

Surface X-ray Scattering Alessandro COATI - SixS beamline - Synchrotron SOLEIL





- Introduction
 - Hard x-rays for surfaces, interfaces and nano-objects
- Surface x-ray Scattering techniques
 - Crystal Truncation Rod (CTR)
 - Surface x-ray diffraction (SXRD)
 - Grazing Incidence Small Angle X-ray Scattering (GISAXS)
- SixS beamline setup
- In situ and operando scientific applications
 - growth of metallic nanostructures by using vicinal surfaces
 - growth of nanoparticles by atomic layer deposition (ALD)
 - nanoporous formation in alumina
- Conclusions, acknowledgments, references



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Introduction



- Definitions (Oxford English Dictionary)
- Interface. A point where two systems, subjects, organizations, etc. meet and interact.
- Surface. The outside part or uppermost layer of something.





- Surfaces as
 - interface material vs vacuum
 - support of nano-objects
- Physical properties (electronic, catalytic, photonics, magnetic):
 - different from the bulk
 - depending on the interface nature (solid/solid, solid/liquid, solid/gaz, liquid/ liquid, liquid/gaz)
 - depend on the atomic structure, the size, the shape and the organisation at the nanometric scale
- X-ray scattering techniques can give information on all these factors



x-ray/matter interactions



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- atomic scale ($\lambda = 0.1 nm$ interatomic distance)
- non-distructive probe
- avoid sample charging
- *in situ* measurements
- operando measurements
- probing statistical information
- allow to study in the same experiment microstructure and functionality of the interface
- surface sensitive, with variable information depth in the pm to μ m





- Structure determines functions! > physical properties control
- From models to real life:
 - In situ and operando experiments at the interfaces in various environments
 - UHV
 - Gaz (pressure gap)
 - Liquid
- From single crystals to (small) nanoparticles (material gap)
- Approaching real conditions by exploiting hard x-ray penetration in materials





surface interface x-ray scattering





surface interface x-ray scattering





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Grazing incidence geometry



Total reflection - optics reminder















FIG. 1. θ -2 θ scans of the (200) reflection from ZnTe/GaAs (001) for heterostructures of increasing thicknesses (4, 6, 7, 9, and 15 ML from bottom to top curve). Profiles are aligned on the substrate Bragg peak.



FIG. 2. Variation of $(\Delta a / a)_{\parallel}$ with the film thickness.

V. H. Etgens et al. Phys. Rev. B47, 10607 (1993)







FIG. 6. Radial scans of the 15-ML ZnTe/GaAs at different inside angles. (curve a) $\alpha = \alpha_c$; (curve b) $\alpha = 0.3\alpha_c$.

V. H. Etgens et al. Phys. Rev. B47, 10607 (1993)



Data collection geometry





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Crystal Truncation Rod (CTR)



Scattering intensity from a crystal

$$I(\mathbf{q}) = |A(\mathbf{q})|^2 \propto \left| \int_{\infty} d^3 r \rho(\mathbf{r}) \exp(i\mathbf{q} \cdot \mathbf{r}) \right|^2$$

Kinematic approximation

Decomposition : crystal description

Atom
$$f_{atome}(\mathbf{q}) = \int d^3 r \ \rho_{atome}(\mathbf{r}) \ e^{-i\mathbf{q}\cdot\mathbf{r}}$$

Atomic scattering factor

Unit Cell $F_n(\mathbf{q}) = \sum_j f_j e^{-i\mathbf{q}\cdot\mathbf{r}_j} = TF[unit cell]$

Cristal

Ι

 $A(q) = \sum_{n} \sigma(R_{n}) F_{n}(q) e^{-iq \cdot R_{n}}$

attice vector : R

Lattice vector : R_{n} Crystal shape : $\sigma(\mathsf{r})$

Structure Factor

Perfectly ordered structure :

The intensity scattered by the crystal is :

the atomic form factor is $\mathbb{E}(\mathbf{q})^2$ (example x quantity $f(q)=f^0(q)$) f'+if where $\sum_{hkl} (\mathbf{q}) = TF[\sigma(r)]$ the terms f' et f'/ac end for \mathbf{q} the significant values in a close vicinity of absorption edges of the considered atom (anomalous effect) Finite size effects

 $F_{n}(\mathbf{q}) = F(\mathbf{q})$



Scattering intensity from a semi-infinite crystal



Crystal Truncation Rods (CTR): issued from Bragg peak & perpendicular to the surface



Cristal Truncation Rod (CTR)





Cristal Truncation Rod (CTR)









The Intensity decays more rapidly from the reciprocal node than for a flat surface Roughness models:

- i. a simple two level model (E.Vlieg)
- ii. an exponential decay (I. Robinson)
- iii. a gaussian distribution of successive layers occupancy (P. Guenard)



CTR from a rough surface



Optimal sensitivity in « anti-bragg » position, used to monitor layer by layer growth through pseudo-periodic oscillations



CTR from a relaxed surface





CTR: Cu (001) et N/Cu(001)





Light element (N) but it is possible to see the relaxations induced on the Cu(001) substrate

	bare Cu	saturated Cu $(q = I)$
Relaxation d ₁₂	-3,16%	+13,54%
Relaxation 0 ₂₃	-0,54%	+1,46%





CTR and interfaces





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Surface x-ray Diffraction



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Surface crystallography

Ex: (110) cut in the 3 cubic lattices







Basis vectors of a 2×2 unit cell on a

reconstructed (110) surface of an fcc lattice

More generally **MXN** reconstruction





Graphene growth





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Surfaces Interfaces x-ray Scattering (SixS) beamline

@ Synchrotron SOLEIL



This is Us (SixS team 2024)



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OIXS



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SixS beamline overview

BIXS

Two experimental end-stations





U20 undulator Si(111) monochromator Energy range 5-20 keV

Flux on both experimental stations



Beam sizes (FWHM) :

at MED position : I 500(H) \times 25(V) μm^2 at UHV position : 40(H) \times 40(V) μm^2

Divergence: ~ $60 \times 120 \ \mu rad^2$ Divergence: ~ $600 \times 60 \ \mu rad^2$

MIMO


SOLEIL - SixS beamline - UHV end-station









SOLEIL - SixS beamline - MED end-station











Fast Surface Diffraction



Pentacene/Cu322 (M. Sauvage, K. Muller, A. Kara, et al.) In-plane (*hk*) – map Ih, 36000 images





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Surface structure Surface periodicity



Fast Surface Diffraction

CTR in flyscan

- Less than 1 min
- Projection in the (h /) plane
- Beam Attenuators correction





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Metallic vicinal surfaces for nanostrucures growth

An in situ study



Vicinal Surface









Self Organisation: Ag / Cu (433)



0.3 ML Ag/Cu(433) (200x200) nm



0.6 ML Ag/Cu(433) (200x200) nm







Self Organisation: Ag/Ni







Self Organisation: Co/Au







Vicinal Surfaces (111) fcc





	Angle (°)	Lines	∧ (nm)		Angle (°)	Lines	Λ (nm)
Cu(211)	(-) 9.5	2+2/3	0.626	Au(233)	10.0	5+1/3	1.33
Au(322)	(-) .4	4+2/3	1.17	Ag(133)	22.0	2+1/3	0.629
Ni(1199)	(-) 5.57	9+2/3	2.096	Ag(799)	6.46	8+1/3	2.095





"Model Systems": Ag/Cu, Ag/Ni





- fcc structure
- Immiscibles
- atomic radii
- cohesion energies :

$$r_{Ag}/r_{Cu} = 1,13$$
 $r_{Ag}/r_{Ni} = 1,15$
 $E_{NCu} = -3,50 \text{ eV } E_{cNi} = -4,44 \text{ eV } E_{cAg} = -2,96 \text{ eV}$





Adsorbate induced faceting : X-ray measurements



clean Cu(433)

k=2



After Ag deposition and annealing

~1/3 ML Ag/Cu(433) (200x200) nm²







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α = 19.41° Λ = 0.626 nm



1 ML Ag/Cu(211) – GIXD



















1 ML Ag/Cu(211) – GIXD & STM





Y. Garreau, A. Coati, A. Zobelli, and J. Creuze Phys. Rev. Lett. 91, 116101













Y. Garreau et al., Phys. Rev. Lett. 91, 116101 (2003)



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Bellec, A., et al., Physical Review B., 96(8): art.n° 085414. (2017)



4 ML Ag deposit + annealing @ 190°C



Terrasses width : $L = 2.1 \pm 0.3$ nm





Ni(11 9 9): Vicinal basis









Lattice mismatch:



Measure:



Ag is relaxed along the step edges

















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Formation of Ag homogeneous thin film

<u>Ni (1199)</u>: terrasses (111) {00|} $\{|||\}$ steps (001) $\underline{Ag(799)}$: terrasses (|||) steps (|||) $\Lambda_{Ag(799)} = 20.95 \text{\AA}$ $\Lambda_{Ni(1199)} = 20.96 \text{\AA}$ ****** ****** -----*****************

Step period governs the growth of the Ag layer















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Co growth on vicinal Au(111) surface

Y. Girard et al., Phys. Rev. B72, 155434 (2005)

Co growth on vicinal Au(111) surface












Terraces 1.17 nm Steps {100}





Terraces 1.33 nm Steps {111}















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Grazing Incidence Small Angle X-ray Scattering (GISAXS)



• Grazing incidence \rightarrow surface sensitivity







GISAXS - principle



2D image around direct beam: Fourier transform of objects

- Shape
- Sizes
- Size distributions
- Particle-particle pair correlation function







Anisotropic islands: truncated square pyramids with (111) facets

G. Renaud et al, Surf. Sc. Rep. 64, 255 (2009)



GISAXS – supported NPs





R. Lazzari, Journal of Applied Crystallography 35, 406 (2002) D. Babonneau, J. Appl. Crystallogr. 43, 929 (2010) IsGisaxs software FitGisaxs software



GISAXS – NPs size distributions



R. Lazzari, J. Applied Cryst. 35, 406 (2002)

Nanoparticle Assembly with same shape



Diameter : 1.5nm Interparticle Distance : 4nm



Diameter : 3nm Interparticle Distance : 8nm

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- Morphology on nanometer scale
- Growth monitoring
- Average interparticle distances on the surface
- Not limited to cristalline systems
- Not easy to fit
 - Requires rather smooth surfaces





seales well



In situ GISAXS during ALD of Pt

Dendooven, J., et al. Nat Commun 8, 1074 (2017)



Setup ALD at SixS







Dendooven et al. Nature Communications 2017

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Pt ALD with the MeCpPtMe₃ precursor

Key advantages of ALD: atomic level tuning of

- Pt loading
- Pt nanoparticle size

Usually achieved by changing the number of ALD cycles

> This work: Role of the co-reactant in Pt nanoparticle ALD? Can this ALD parameter offer additional tuning opportunities?













By combining O_2 -based and N_2 plasmabased Pt ALD, we can independently tune the spacing and size



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In-situ alumina nanoporus

T. Gorisse, L. Dupré, D. Buttard, CEA, Grenoble



In-situ observation of nanoporous alumina formation





ACTING ALLEY

Conclusions, Acknowledgments, References



- Reliable quantitative analysis
- Statistical information
- Beyond the surface (buried interfaces...)
- In-situ and operando measurements In very different kinds of environments, and under very different conditions (real advantage of X-rays over other surface probes, e.g. electrons)
 - surface reactivity (catalytic reactions, annealings ...)
 - growth (during MBE, (MO)CVD, LPE)
 - use of gaseous, liquid or solid surfactants, at High p, T ...
- Atomic structure, composition and morphology
- Shape, roughness, film thickness, surfaces morphologies
- Any kind of substrates (e.g. insulating)
- Depth sensitivity from 20 Å up to several mm

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- Surface X-ray absorption spectroscopy (SEXAFS ...)
 - Local atomic structure
 - Electronic structure information
- Surface X-ray standing waves (S-XSW)
 - Location of adsorbates on surfaces / epilayer relaxation
- X-ray Photoelectron Spectroscopy (XPS, UPS ...)
 - Electronic structure / bonding
- X-ray photoelectron diffraction (XPD)
 - Surface electronic + atomic structure





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 - http://www.esrf.fr/computing/scientific/joint_projects/ANA-ROD/index.html
- GISAXS
 - G. Renaud et al., Probing surface and interface morphology with grazing incidencesmall angle x-ray scattering, Surf. Sci. Rep. 64 (2009) 255-380.

Thank you!

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