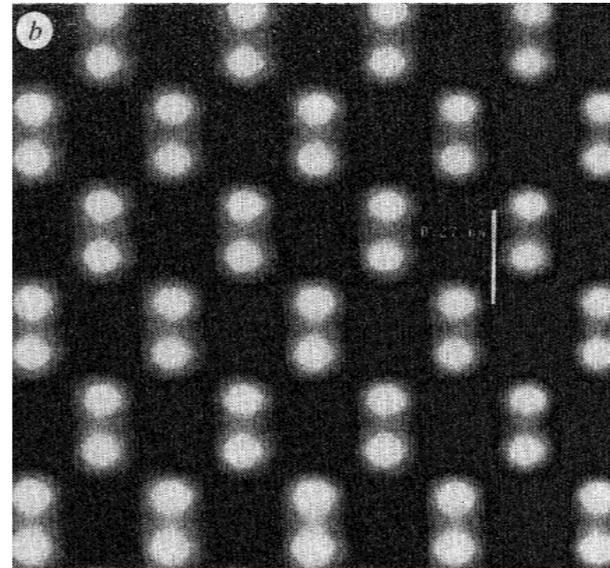
image credit: H. Jiang *et al.*, PNAS **107** (2010) 11234

# Ptychography

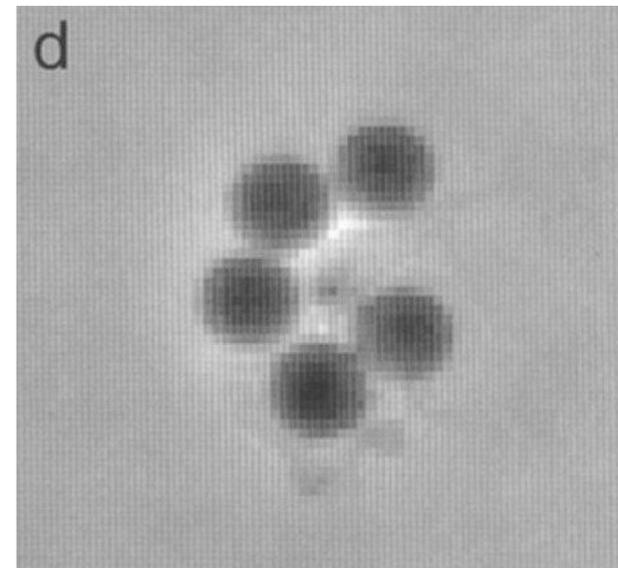
## A Brief History...



Hoppe, *Acta Cryst. A* **25** (1969) 508;  
 Hegerl and Hoppe, *Ber. Physik. Chemie* **74** (1970) 1148



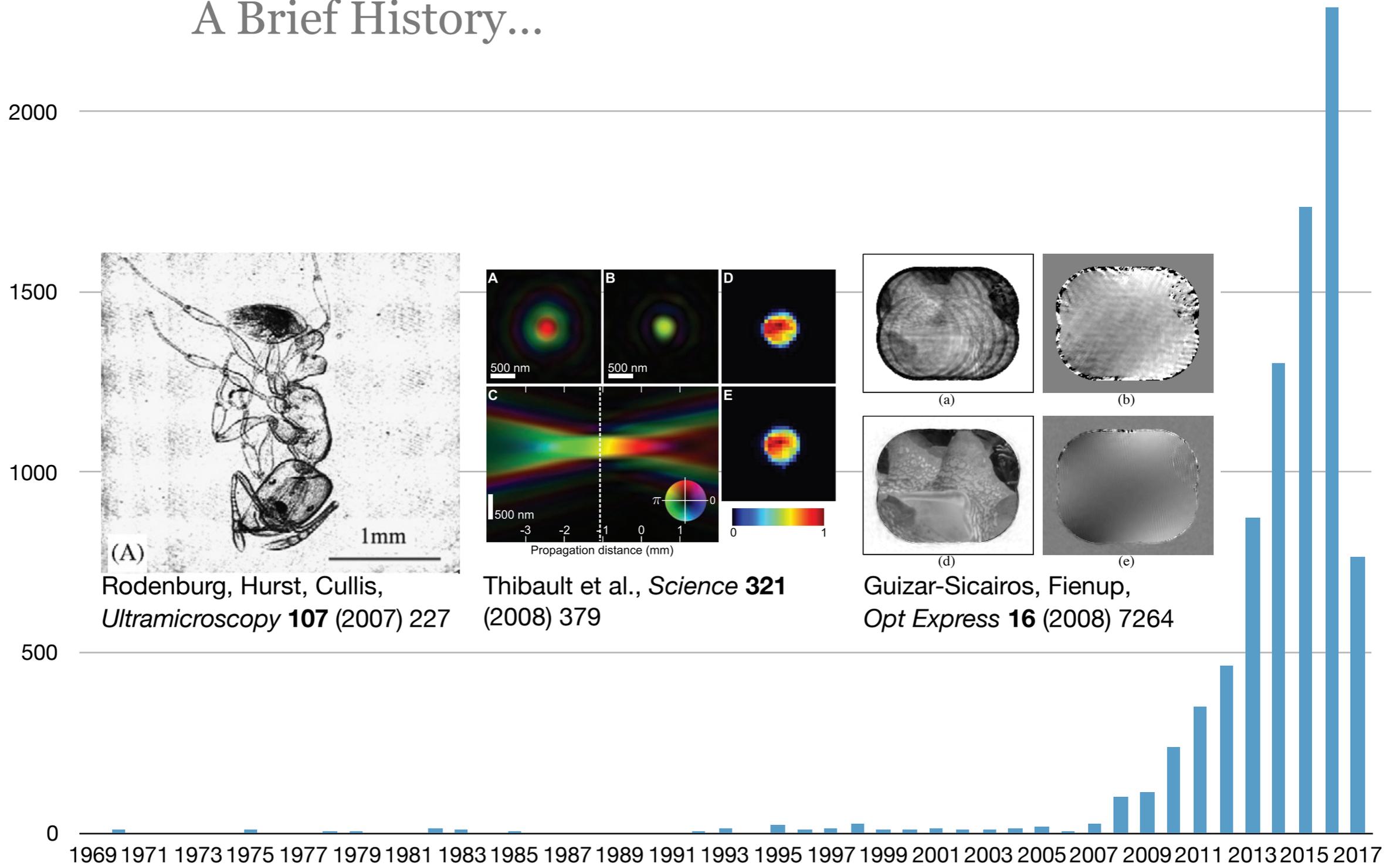
Nellist, McCallum, Rodenburg, *Nature* **374** (1995) 630



Chapman, *Ultramicroscop* **66** (1996) 153

# Ptychography

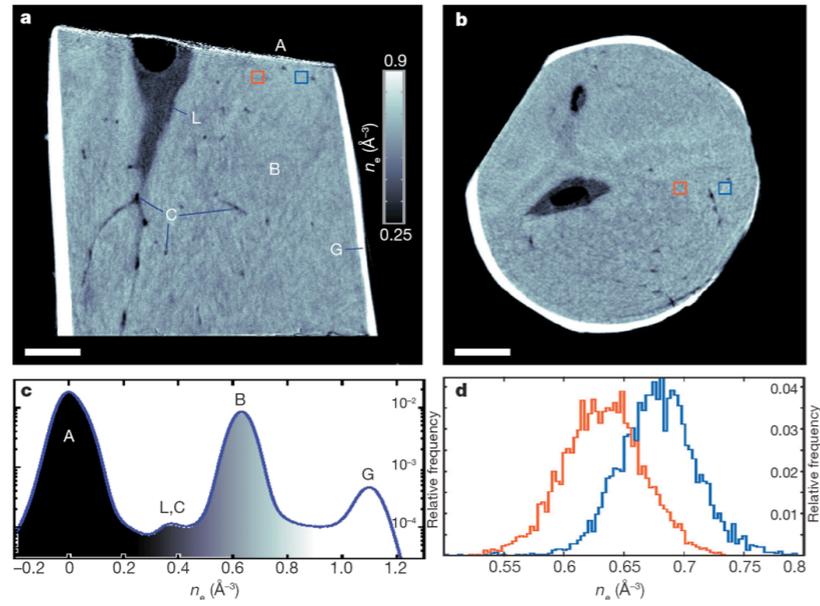
## A Brief History...



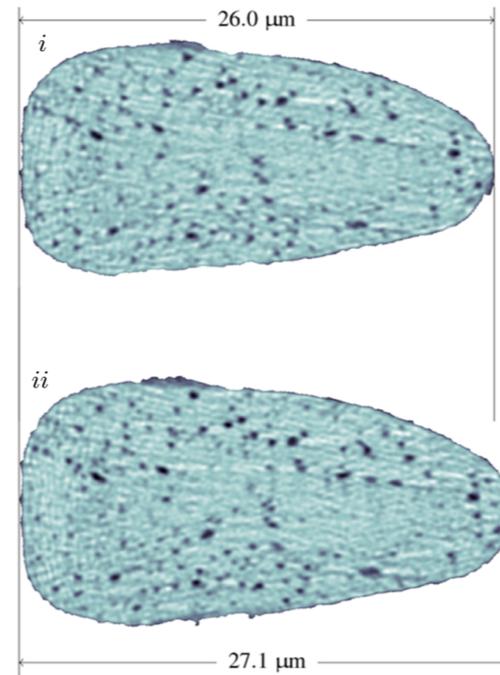
Number of citations of all preceding publications marked by the keywords "ptychography," "inhomogeneous primary wave," or "Wigner distribution deconvolution." Data according to Thomson Reuters, Web of Knowledge, accessed Jun 13, 2017.

# Ptychography

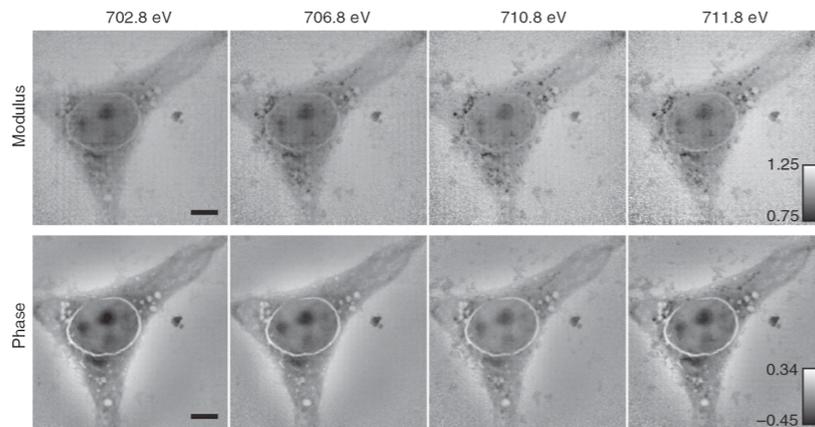
## A Brief History...



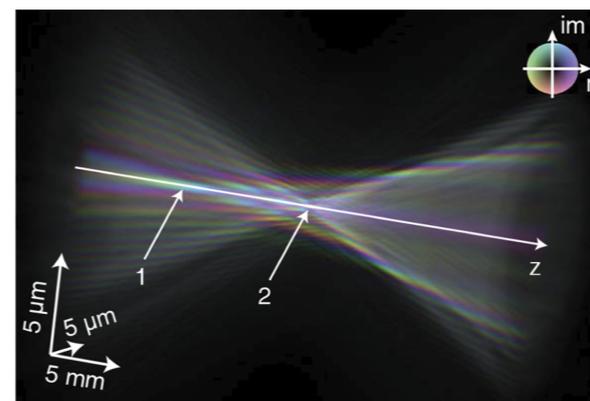
Dierolf *et al.*,  
Nature **467** (2010) 436.



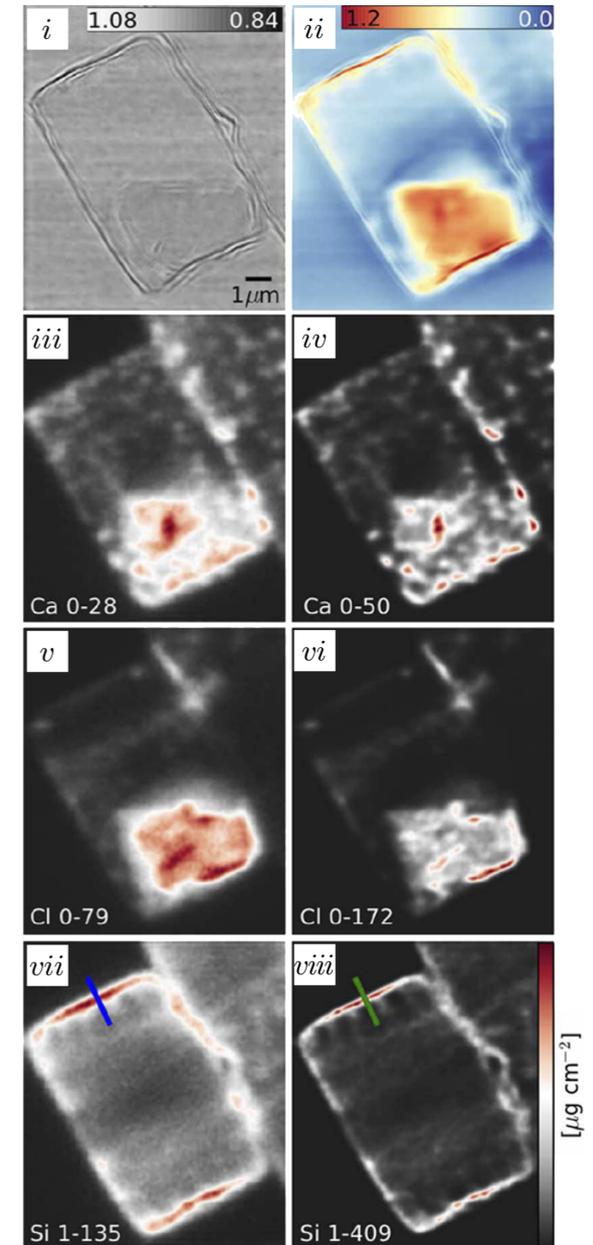
Esmaeili *et al.*,  
Macromolecules **46** (2013) 434.



Maiden *et al.*,  
Nature Commun **4** (2013) 1669.



Schropp *et al.*,  
Sci. Rep. **3** (2013) 1633.



Vine *et al.*, Rev. Sci. Instrum.  
**83** (2012) 033703.

# Ptychography

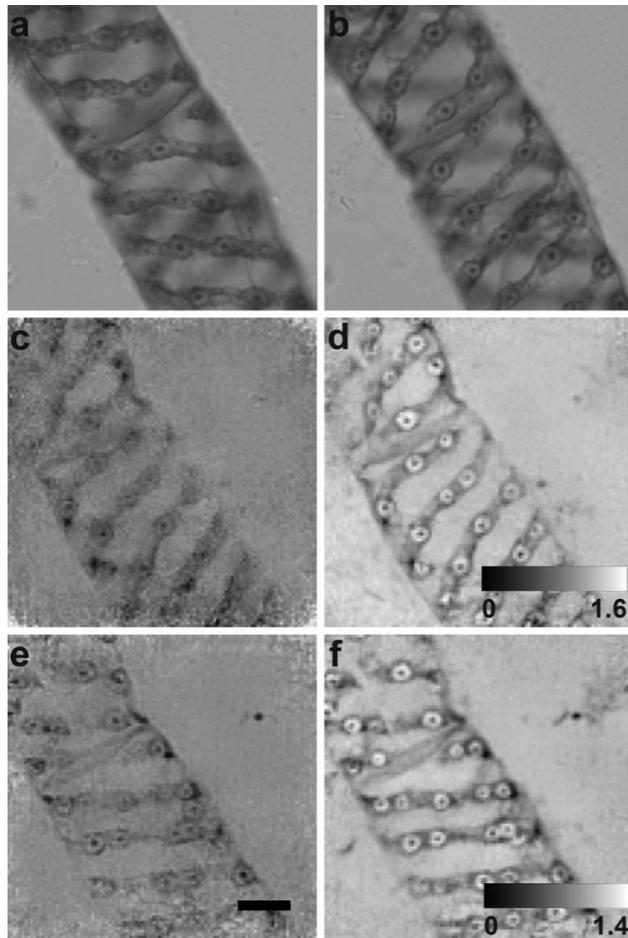
## Recent Developments

### 3D reconstruction using a multi-slice approach

Maiden *et al.*, *J Opt Soc Am A* **29** (2012) 1606.

Suzuki *et al.*, *Phys Rev Lett* **112** (2014) 053903.

Shimomura *et al.*, *Phys Rev B* **91** (2015) 214114.

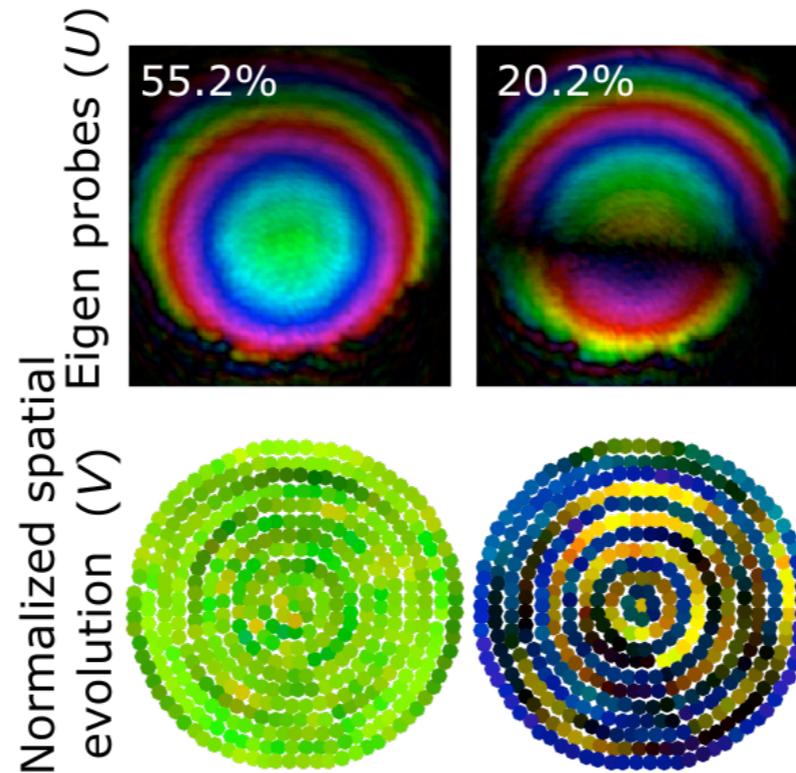


### multiple modes, state mixtures and variations, instabilities

Thibault, Menzel,

*Nature* **494** (2013) 68

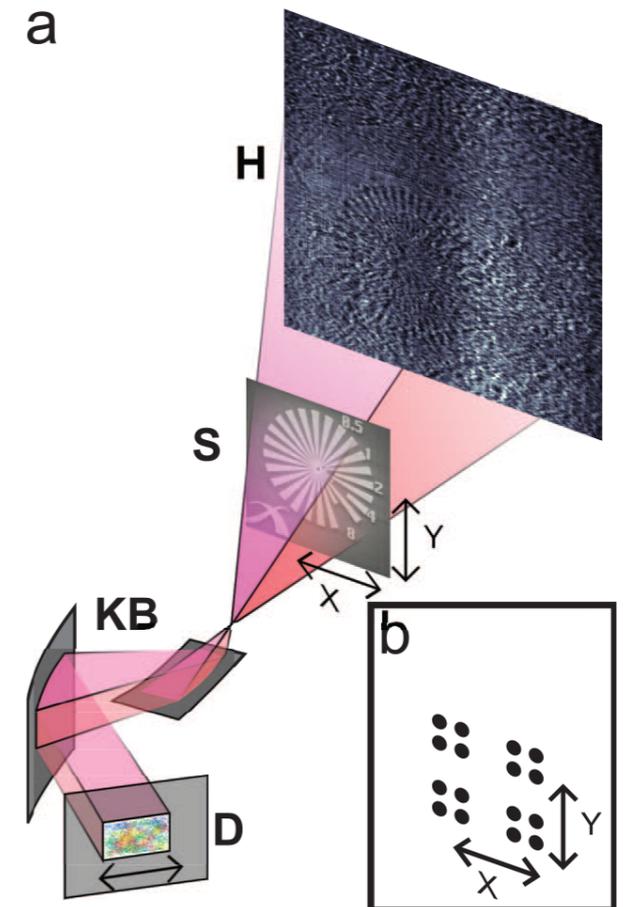
Odstrčil *et al.*, *Opt. Express* **24** (2016) 8360.



### different geometries e.g., near-field ptychography, Fourier ptychography...

Stockmar *et al.*, *Sci. Rep.* **3** (2013) 1927.

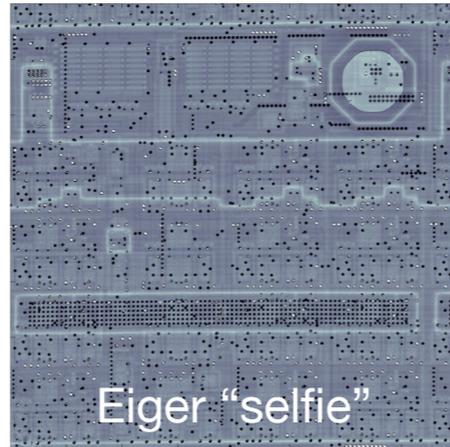
Zhen *et al.*, *Nat. Photonics* **7** (2013) 739.



# Comprehensive Approach

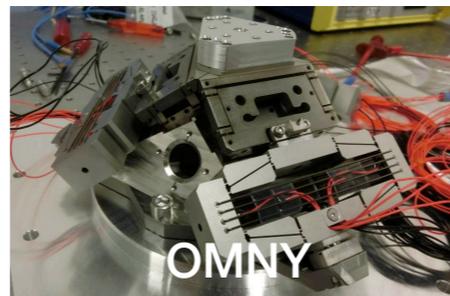
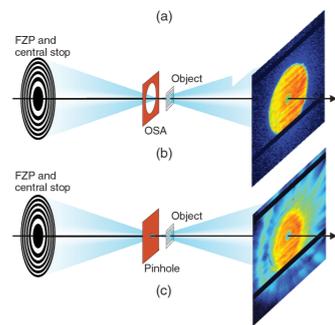
## Detector Technology

Pilatus is being replaced by Eiger



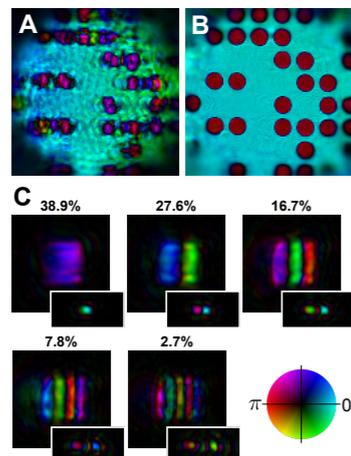
## Experimental Design

optimizing illumination and sample positioning

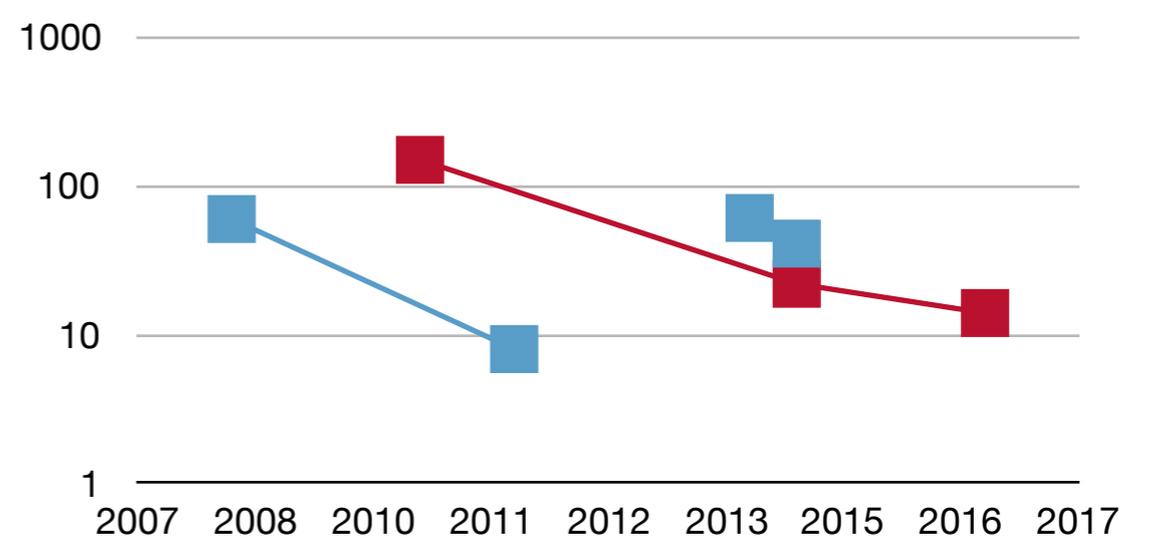
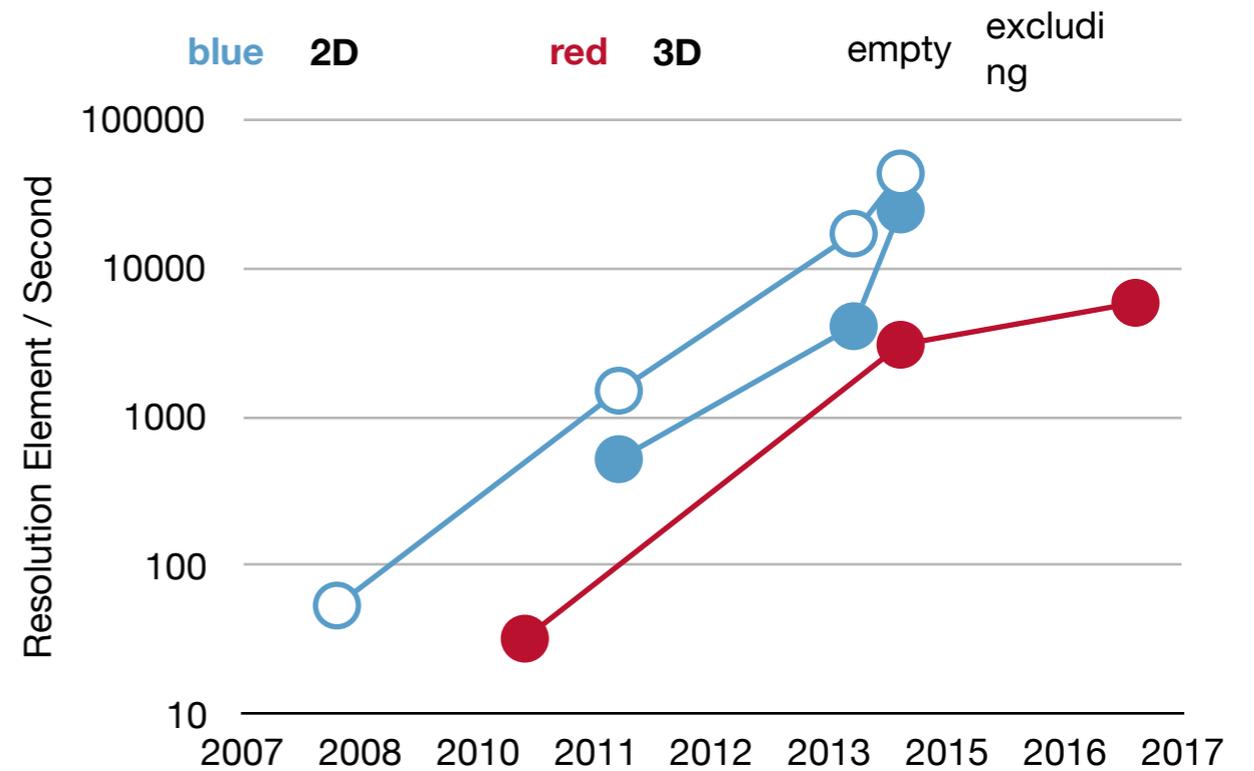
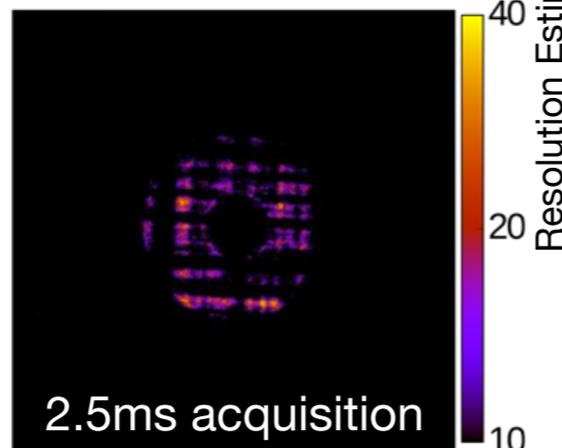


## Analysis Development

"multi mode"

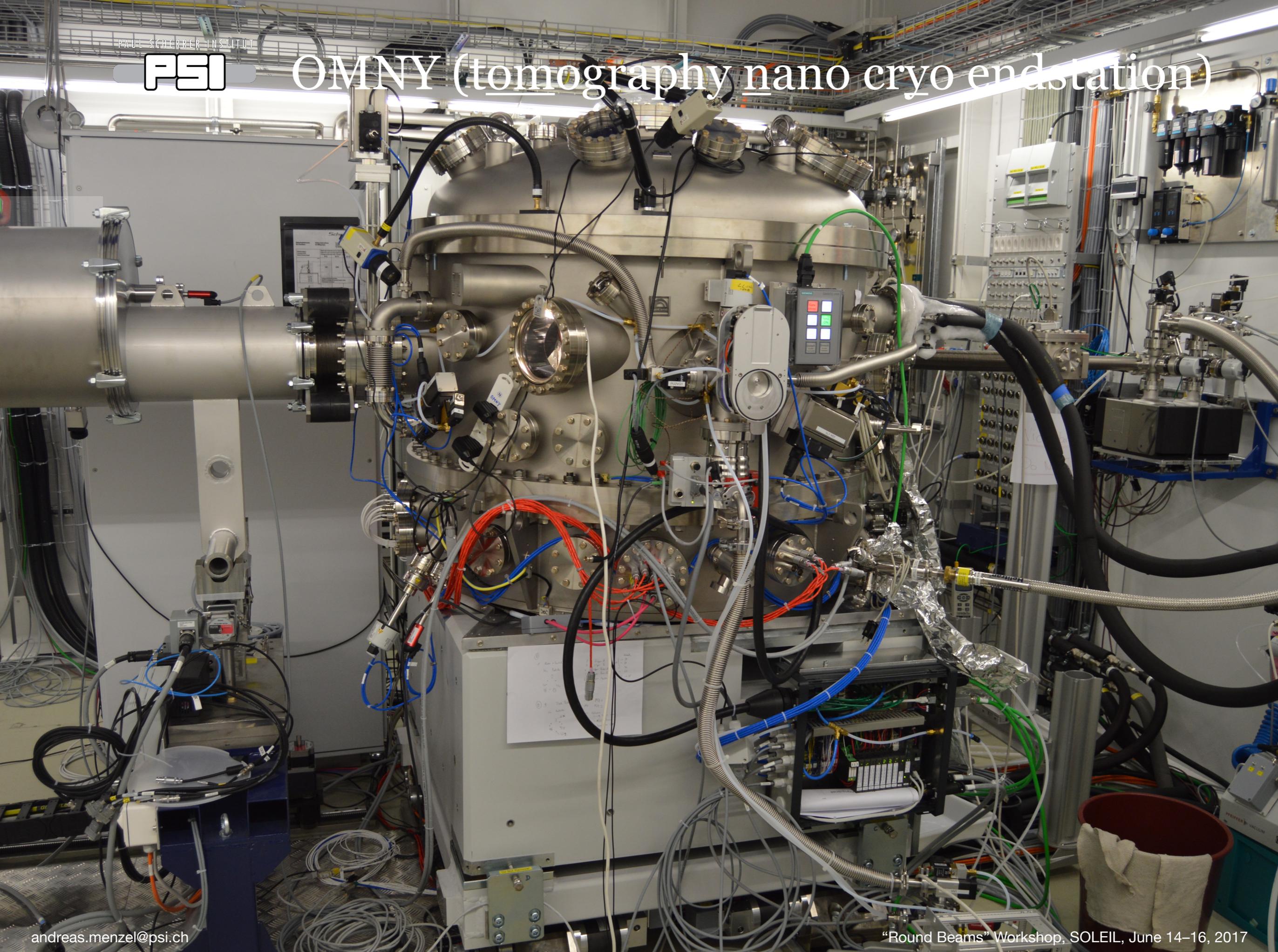


"on the fly"



Acquisition rate could be doubled yearly, resolution approaching 10 nm (in 3D) and below (in 2D)

# OMNY (tomography nano cryo endstation)



# OMNY (tomography nano cryo endstation) ...inside the chamber...

Sample Changer

Microscope  
for sample/optics alignment

Tracking Interferometer

Sample Stage

Optics Mount  
(FZP, central stop)

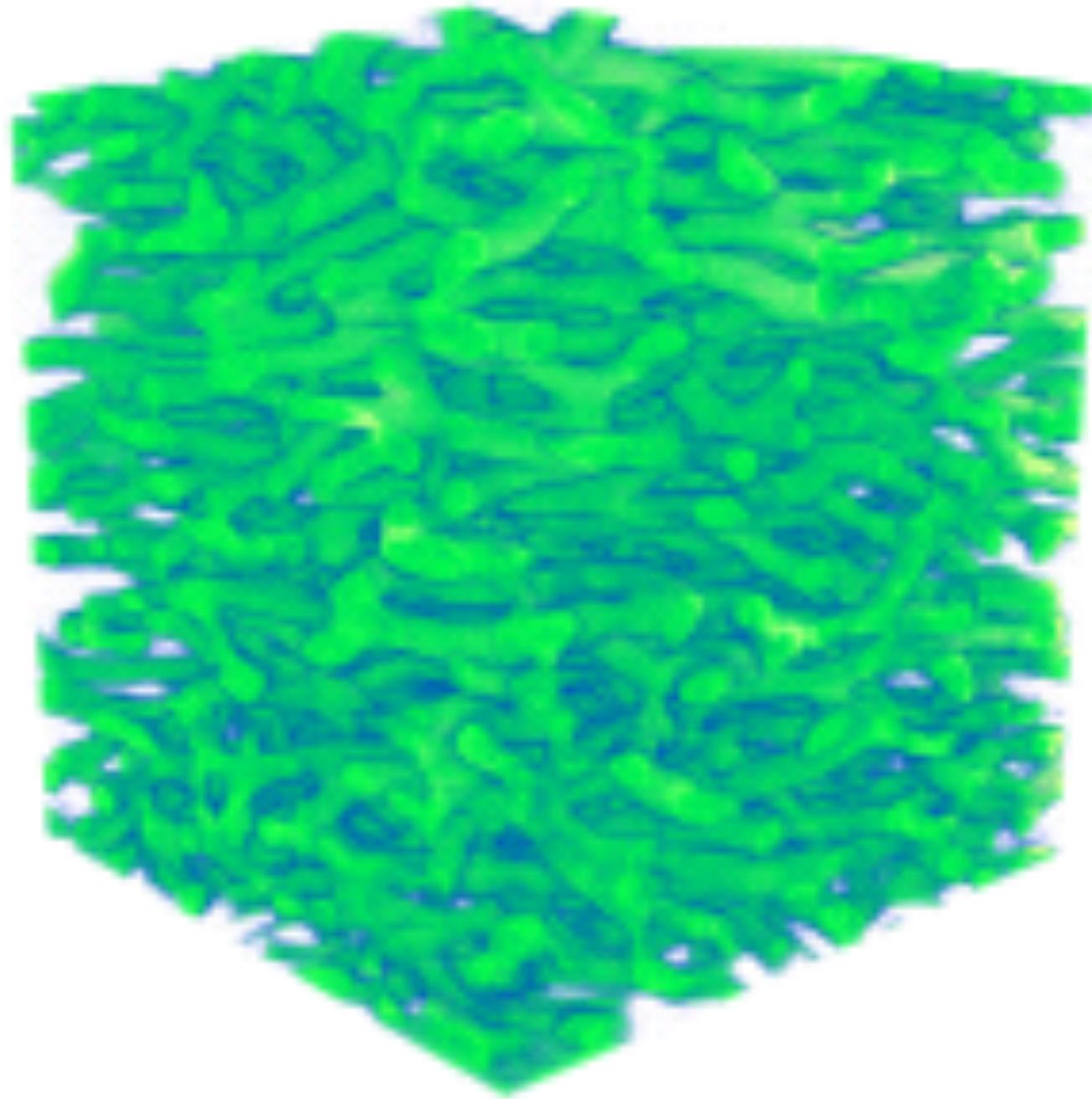
Sample Parking

# OMNY (tomography mano cryo endstation)

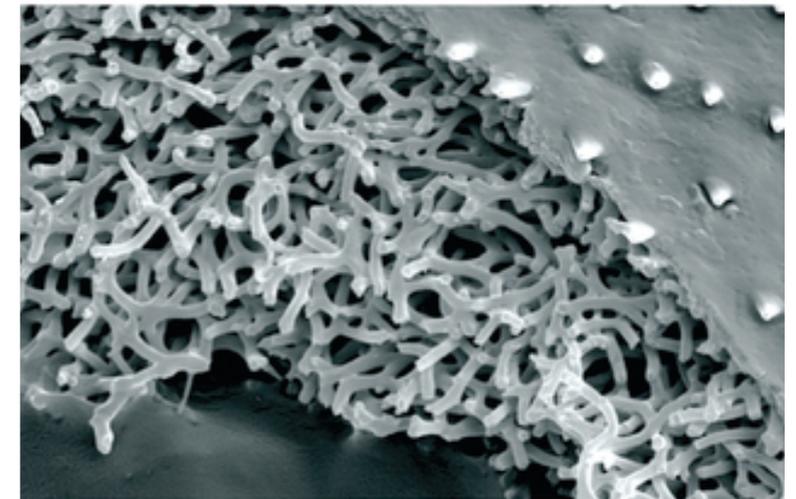
- measurements at cSAXS/X12SA
- maintenance at OMNY hutch

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# (Very) First Results



Cyphochilus beetle scale

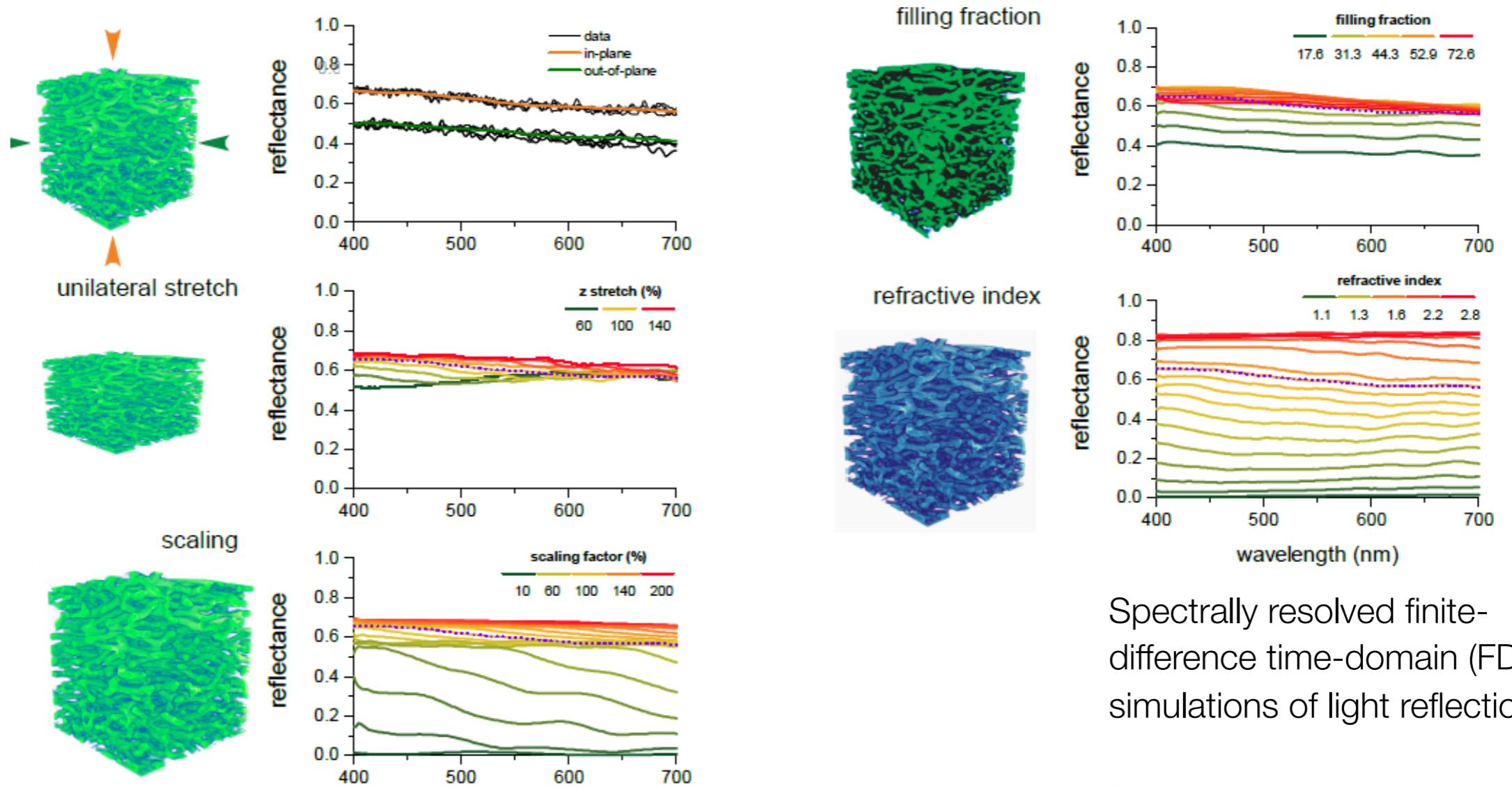


measurement at 92 K in vacuum  
3D resolution: 28 nm

B.D. Wilts *et al.*, accepted in  
*Advanced Materials*

collaboration with A. Sepe, Adolphe Merkle Institute, Fribourg (Switzerland)

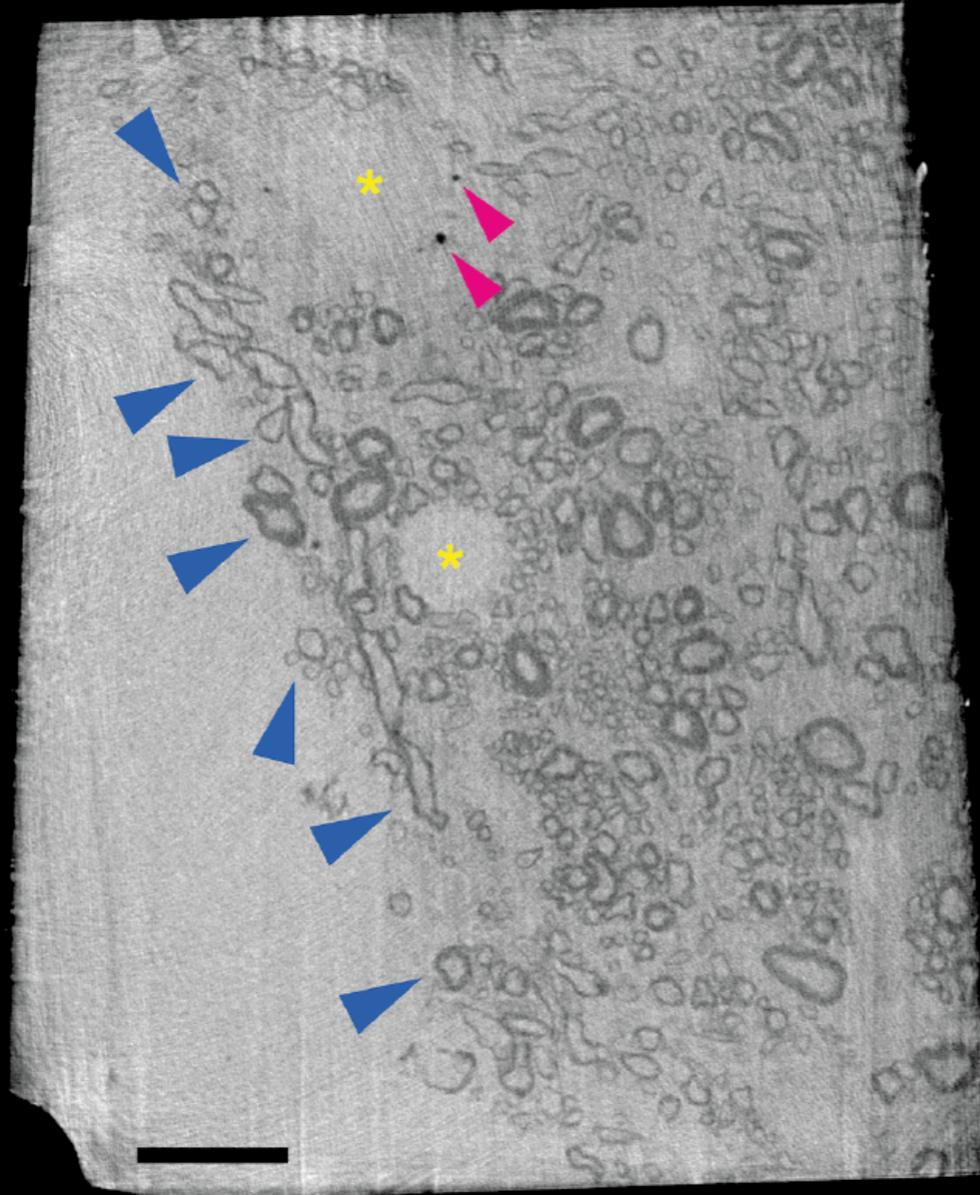
## Evolutionary-Optimised Photonic Network Structure in Beetle Wing Scales Characterised by X-ray Nanotomography



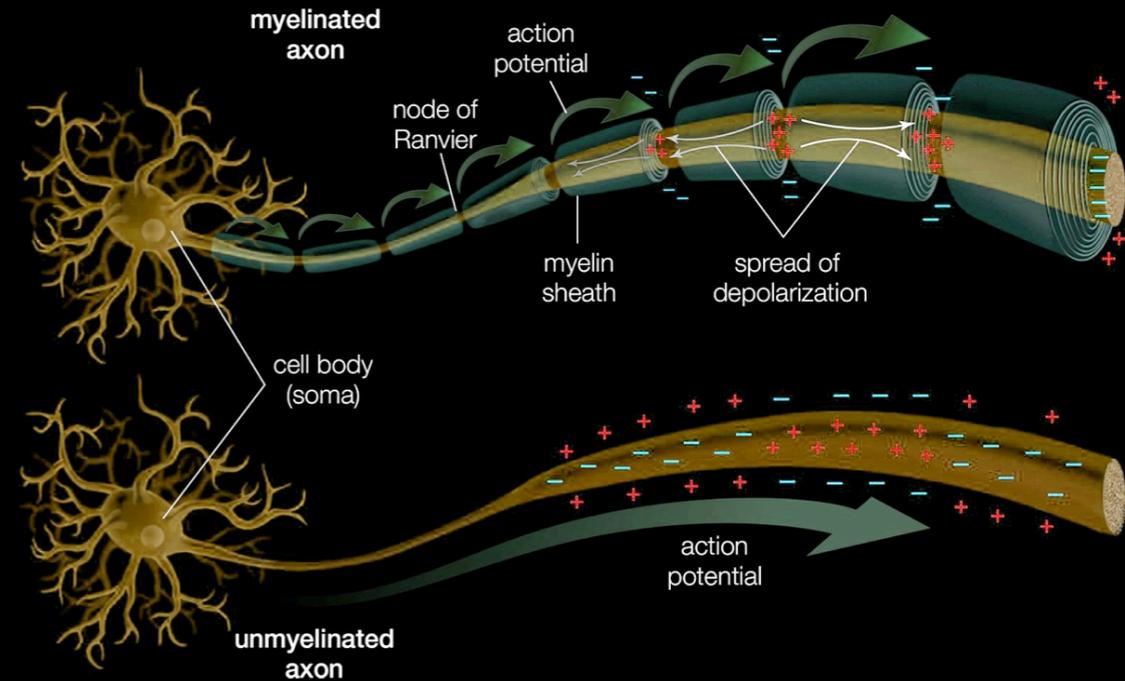
Spectrally resolved finite-difference time-domain (FDTD) simulations of light reflection

B.D. Wilts *et al.*, accepted in *Advanced Materials*

# Ptychography unstained cryo-preserved mouse brain



myelinated axons  
nuclei  
lysosomal lipofuscin?



unstained cryo-preserved  
mouse brain

S. Shahmoradian *et al.*,  
accepted in *Sci. Rep.*  
in collaboration with  
Uni Basel and Roche Innovation  
Center Basel

# fIOMNI (flexible tomography nano imaging)

Interferometer

X-Ray Fluorescence  
Microscope

Sample Changer

X-Ray Optics

Sample Tray

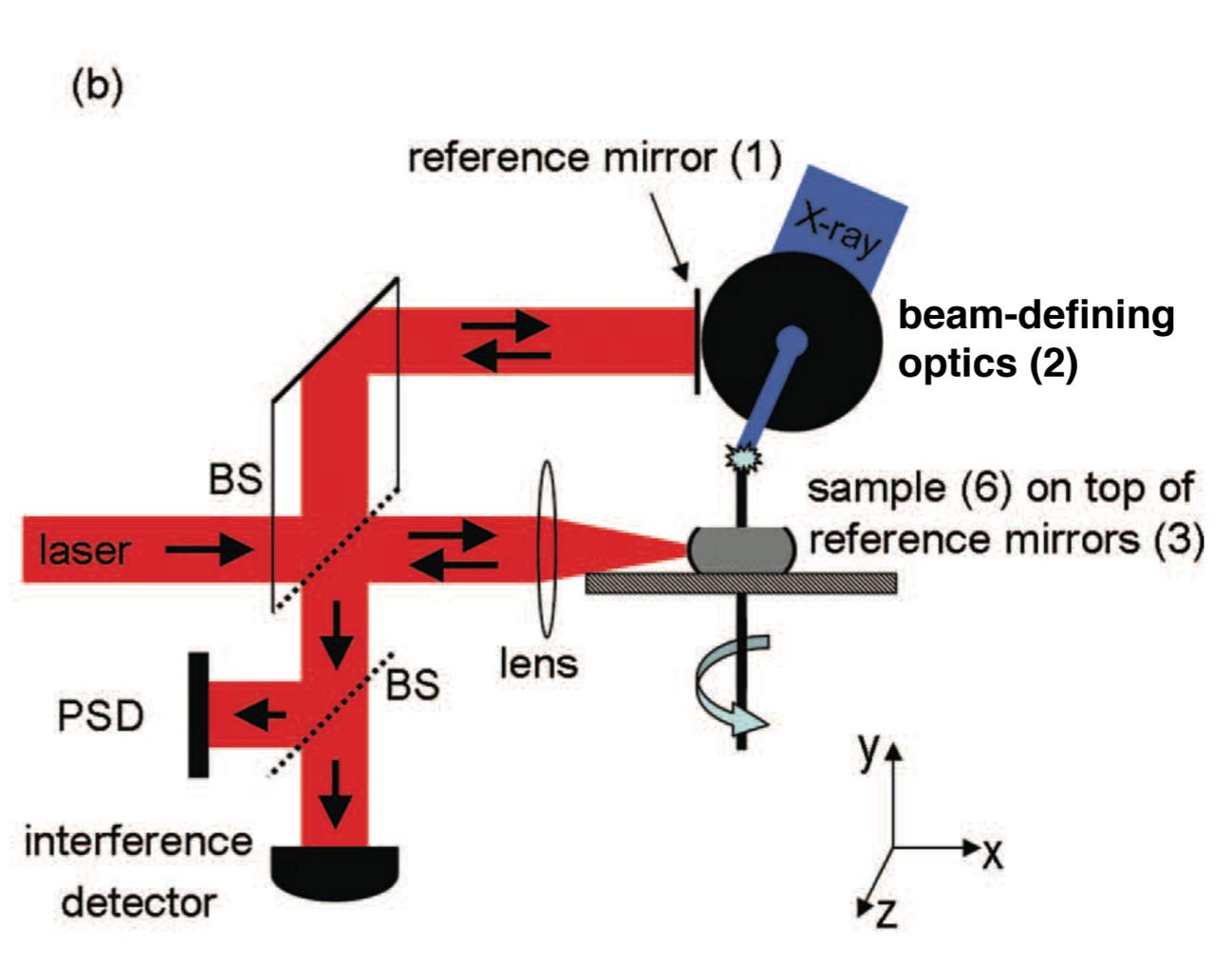
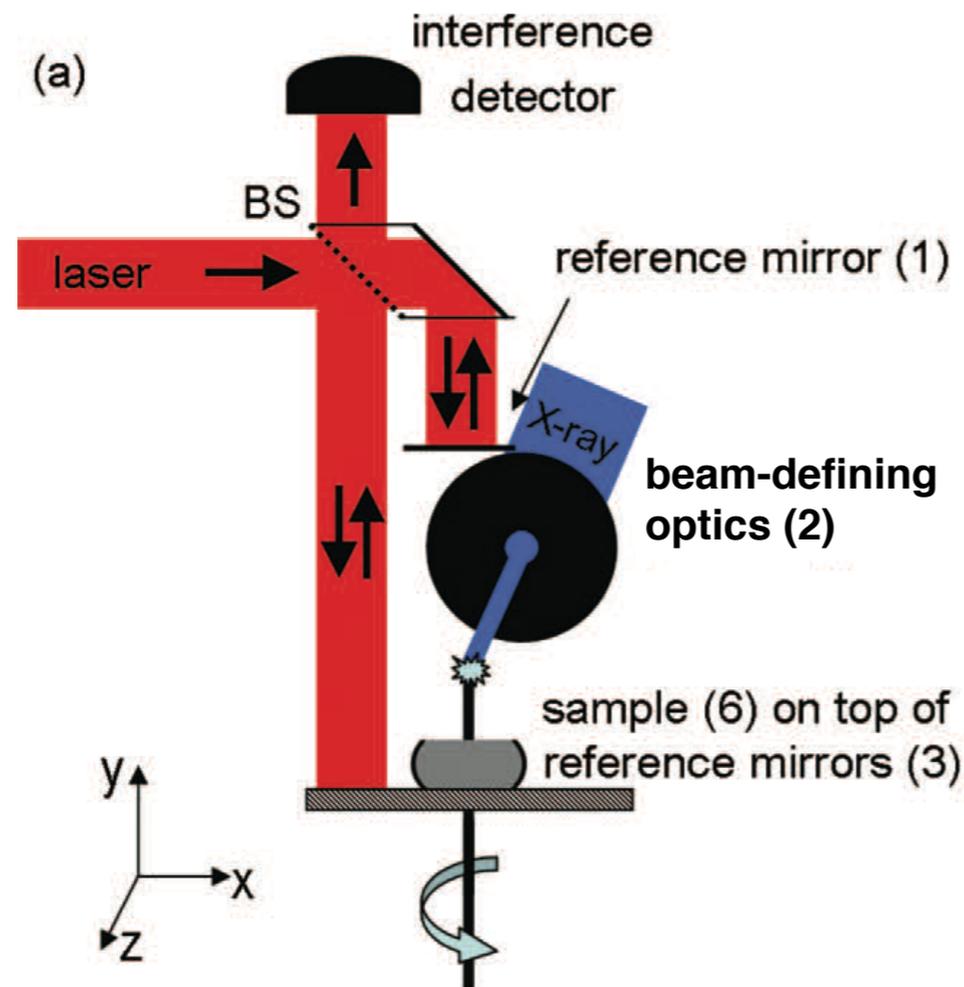
Sample Stages  
(i.e., scanner and  
rotation stage)

M. Holler *et al.*, Rev Sci Instrum **83** (2012) 073703

M. Holler *et al.*, Sci Rep **4** (2014) 3857

# Positioning Control

Optics need to allow for interferometrically controlled sample positioning or position measurement in three dimensions (or more). As chosen for the sample, short dead paths, i.e., distances between references and object of interest are highly desirable.

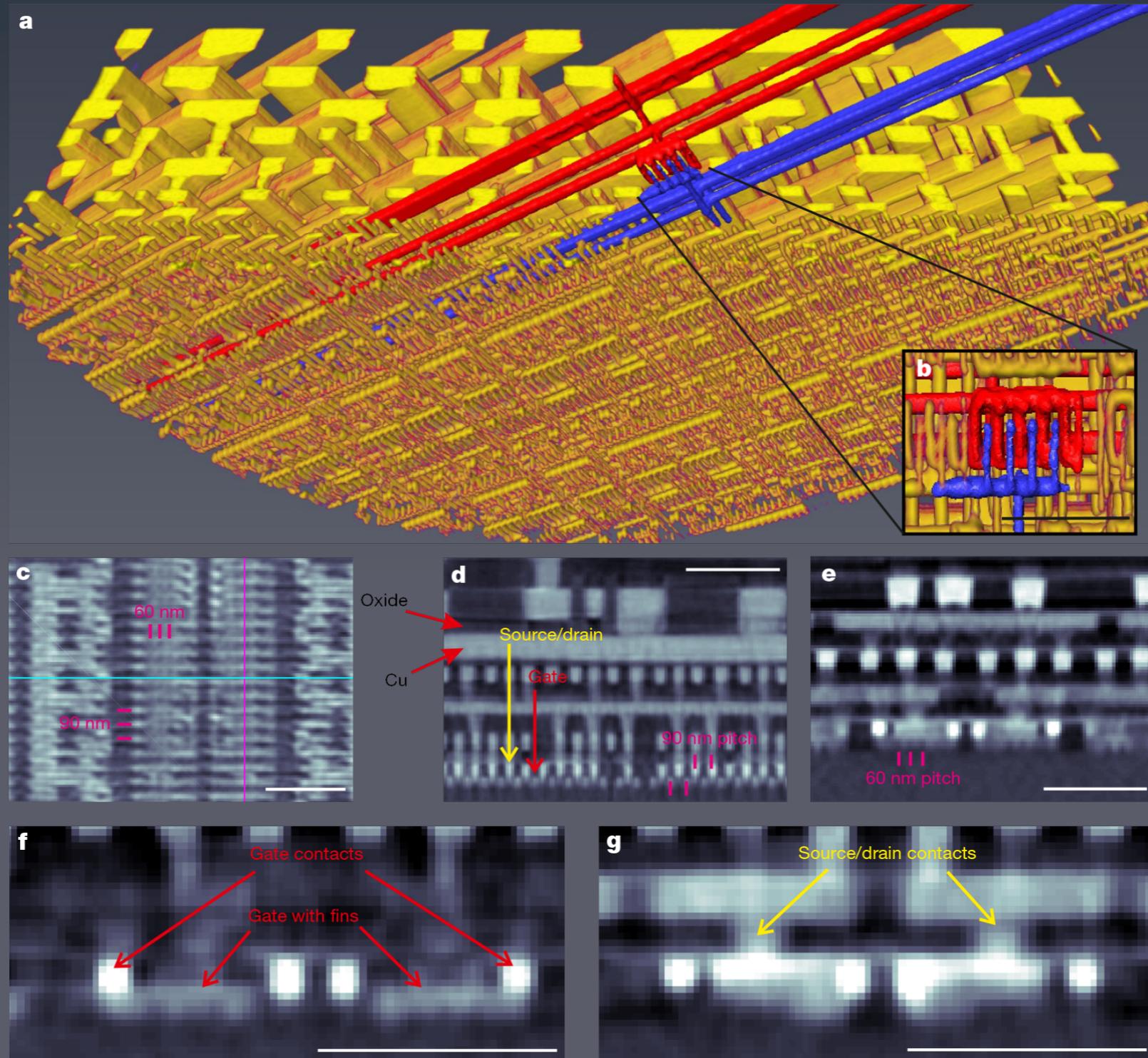


M. Holler *et al.*, Rev Sci Instrum **83** (2012) 073703

M. Holler *et al.*, Sci Rep **4** (2014) 3857

# Imaging of Integrated Circuits

## Intelprocessor, 22nm Technology



M. Holler *et al.*, Nature **543**(7645) (2017) 402

# Imaging of Integrated Circuits

## Some Sort of Roadmap

	achieved*	achieved†	demanded#
	2D	3D (tomography)	“3D” (via laminography)
field of view	$500 \times 288 \mu\text{m}^2$	$\varnothing \sim 10 \mu\text{m}$	$10 \times 10 \text{ mm}^2$
resolution	$\sim 40 \text{ nm}$	$\sim 15 \text{ nm}$	$10 \text{ nm}$
measurement time	$\sim 70 \text{ min}$ $\sim 4 \times 10^3 \text{ s}$	$\sim 1 \text{ day}$ $\sim 9 \times 10^4 \text{ s}$	$25 \text{ days}$ $\sim 2 \times 10^6 \text{ s}$
effective dwell time	$\sim 40 \mu\text{s}$	$\sim 170 \mu\text{s}$	$\sim 0.1 \mu\text{s}$

long-term requirement:

increase in  
coherent flux:  
 $\sim 5000$

\*Guizar-Sicairos *et al.*, Opt. Express **22** (2014) 14859

†M. Holler *et al.*, Nature **543**(7645) (2017) 402

#<http://www.iarpa.gov/index.php/research-programs/raven>

# Upgrade Plans

<b>Plan:</b>	SLS-2	→ gain in coherent flux: $10^{1.5}$
	optimized undulator	→ gain in flux: $10^{0.5}$
	reduction of longitudinal coherence	→ gain in flux: $10^1$
	more efficient optics	→ gain in flux: $10^1$
	<hr/>	
	total gain in coherent flux	in the order of $10^4!$
	total gain in flux	close to $10^3$

*This would render these ambitious goals entirely feasible!*

**Yet:** Reduction of longitudinal coherence may be problematic:  
While it can be controlled and accounted for, it limits probe size and thus affects already stringent scanning requirements.

While developments are still ongoing, X-ray ptychography has already matured to a reliable imaging technique, sought after by scientists who want to learn about their samples (and have no particular interest in the technique itself).

To satisfy the increasing demand, ptychography needs to become faster and more efficient. This requires new sources and state-of-the-art optics, detectors, positioning and metrology, and computing power.

With upcoming/planned sources, the future looks bright indeed in regard to

- sample-limited resolution,
- high throughput, large sample ensembles, representative volumes etc., and
- increased time-resolution.

However, oftentimes and already now, neither data acquisition nor image reconstruction prove “rate-limiting” in most imaging projects but the subsequent analysis, such as segmentation, parameterization, and quantitative evaluation.

User training and IT resources need to be organized accordingly.