



Scattering and Diffraction

- A. Interaction of Radiation with Matter**
 - 1. Wave and particles**
 - 2. Absorption and Scattering**
 - 3. Thomson scattering**
- B. Scattering by an atom**
 - 1. Interferences**
 - 2. Scattering Factor**
 - 3. Resonant scattering**
- C. Diffraction**
 - 1. Pair Distribution Function**
 - 2. Scattering amplitude**
 - 3. Periodic Crystals ; diffraction**
 - 4. Disorder**

Sylvain Ravy

Laboratoire de Physique des Solides

Université Paris-Saclay, CNRS

sylvain.ravy@universite-paris-saclay.fr

Sylvain.ravy@cnrs.fr

A- Interaction of Radiation with Matter

A-1 Wave and Particles

Characteristics of particles

Particle	X photons	Neutrons	Electrons
Description	Electromagnetic Field $E = E_0 \exp(i(\mathbf{k} \cdot \mathbf{r} - \omega t))$	Particule $\psi \sim \exp(i\mathbf{k} \cdot \mathbf{r})$	Particle $\psi \sim \exp(i\mathbf{k} \cdot \mathbf{r})$
Energy E	$E = h\nu = hc/\lambda$ $\lambda(\text{\AA})=12398/E(\text{keV})$ $\lambda=1 \text{ \AA}, E=12.4 \text{ keV}$ ($\nu=10^{18} \text{ Hz}$)	$E=p^2/2m_n$ $\lambda(\text{\AA})=0.286/E^{0.5}(\text{eV})$ $\lambda=1 \text{ \AA}, E=81.8 \text{ meV}$.	$E=p^2/2m_e$ $\lambda(\text{\AA})=12.265/E^{0.5}(\text{eV})$ $\lambda=1 \text{ \AA}, E=150 \text{ eV}$ ($\lambda=0.04 \text{ \AA}, E=100 \text{ keV}$)
Momentum p	$p = \hbar\mathbf{k} (= \frac{h\nu}{c})$ $3.10^{-6} \ll 1$	$p = \hbar\mathbf{k} (= m\mathbf{v})$ ~ 1	$p = \hbar\mathbf{k} (= m\mathbf{v})$ $\sim 10^{-5}$
Interaction	Charge $\sigma_{\text{th}} \sim Z^2 \text{ barn}$ Magnetic Moment $\sigma_d \sim 10^{-6} \text{ barn}$	Nuclei (strong) $\sigma_d \sim 5 \text{ barn}$ Magnetic moment $\sigma_d \sim 3 \text{ barn}$	Electrostatic potential $\sigma_d \sim 10^8 \text{ barn}$
Absorption	4700 barn ($Z=28, 1.5 \text{ \AA}$)	Typical : 0.1-1 barn	-

X Rays

Wave lengths

$$\lambda = 0.1 \text{ \AA} \text{ to } 3 \text{ \AA}$$

Photon energy

$$E = \hbar\omega = 120 \text{ keV to } 4 \text{ keV}$$

Typically : 4 keV (3.1 Å) to 30 keV (0.41 Å)

And remember: $1 \text{ \AA} = 10^{-10} \text{ m}$, $1 \text{ eV} = 1.6 \cdot 10^{-19} \text{ J}$,
 $E[\text{keV}] = 12.398/\lambda[\text{\AA}]$

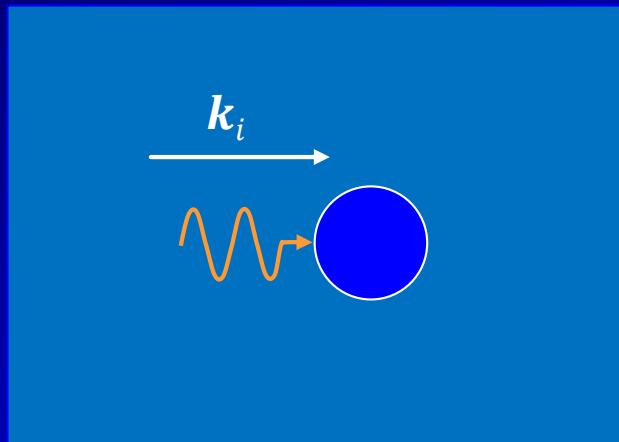
A-2 Absorption and scattering

Interaction of Radiation with Matter

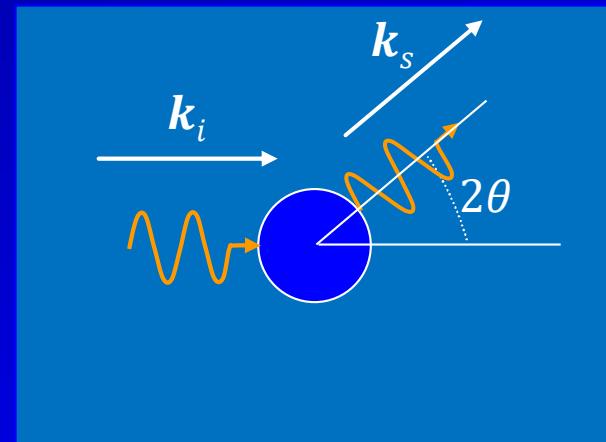
« [Element of modern x-ray physics](#) »

J. Als-Nielsen et D. McMorrow

Two process of interaction
Absorption and scattering



The particle/wave disappears
(conservation E and $p...$)



The particle/wave
changes its
direction of propagation
(interference, diffraction)

2θ : scattering angle
(k_i, k_s) define
the scattering plane

Elastic and Inelastic Scattering

Elastic scattering :

Does not change nature or state
of the particle and the target

Quantum Mechanics II, p. 894

C. Cohen-Tannoudji,

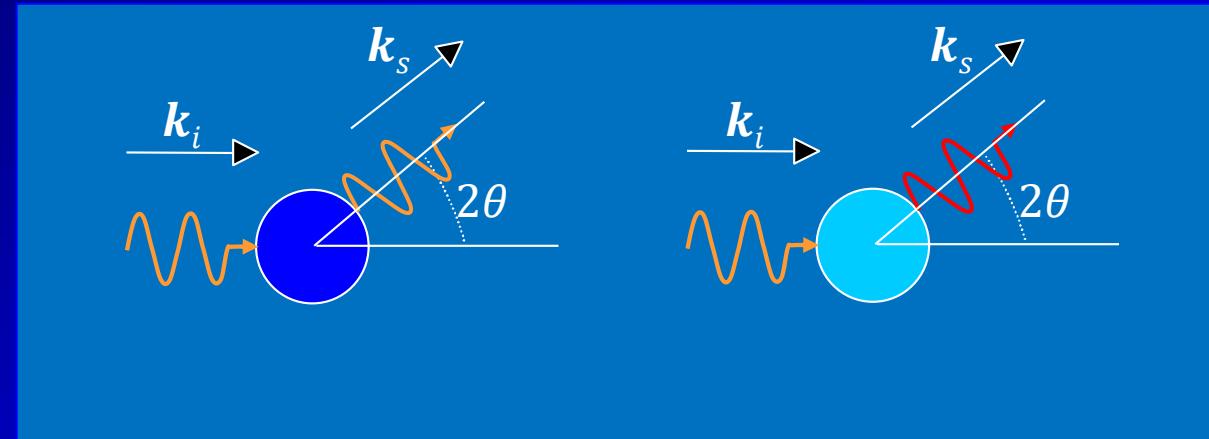
B. Diu, Frank Laloë

Thomson and Rayleigh scattering: elastic

Compton, Raman, Brillouin scattering: **inelastic**

X-rays

Light



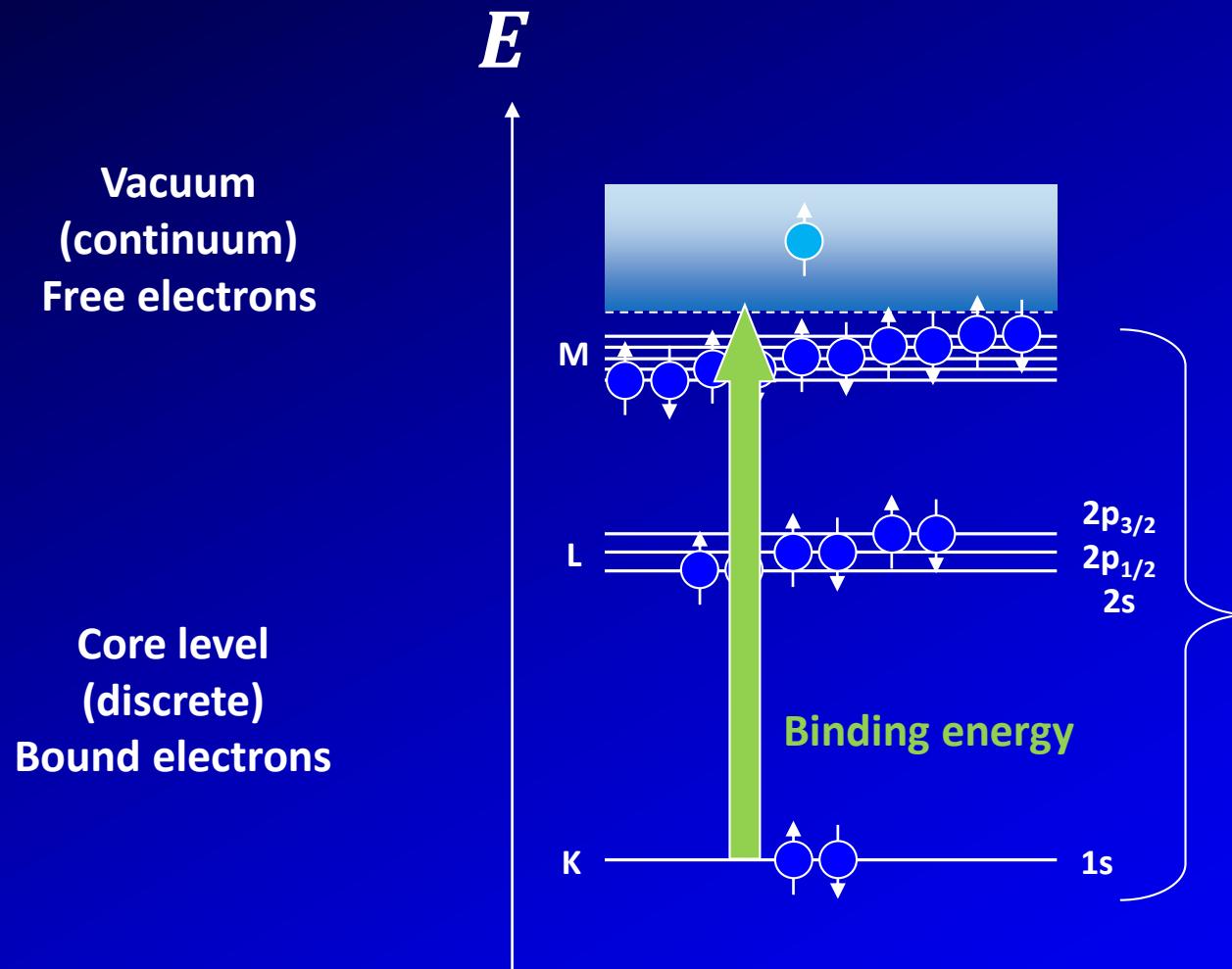
Target does **not** change state

$$k_i = k_s$$

Target **does** change state.

$$k_i \neq k_s$$

Electrons in Atoms



Examples of binding energies:

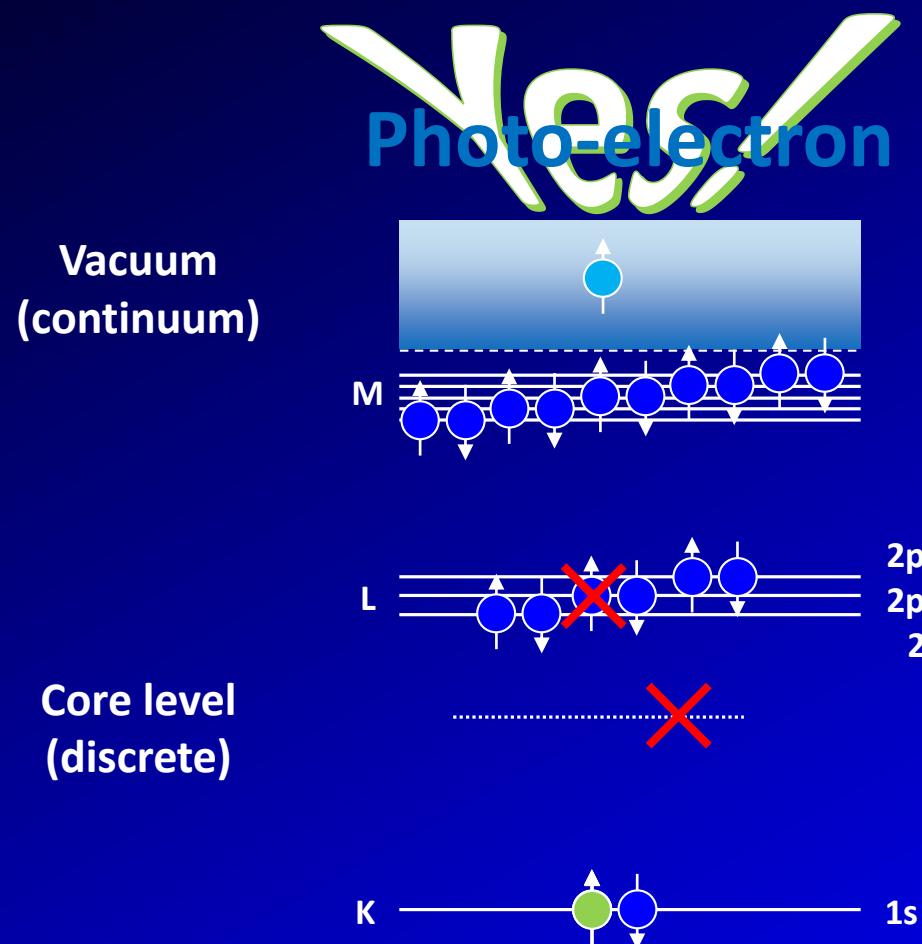
$$E_K(\text{Ag}) = 25 \text{ keV}$$

$$E_K(\text{Fe}) = 7 \text{ keV}$$

$$E_K(\text{Ar}) = 3.2 \text{ keV}$$

$$E_{L_{I,II,III}}(\text{Au}) = 14.3 ; 13.7 ; 11.5 \text{ keV}$$

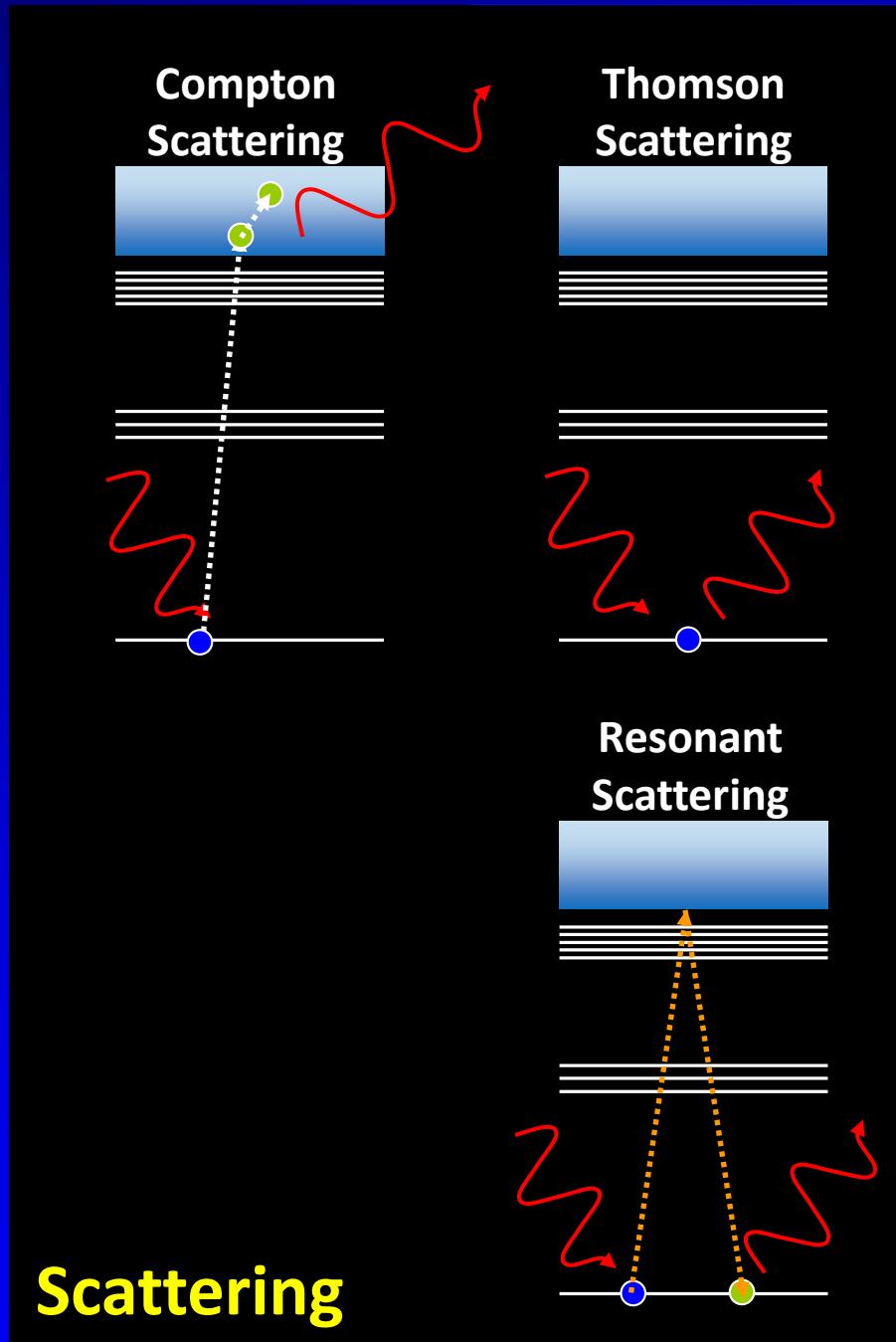
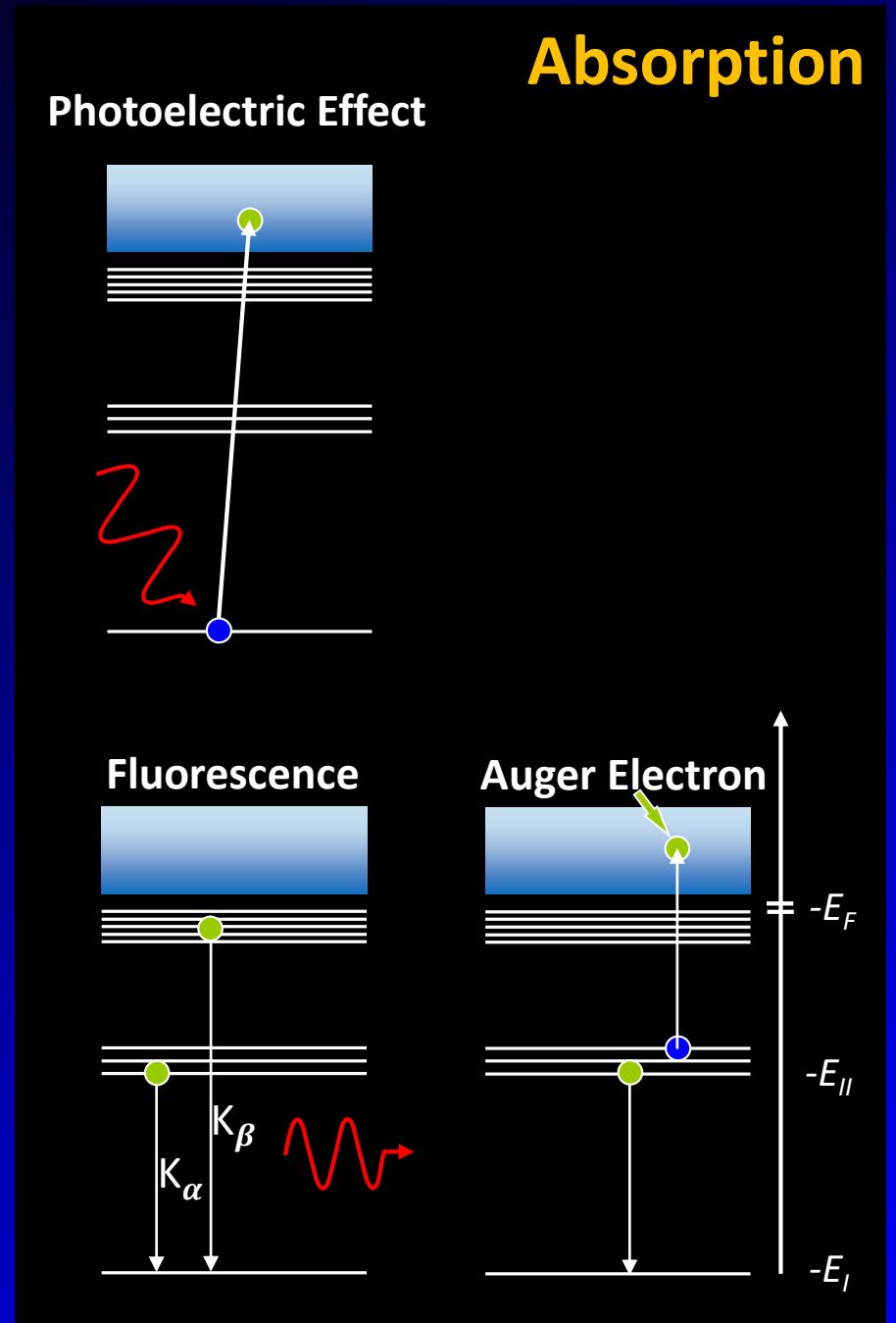
Absorption: increase of coefficient μ



μ

X-ray
Absorption
Spectroscopy



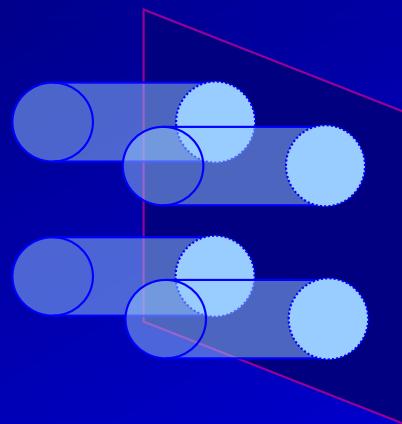


Cross sections

Scattering differential cross section

$$dN_s = \phi \Delta\Omega \frac{d\sigma}{d\Omega}$$

Incident Flux:
(Particle/s/cm²)

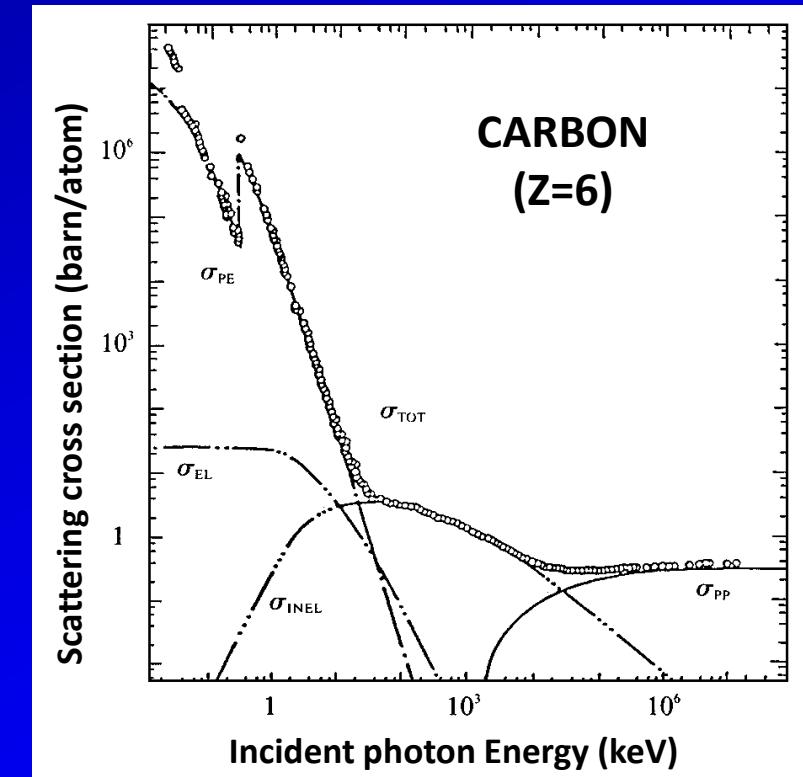


Unit: the barn (10^{-28} m^2)

Absorption cross section

$$dN_a = \phi N(dz) \sigma_a$$

Measurable quantities

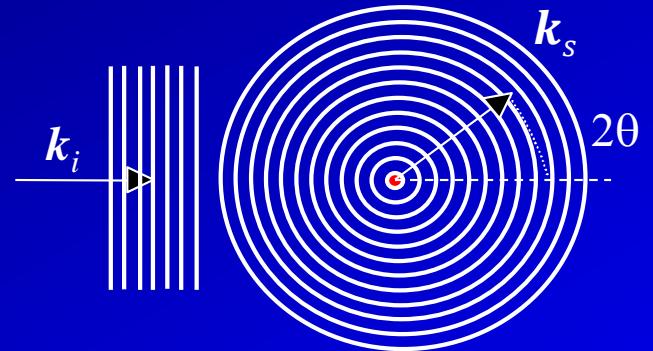


Scattering cross section

- Scattering process

$$dN_s = \phi \Delta\Omega \frac{d\sigma}{d\Omega}$$

Differential scattering cross section



- Wave function of the scattered particle

$$\psi_s = -\frac{b(\theta)}{r} e^{ik_s r}$$

$b(\theta)$: scattering length
Complex number: phase shift
Convention: $b > 0$ (out of phase)

- Scattering

$$\left. \frac{d\sigma}{d\Omega} \right)_{sca} = \frac{k_s}{k_i} |b|^2$$

$$\left. \frac{d\sigma}{d\Omega} \right)_{el} = |b|^2$$

A-3 Thomson Scattering

Thomson Scattering

Incident and scattered photons

$$\begin{aligned}\hbar\omega_i &= \hbar\omega_f \\ k_i = k_s &= \frac{2\pi}{\lambda}\end{aligned}$$

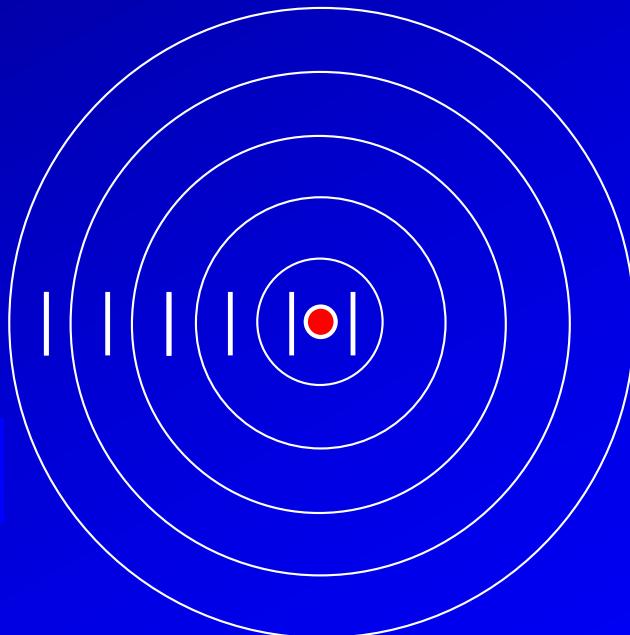
Scattered wave is
spherical

$$E_s(r, t) = -E_0 e_s \frac{b}{r} e^{i(k_s r - \omega t)}$$

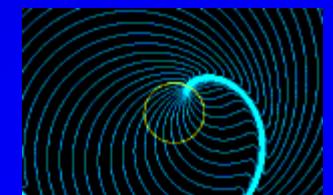


$$E_i(r, t) = E_0 e_i e^{i(k_i \cdot r - \omega t)}$$

Incident wave is
plane



Oscillating charge

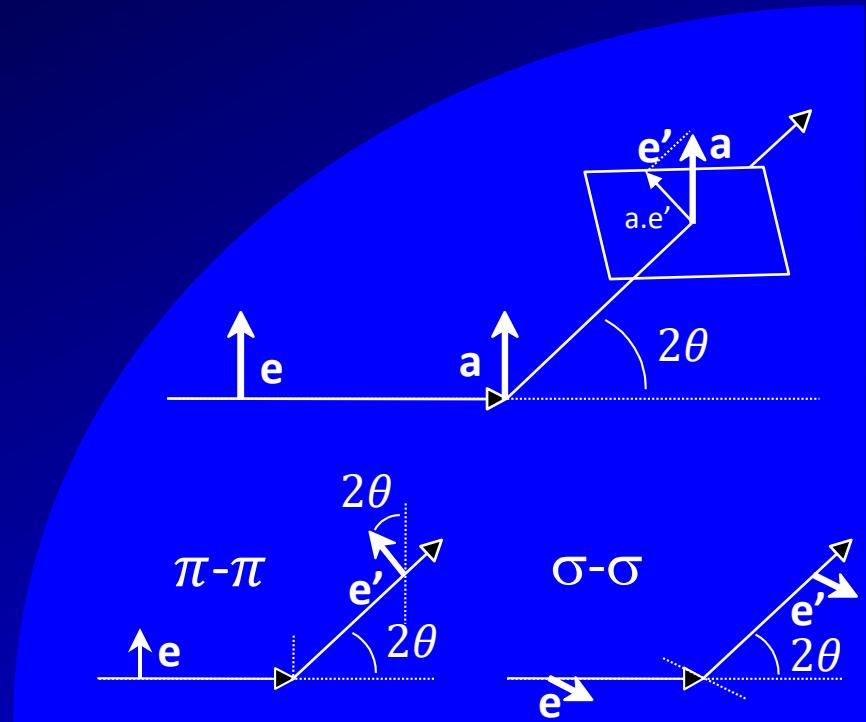


Radiation2D
(field lines)

<https://groups.oist.jp/qwmu/software>

Thomson scattering

- Classical (intuitive but incorrect...) approach which does not explain Compton effect



- The Thomson scattering length is:

Thomson Scattering length

- Incident plane wave

$$E_i(r, t) = E_0 \mathbf{e} e^{i(\mathbf{k}_i \cdot \mathbf{r} - \omega t)}$$

- Electron experience a force:

$$\mathbf{F} = m\mathbf{a} = -e\mathbf{E}(0)$$

- This oscillating charge creates a field:

$$\mathbf{E}_s(r) = \frac{e}{4\pi\epsilon_0 c^2 r} (\mathbf{a}(t - r/c) \cdot \mathbf{e}') \mathbf{e}'$$

$$E_s(r) = -\frac{b_{th}}{r} E_0 \mathbf{e}' e^{i(k_s r - \omega t)}$$

$$b_{th} = \frac{e^2}{4\pi\epsilon_0 mc^2} (\mathbf{e} \cdot \mathbf{e}')$$

Thomson scattering cross section

$$b_{th} = r_e (e \cdot e')$$

Characteristics

- Classical electron radius

$$r_e = \frac{e^2}{4\pi\varepsilon_0 mc^2} = 2.818 \cdot 10^{-15} \text{ m}$$

- If the radiation is polarized (synchrotron) :

$$\sigma - \sigma : \left. \frac{d\sigma}{d\Omega} \right|_{dif} = r_e^2$$

$$\pi - \pi : \left. \frac{d\sigma}{d\Omega} \right|_{dif} = r_e^2 \cos^2 2\theta$$

- If the radiation is not polarized (lab)

$$\left. \frac{d\sigma}{d\Omega} \right|_{dif} = r_e^2 \frac{1 + \cos^2 2\theta}{2}$$

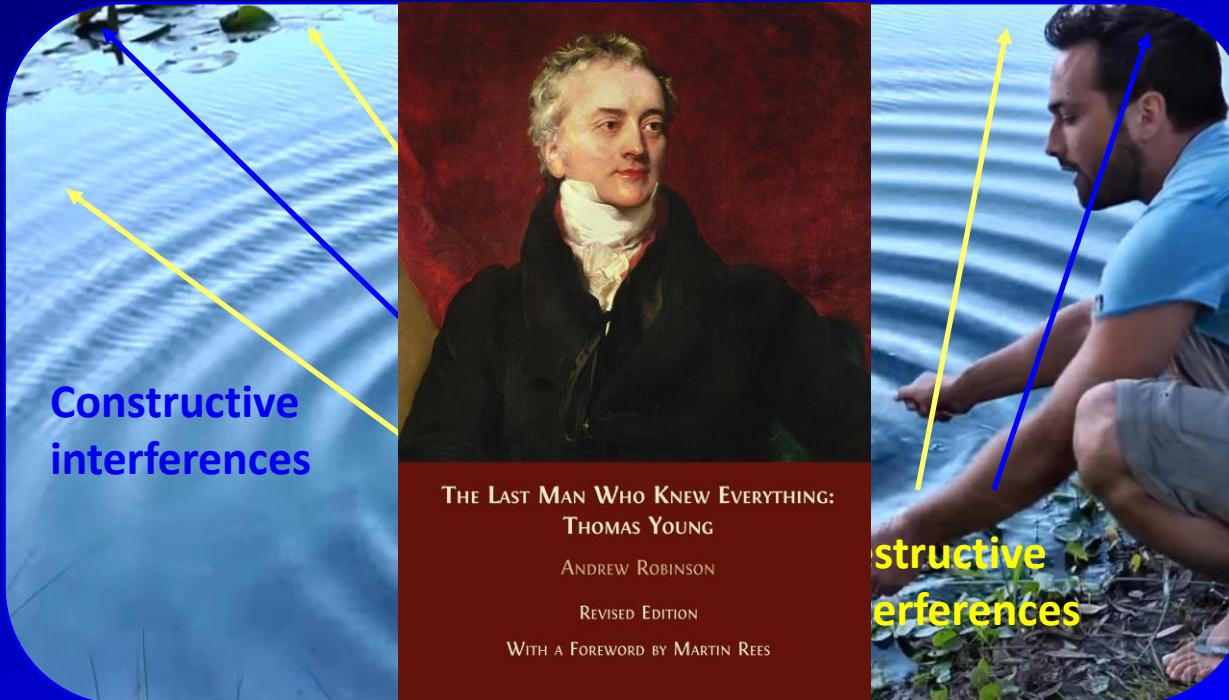
- For a free electron: $\sigma = 4\pi r_e^2 \sim 1 \text{ barn}$

B- Scattering by an atom

B-1 Interferences

1801: Thomas Young discovers the principle of interferences

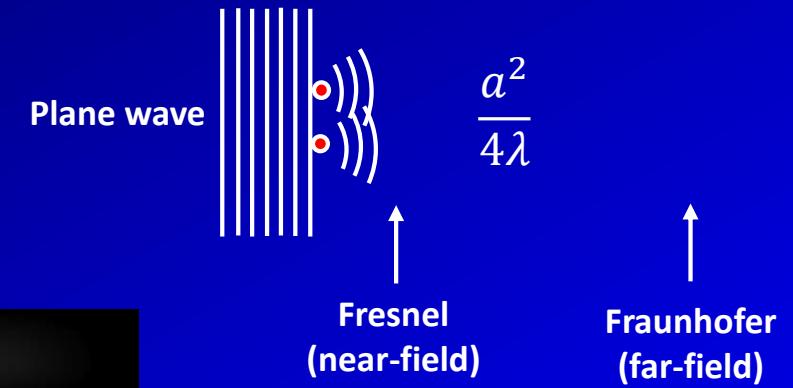
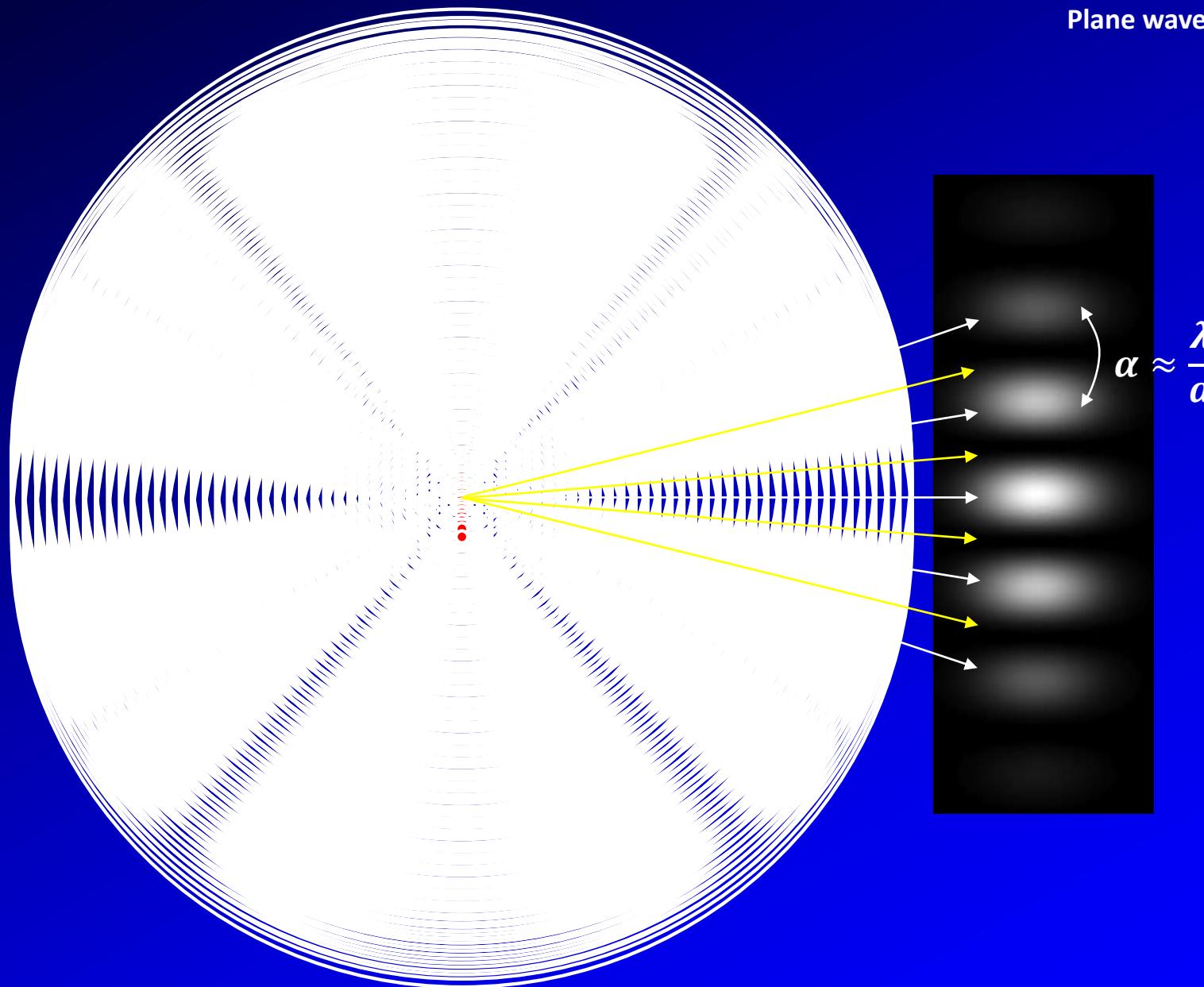
In 1802, he demonstrated interferences effects thanks to a ripple tank



Idea

For waves, the principle of superposition brings a qualitatively different phenomena:
The interference fringes

Interferences



1. **Diffraction iff:** $\lambda < a$
2. **Two regimes of diffraction**
3. **Real space and space of diffraction are "reciprocal"**

Definitions

$$a(r, t) = A_0 \cos(k \cdot r - \omega t + \varphi)$$

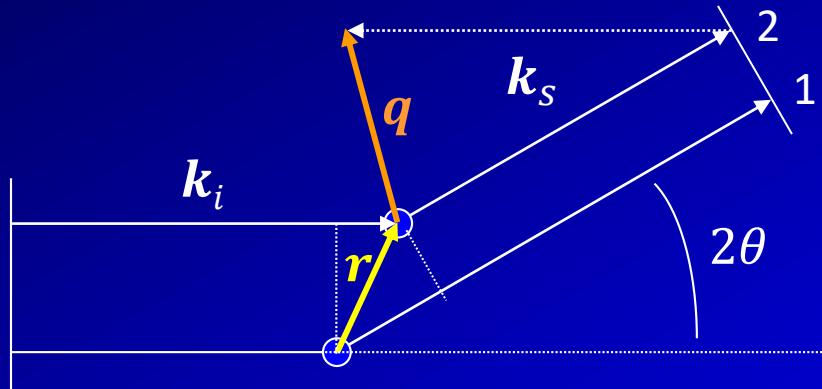
k : wave vector ($k = 2\pi/\lambda$)

ω : angular frequency or speed ($\omega = 2\pi\nu = 2\pi/T$) (rad/s)

$k \cdot r - \omega t + \varphi$: phase

Phase difference in far-field

FF: Scattered beams are parallel



Phase difference between paths 2 and 1:

$$\Delta\phi = \mathbf{k}_i \cdot \mathbf{r} - \mathbf{k}_s \cdot \mathbf{r} \quad \Delta\phi = -\mathbf{q} \cdot \mathbf{r}$$

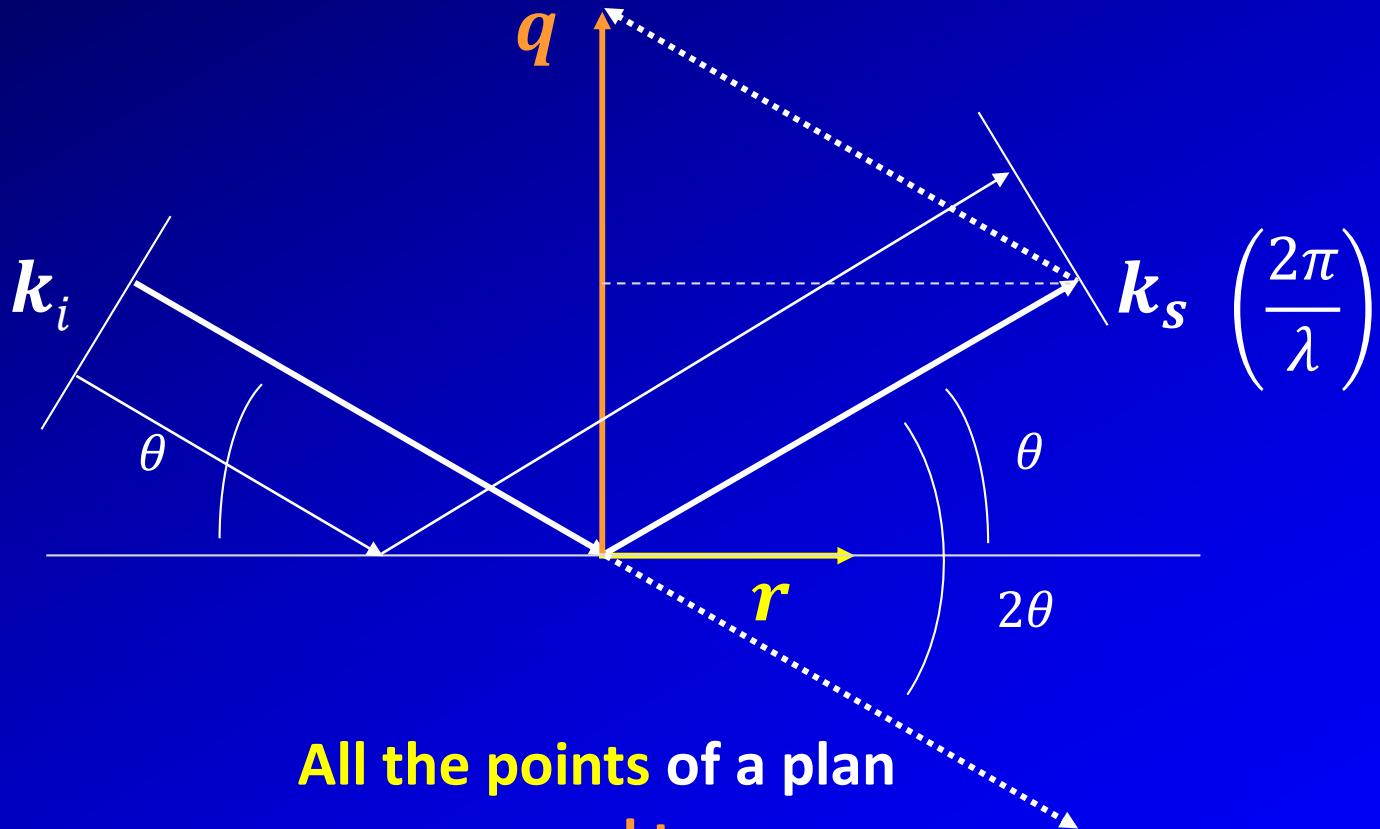
Only depends on the:

Scattering vector

$$\mathbf{q} = \mathbf{k}_s - \mathbf{k}_i$$

Scattering vector

$$q = 4\pi \frac{\sin \theta}{\lambda}$$



All the points of a plan
normal to q
scatter in phase

Expression of the scattered wave

$$a(r, t) = A_1 \cos(\mathbf{k}_s \cdot \mathbf{r} - \omega t - \mathbf{q} \cdot \mathbf{r}_1) + A_2 \cos(\mathbf{k}_s \cdot \mathbf{r} - \omega t - \mathbf{q} \cdot \mathbf{r}_2) + \dots$$

In practice, the complex exponential notation is used

$$a(\mathbf{r}, t) = \operatorname{Re}(A_1 e^{i(\mathbf{k}_s \cdot \mathbf{r} - \omega t - \mathbf{q} \cdot \mathbf{r}_1)} + A_2 e^{i(\mathbf{k}_s \cdot \mathbf{r} - \omega t - \mathbf{q} \cdot \mathbf{r}_2)} + \dots)$$

$$a(\mathbf{r}, t) = \operatorname{Re} \left(e^{i(\mathbf{k}_s \cdot \mathbf{r} - \omega t)} \underbrace{\left(A_1 e^{-i\mathbf{q} \cdot \mathbf{r}_1} + A_2 e^{-i\mathbf{q} \cdot \mathbf{r}_2} + \dots \right)}_{a = |a|e^{i\varphi}} \right)$$

a is the complex amplitude

$$a(\mathbf{r}, t) = |a| \cos(\mathbf{k}_s \cdot \mathbf{r} - \omega t + \varphi)$$

The complex amplitude

$$a = A_1 e^{-iq \cdot r_1} + A_2 e^{-iq \cdot r_2} + \dots$$

The **square of the modulus** gives the intensity

$$I = |a|^2$$

Its **phase** is the phase of the scattered wave
with respect to the origin
and it is lost!

With these notations, diffraction calculations consist in
calculating **Fourier series** (discret case)

$$a = \sum_i \dots e^{-iq \cdot r_i}$$

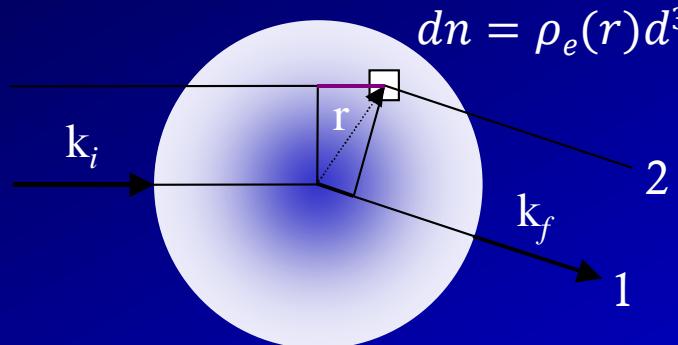
or **Fourier transform** (continuous case)

$$a = \int \dots e^{-iq \cdot r} d^3r$$

B-2 Atomic scattering factor

Atomic scattering factor

- Scattering by a distribution of charge



Scattered wave is the sum of the waves scattered by the electrons in volume d^3r

$$\mathbf{b} = \mathbf{b}_{th} \rho_e(\mathbf{r})d^3\mathbf{r}$$

$$\Delta\varphi = -\mathbf{q} \cdot \mathbf{r}$$

- Atomic scattering factor

$$f(\mathbf{q}) = \int \rho_e(\mathbf{r}) e^{-i\mathbf{q} \cdot \mathbf{r}} d^3\mathbf{r}$$

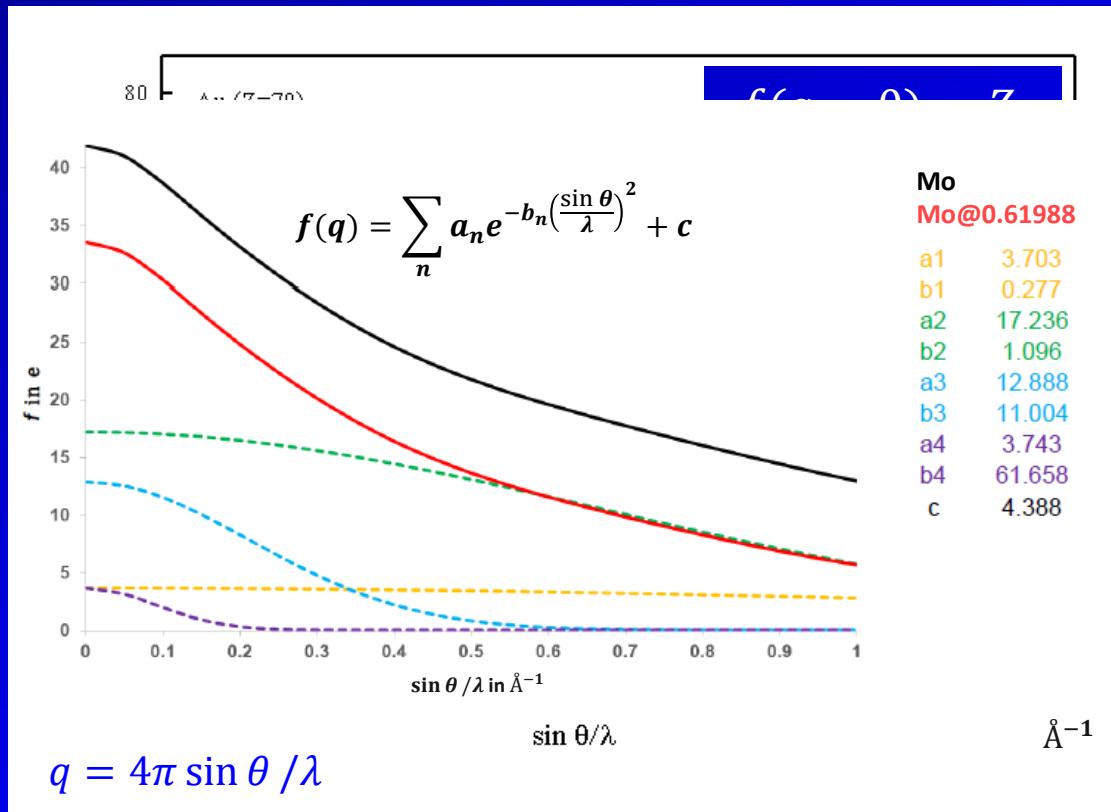
$\rho_e(r)$ is the electron density of the atom
 q scattering vector

Atomic scattering factor

$$f(\mathbf{q}) = \int \rho_e(\mathbf{r}) e^{-i\mathbf{q} \cdot \mathbf{r}} d^3\mathbf{r}$$

$f(\mathbf{q})$: Fourier transform of $\rho_e(\mathbf{r})$

$f(\mathbf{q})$:
International tables
of crystallography
[SHELXL]



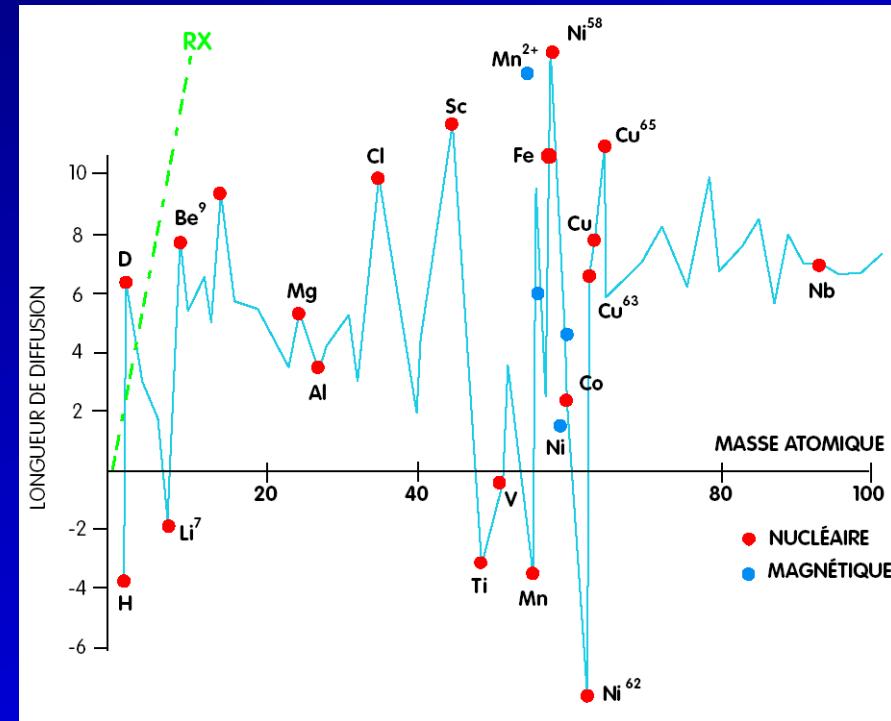
Neutron scattering length

$$b = \left(\frac{2\pi\hbar^2}{M} \right) \int \mathcal{V}(r) e^{-iq \cdot r} d^3r$$

Fermi pseudo potential
Short range (fm).

$$b \sim 5 \text{ fm} \Rightarrow \sigma = 4\pi b^2 \sim 3 \text{ barn}$$

$$\sigma_X = Z^2 \text{ barn}$$



- b does not depend on q
- b is large for light elements
- b depends on the isotope
- b can be negative

Limits of the classical theory (RX)

$f(q)$ (Thomson) depends on the:

- i) Electron density
- ii) Scattering vector

and not (directly) on energy...

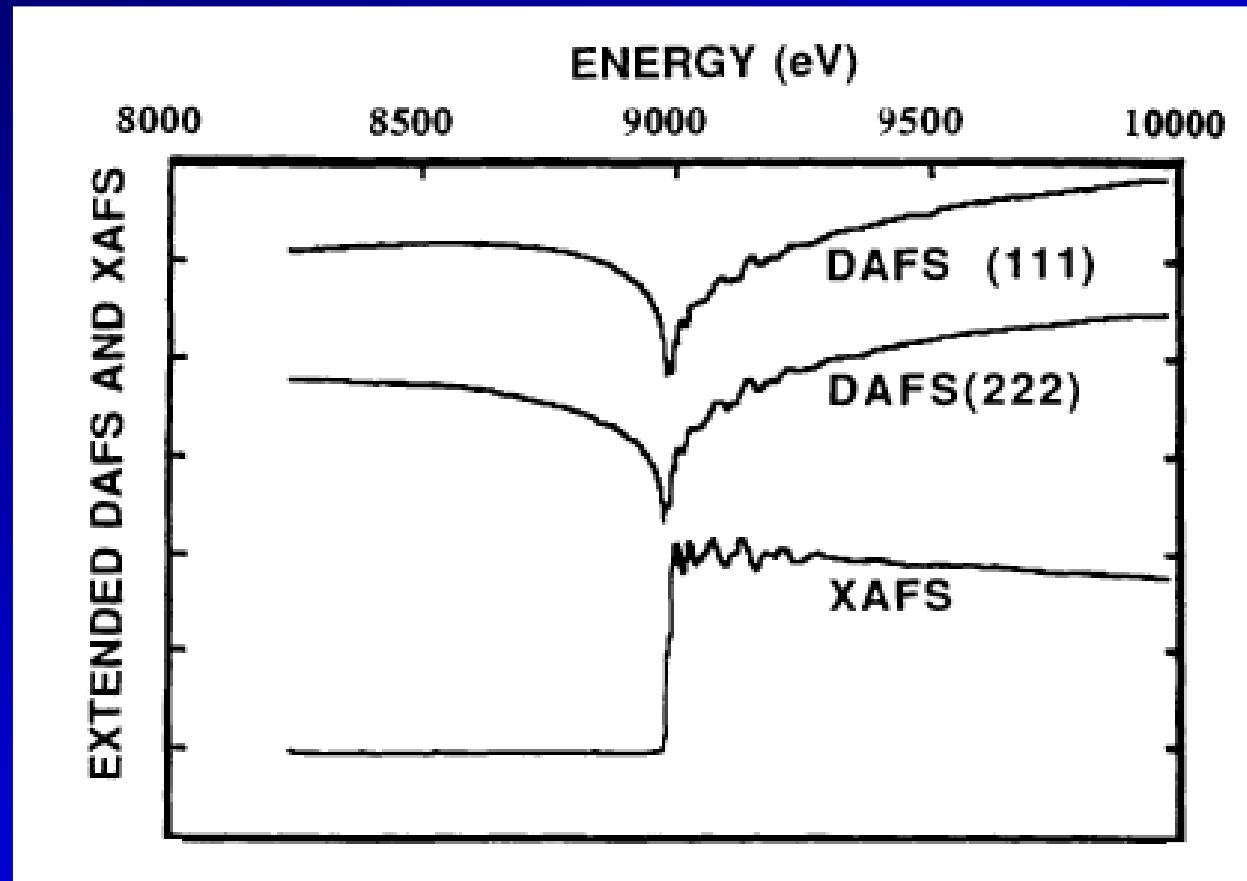
But!...

DAFS: Diffraction Anomalous Fine Structure

Intensity of Bragg reflections (111) and (222) of Cu (25 mm x 200 nm)
for energies close to the K-Edge of Cu.

$$2d_{111} \sin \theta = \lambda$$

$$q = 4\pi \frac{\sin \theta}{\lambda} = \frac{2\pi}{d_{111}}$$

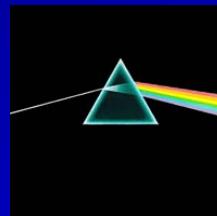


B-3 Resonant Scattering

Resonant scattering factor (anomalous)

$$f'(\mathbf{q}, \omega) = f_0(\mathbf{q}) + f'(\mathbf{q}, \omega) + if''(\mathbf{q}, \omega)$$

$f'(\mathbf{q}, \omega)$ associated to dispersion (n index)



$f''(\mathbf{q}, \omega)$ associated to absorption (optical theorem)



Both are related by the Kramers-Kronig relations



Resonant scattering

Classical model: damped oscillator

Restoring force $m\omega_0^2 r$, friction $-Kv$

$$F = ma = -eE(0) - Kv - m\omega_0^2 r$$

More important with
Synchrotron radiation

With $r = r_0 e^{-i\omega t}$

$$a = -\omega^2 r_0 e^{-i\omega t} = \frac{e\omega^2 E_0 e^{-i\omega t}}{m(\omega_0^2 - \omega^2) - i\omega K}$$

The scattered field writes

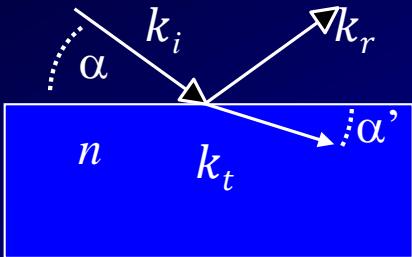
$$E_d(r) = \frac{e}{4\pi\epsilon_0 c^2 r} (a(t - r/c) \cdot e') e'$$

$$E_d(r) = -\frac{b_{an}}{r} E_0 e' e^{i(k_a r - \omega t)}$$

Scattering length is modified :
real part and imaginary part

$$b_{an} = b_{th} \left(\frac{\omega^2}{\omega^2 - \omega_0^2 + i\omega K/m} \right)$$

Refraction index



It can be shown that:

$$n(\omega) = 1 - \frac{2\pi\rho_s r_0 f(q=0, \omega)}{k^2}$$

$$n = n_r + i\beta = 1 - \delta + i\beta$$

$$e^{inkz} = e^{ink_r z} e^{-\beta kz} \quad \beta k = \mu/2$$



$$n_r = 1 - \frac{2\pi\rho_s r_0}{k^2} (f_0 + f'(\omega))$$

Refraction : $\delta = 10^{-5}$



$$\beta = -\frac{2\pi\rho_s r_0}{k^2} f''(\omega)$$

Absorption :

$$f''(\omega) = \frac{\mu(\omega)k}{4\pi\rho_s r_0}$$

Kramers-Kronig relation (1926)

Causality principle gives a relation between f' et f''

$$f'(\omega) = \frac{2}{\pi} P \int_0^{\infty} \frac{\omega' f''(\omega')}{(\omega'^2 - \omega^2)} d\omega'$$
$$f''(\omega) = \frac{2\omega}{\pi} P \int_0^{\infty} \frac{f'(\omega')}{(\omega^2 - \omega'^2)} d\omega'$$

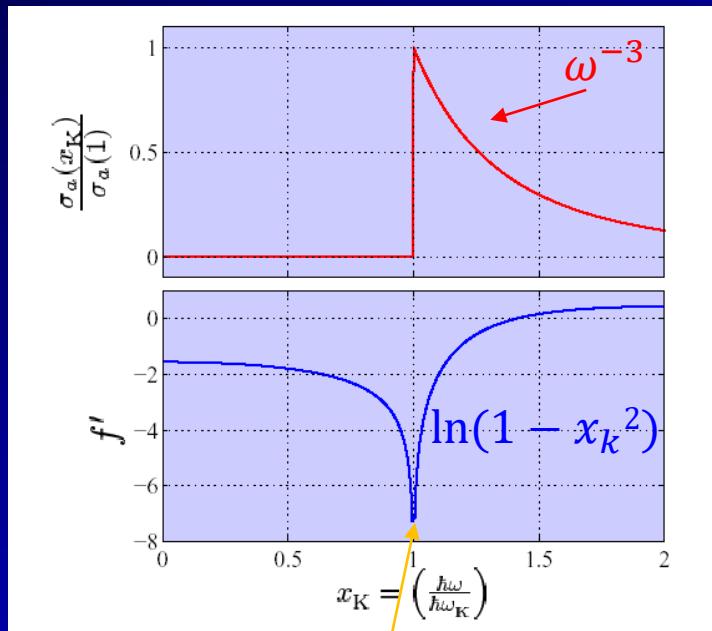
Once you have measured one, you can calculate the other one.

P is the Cauchy principal value

$$\lim_{\varepsilon \rightarrow 0} \int_{\omega-\varepsilon}^{\omega+\varepsilon} \dots$$

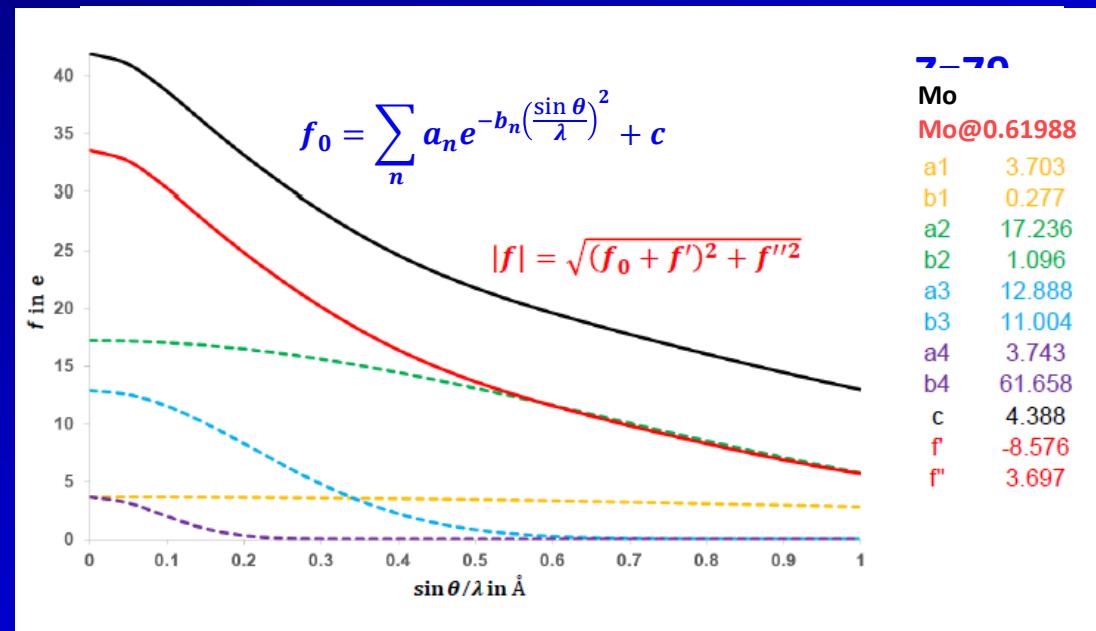
Kramers-Kronig relation

Theoretical calculation with 2 K electrons



Corrections can be very important
close to an edge
(see the example of Au L-edges)

KK from absorption



It is necessary to take them into account
for structure refinements

Can be used to enhance contrast between elements

C- Scattering by an arbitrary structure

C-1 Pair Correlation Function

Pair Correlation Function

$$v_a = V/N$$

Average
atomic volume



Time dependent pair correlation function

$$\langle dn(\mathbf{r}, t) \rangle = G(\mathbf{r}, t) d^3\mathbf{r}$$

Spatial, statistical, temporal average

$G(\mathbf{r}, t)$: Space and time Fourier Transform can be obtained by neutron scattering

Pair Distribution Function $g(r)$ (PDF)

$$G(\mathbf{r}, t = 0) = G(\mathbf{r})$$

$$\langle dn(\mathbf{r}, t = 0) \rangle = G(\mathbf{r}) d^3\mathbf{r} = \delta(\mathbf{r}) d^3\mathbf{r} + \frac{g(\mathbf{r})}{v_a} d^3\mathbf{r}$$

FT of $g(r)$ can be obtained by X-ray diffraction

Density-density correlation function:

$$G(\mathbf{r}) = \frac{1}{N} \left\langle \int \rho(\mathbf{u}) \rho(\mathbf{u} + \mathbf{r}) d^3\mathbf{u} \right\rangle = \frac{1}{N} \langle \rho(\mathbf{r}) * \rho(-\mathbf{r}) \rangle$$

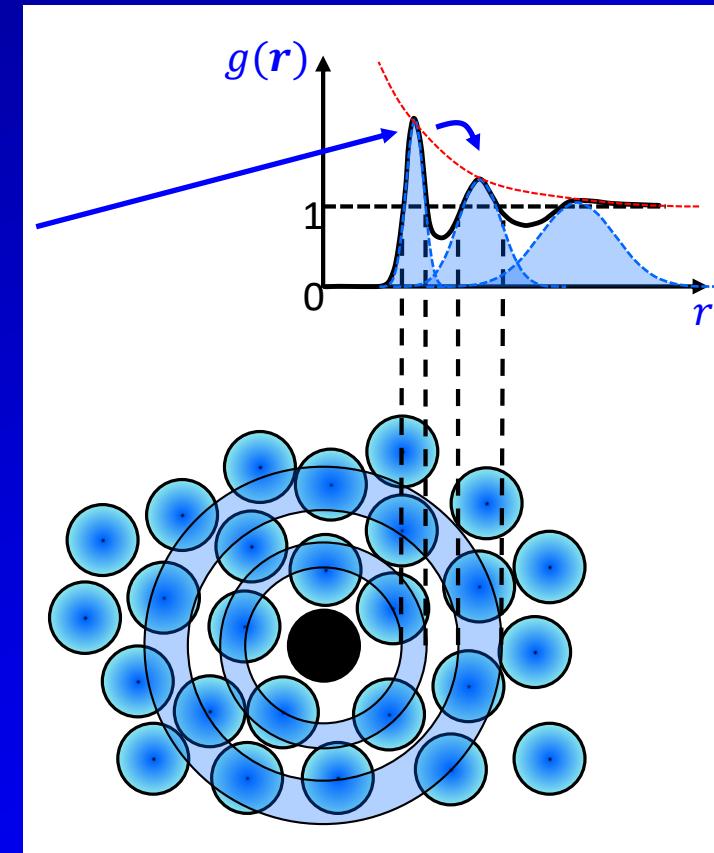
Pair distribution function

$$dn(\mathbf{r}) = \delta(r)d^3\mathbf{r} + g(r)\rho_a d^3\mathbf{r}$$

Peaks:
First neighbour
Second neighbour
etc.

Peak width:
Distance fluctuation

Peak integral:
Number of neighbours



Three types of order

$$dn(\mathbf{r}) = \delta(r)d^3\mathbf{r} + g(\mathbf{r})\rho_a d^3\mathbf{r}$$

- Large distance behaviour of $g(r)$ defines three types of order:

Short-Range Order (SRO)

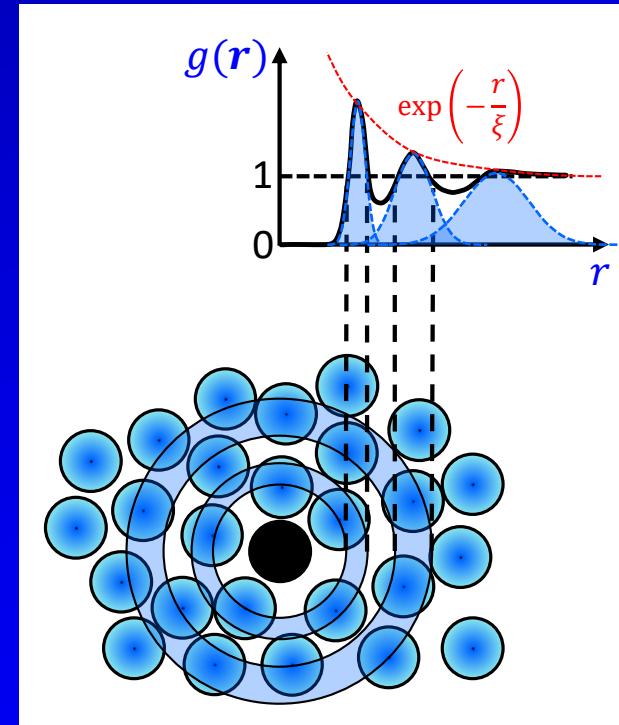
- $g(r) \sim \exp\left(-\frac{r}{\xi}\right)$
- ξ : correlation length
- Ex: glass, liquids
- Maximum order in 1D

Quasi Long-Range Order (QLRO)

- $g(r) \sim |r|^{-\eta}$
- No length scale
- Ex: Smectics, 2D crystals
- Maximum order in 2D

Long-Range Order (LRO)

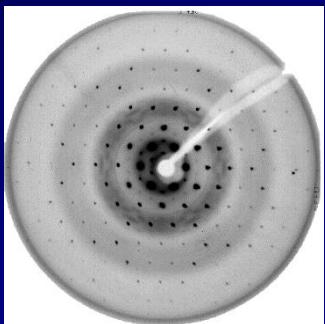
- $g(r)$ has no limit
- Ex: Crystals
- Bragg peaks



Experimental evidence of order

Long-range Order: diffraction

X-rays



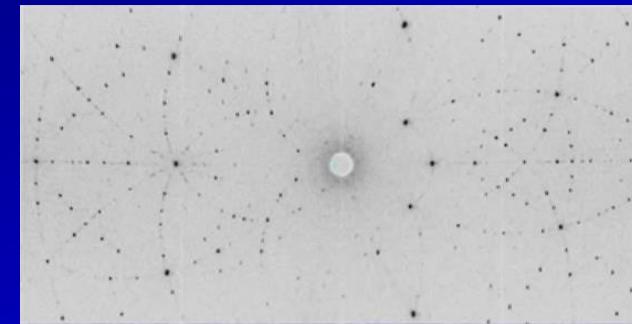
C_{60} crystal

Electrons



Quasi-crystal

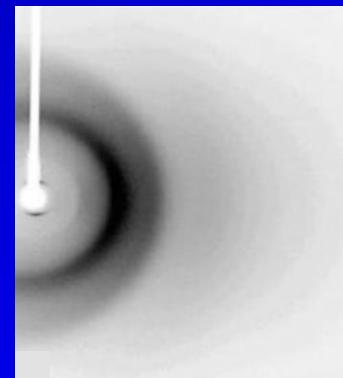
Neutrons



Existence of Bragg reflections
Widths are resolution limited

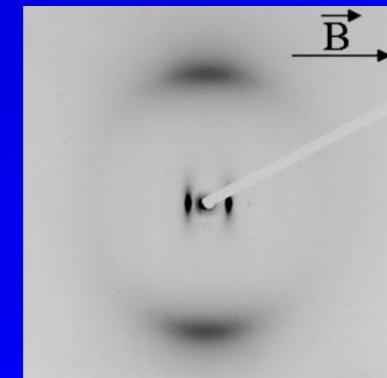
Otherwise: diffuse scattering

Continuous Scattering



Water

$$\vec{B}$$



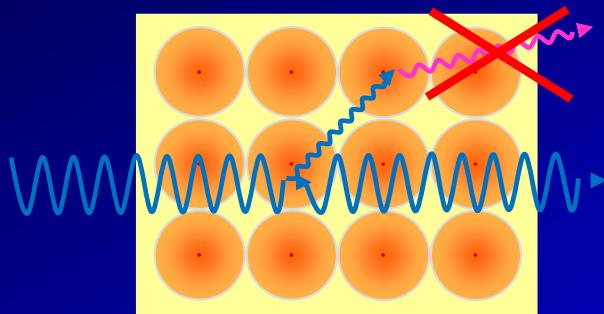
Smectic liquid crystal

C-2 Scattering amplitude

Scattering by an arbitrary structure

Kinematic approximation

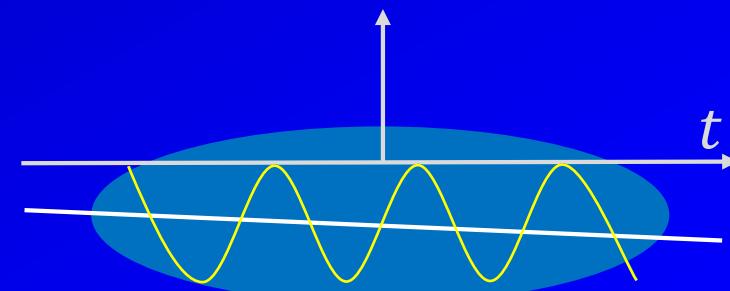
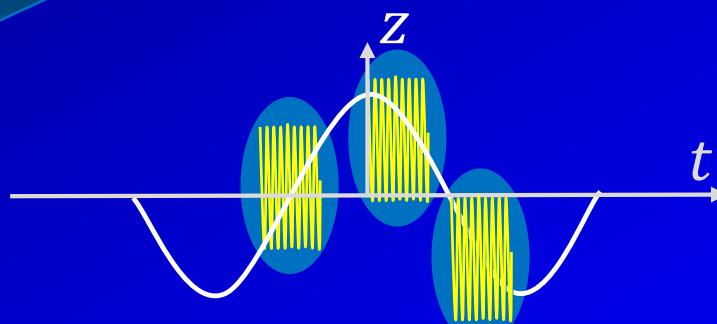
- Intensity of the diffracted beam much smaller than the incident beam energy
- No multiple diffraction, no intensity loss



- Approximation is valid for small crystals (mosaic)
- No approximation → **Dynamical theory**

Static approximation

- Frequencies of X-rays: 10^{18} Hz
- Frequencies of atomic vibrations: 10^{12} Hz (THz)



- Energy of X-rays is larger than the energy of elementary excitations
- X-ray make an average of the intensity of « snapshots »

Calculation of the scattering amplitude

Kinematical approximation

$$A(\mathbf{q}) = \int \rho_{tot}(\mathbf{r}) e^{-i\mathbf{q}\cdot\mathbf{r}} d^3\mathbf{r}$$

- Integration on all the crystal
 - $\mathbf{r} = \mathbf{r}(t)...$

$A(\mathbf{q})$ is the complex scattering amplitude

It is the Fourier transform of the total electron density

$$\rho(\mathbf{r})_{tot} \equiv \rho(\mathbf{r})$$

Total scattering cross section

$$\frac{d\sigma}{d\Omega} = \frac{d\sigma}{d\Omega}_{th} \left| \left\langle \int \rho(\mathbf{r}) e^{-i\mathbf{q}\cdot\mathbf{r}} d^3\mathbf{r} \right\rangle_t \right|^2$$

Scattering Intensity

$$I(\mathbf{q}) = \langle |A(\mathbf{q})|^2 \rangle$$

Ergodicity: $\langle \dots \rangle_t = \langle \dots \rangle$

Scattering function

Scattering function $S(\mathbf{q})$ is:

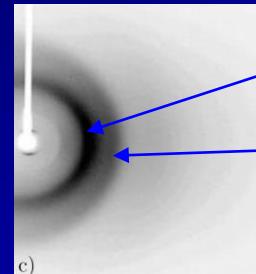
$$S(\mathbf{q}) = \frac{I(\mathbf{q})}{Nf^2} = 1 + \rho_a \int (g(\mathbf{r}) - 1) e^{-i\mathbf{q}\cdot\mathbf{r}} d^3\mathbf{r}$$

The only quantity directly measurable by diffraction methods is the pair distribution function $g(\mathbf{r})$

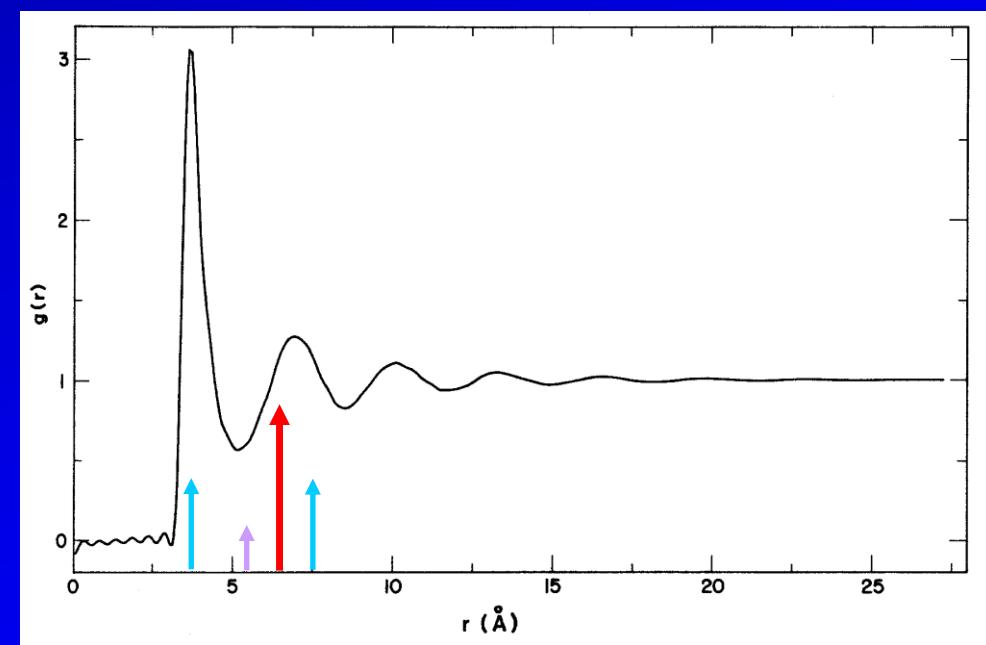
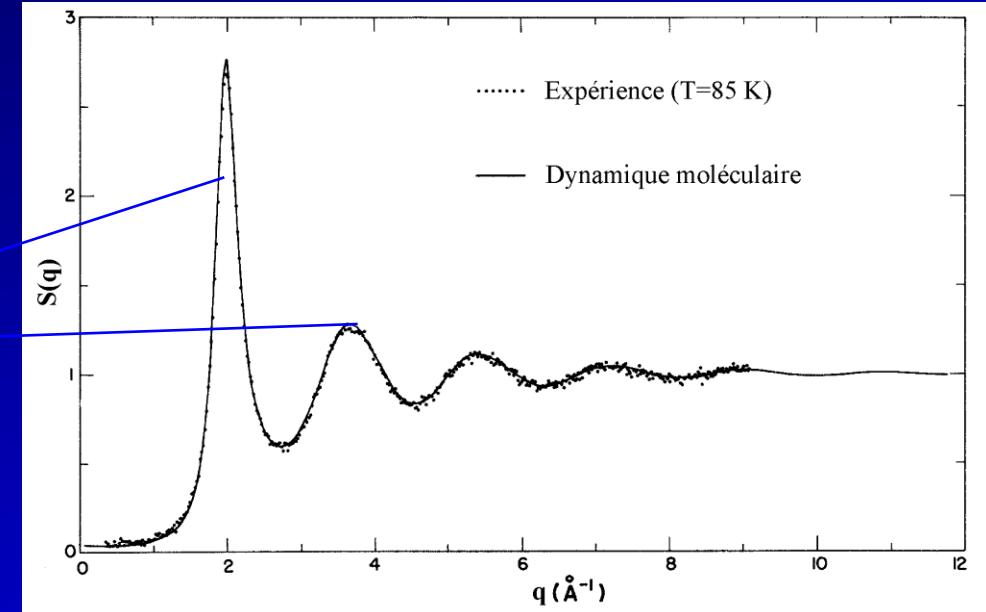
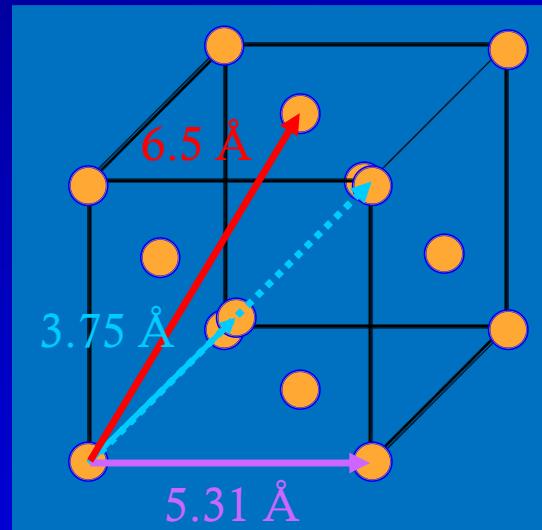
$$g(\mathbf{r}) = 1 + \frac{\nu_a}{(2\pi)^3} \int (S(\mathbf{q}) - 1) e^{i\mathbf{q}\cdot\mathbf{r}} d^3\mathbf{q}$$

Example

Liquid argon
85 K
Neutrons



Solid Argon
f.c.c



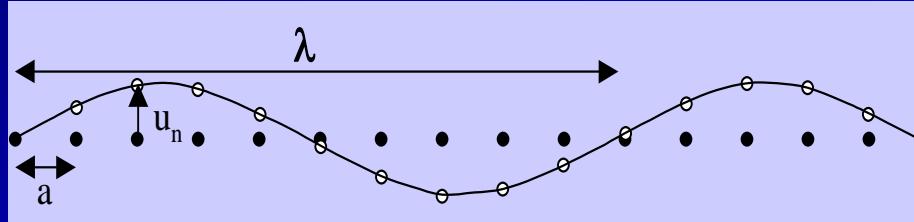
C-3 Periodic Crystals

All crystals
are not
periodic!

Aperiodic crystals

Incommensurate crystals

Local property (ex: polarisation)
has a periodicity
incommensurate with the lattice periodicity.

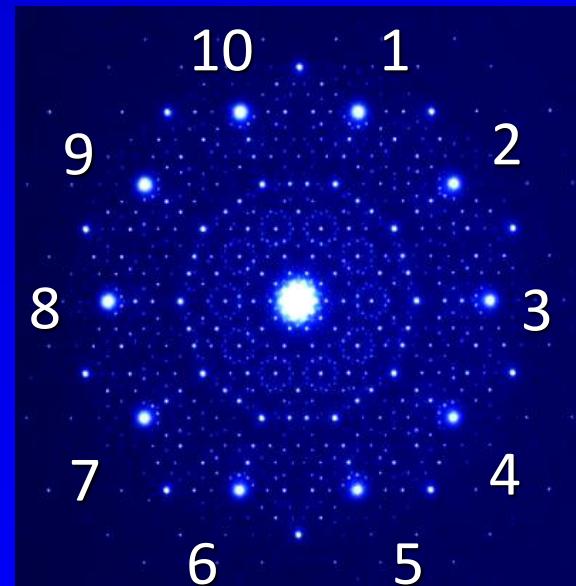


Crystallographic methods
must be changed

λ and a have an irrational ratio

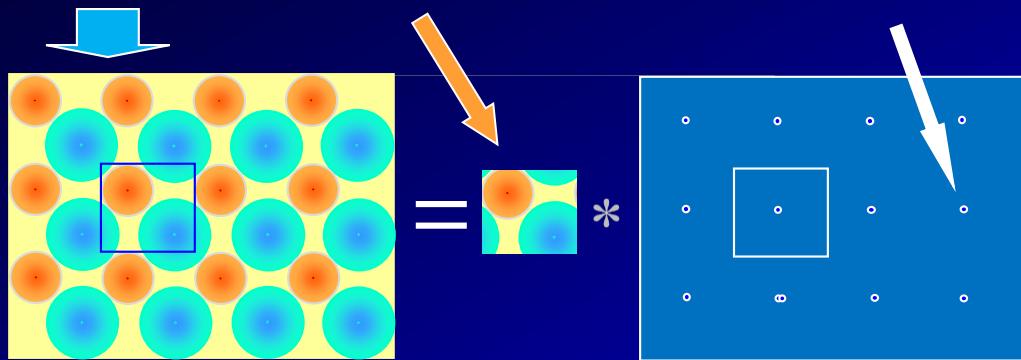
Quasi-crystals
(AlPdMn)

Sharp diffraction peaks
Long-range order
AND
5-fold symmetry
(not consistent with periodicity)



Periodic crystals

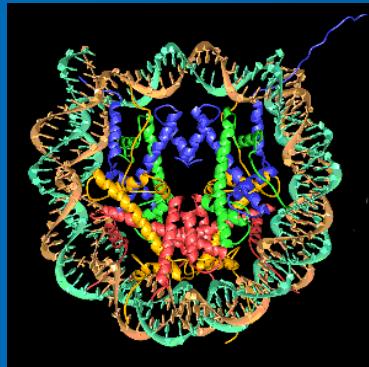
Crystal: motif (or basis) associated to a lattice



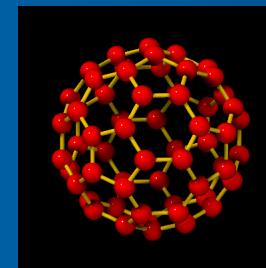
Motif

Nucleosome

Macromolecule

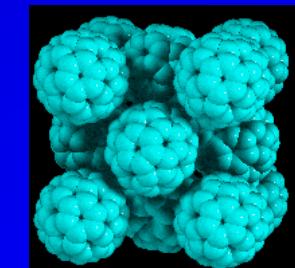
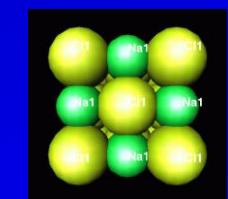
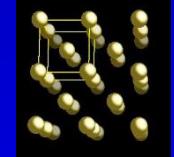


C₆₀
Molecule

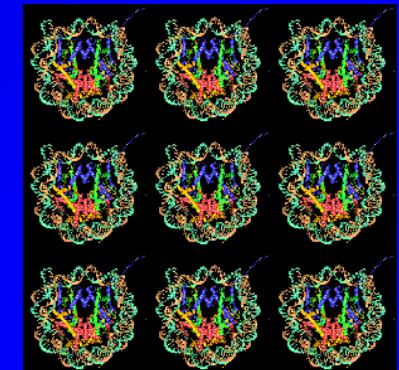


Group of atoms

Na
Atom



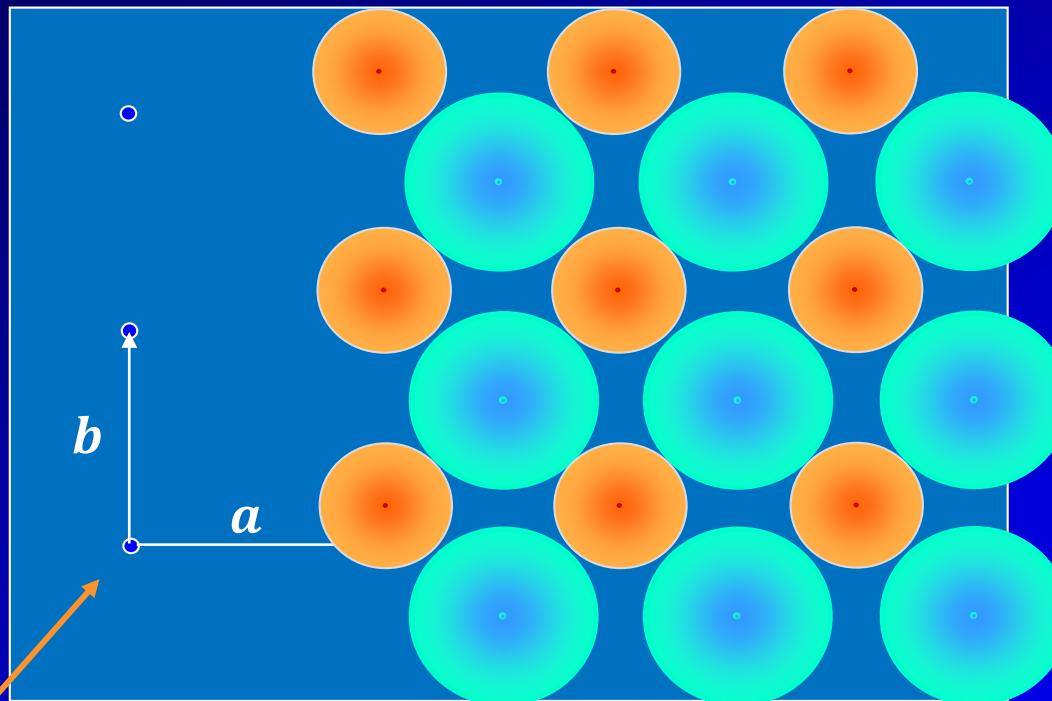
Crystal



Periodic crystals

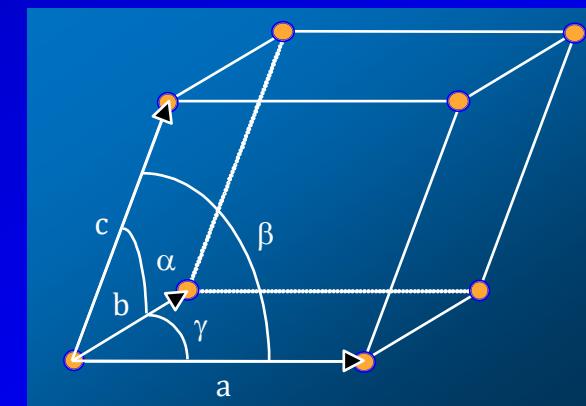
Reduced coordinates

$$\text{Nodes positions: } \mathbf{r}_{uvw} = u\mathbf{a} + v\mathbf{b} + w\mathbf{c}$$



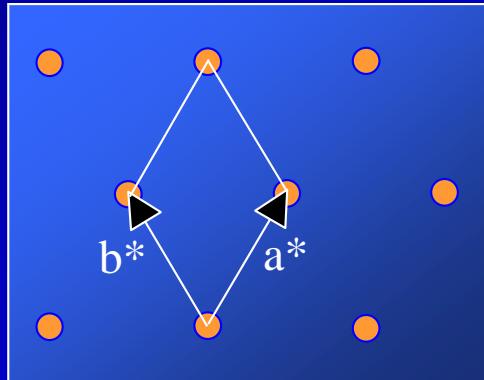
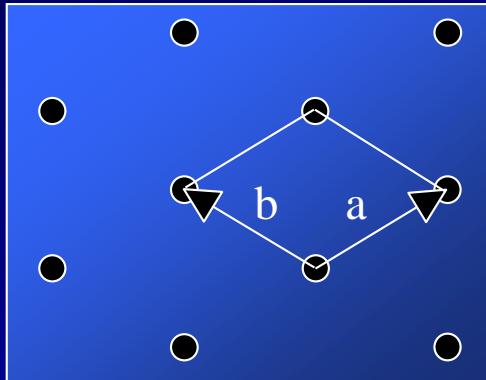
Cell parameters

$$a, b, c, \alpha, \beta, \gamma$$



The reciprocal space

Is the Fourier transform of the direct space



$$\mathbf{a}^* = 2\pi \frac{\mathbf{b} \wedge \mathbf{c}}{v}; \mathbf{b}^* = 2\pi \frac{\mathbf{c} \wedge \mathbf{a}}{v}; \mathbf{c}^* = 2\pi \frac{\mathbf{a} \wedge \mathbf{b}}{v}$$

$$FT \left(\sum_{uvw} \delta(\mathbf{r} - \mathbf{r}_{uvw}) \right) = \frac{1}{v} \sum_{hkl} \delta(\mathbf{q} - \mathbf{Q}_{hkl})$$

$$\mathbf{Q}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$$

Miller indices

14 Bravais lattices

Modes:	P	I	F	C	Symmetry
Triclinic $a \neq b \neq c$ $\alpha \neq \beta \neq \gamma$					$\bar{1}$
Monoclinic $a \neq b \neq c$ $\alpha = \gamma = 90^\circ; \beta$					$2/m$
Orthorhombic $a \neq b \neq c$ $\alpha = \beta = \gamma = 90^\circ$					$2/mmm$
Tetragonal $a = b \neq c$ $\alpha = \beta = \gamma = 90^\circ$					$4/mmm$
Rhomboedric $a = b = c$ $\alpha = \beta = \gamma$					$\bar{3}m$
Hexagonal $a = b \neq c$ $\alpha = \beta = 90^\circ; \gamma = 120^\circ$					$6/mmm$
Cubic $a = b = c$ $\alpha = \beta = \gamma = 90^\circ$					$m\bar{3}m$

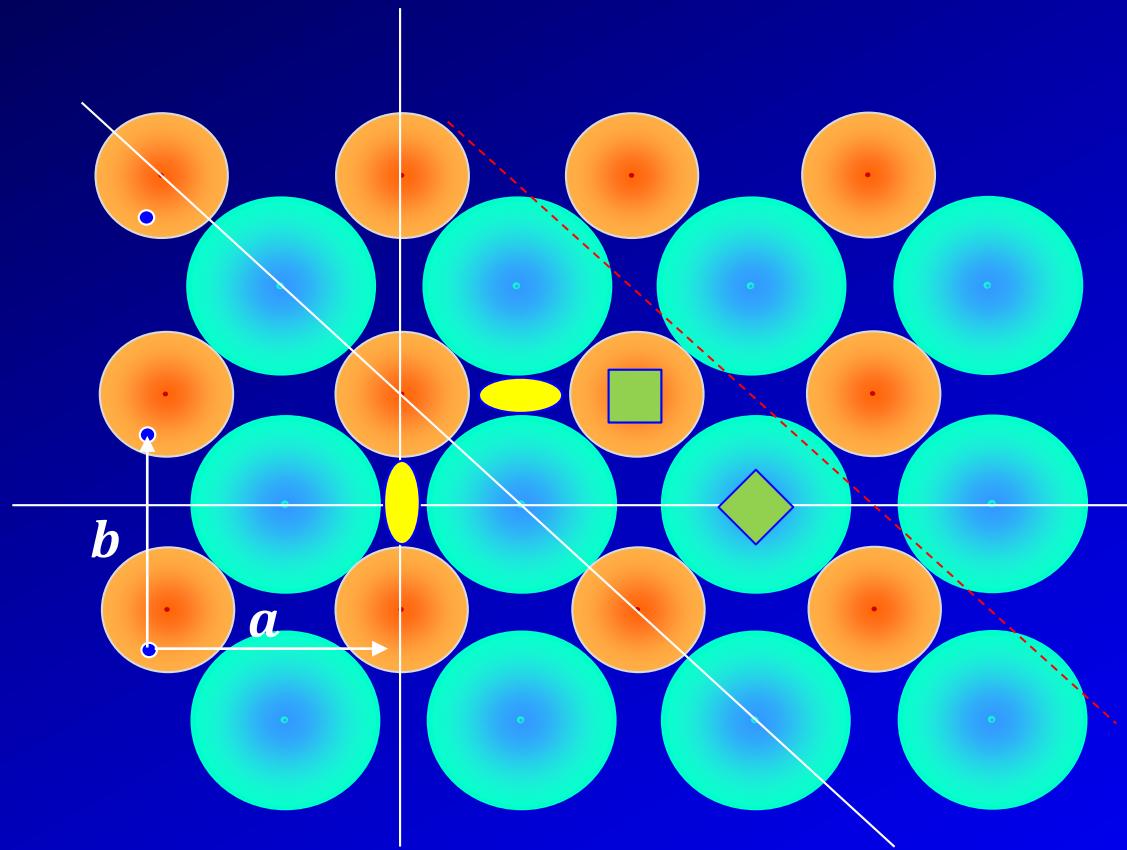
In 3D
7 systems (symmetry)
14 lattice modes

Only 1,2,3,4,6-fold
symmetries are
compatible with periodicity

Reciprocal Lattice has
the same symmetry
(not the same mode)

A little bit of symmetry

The symmetries of a crystal form a group, called « space group » (p4mm)



In 3D

230 space groups

7 crystalline systems

32 point groups

$I4_122 ; 422$

Mirrors

4-fold symmetry

2-fold symmetry

Glide plane
(screw axis)

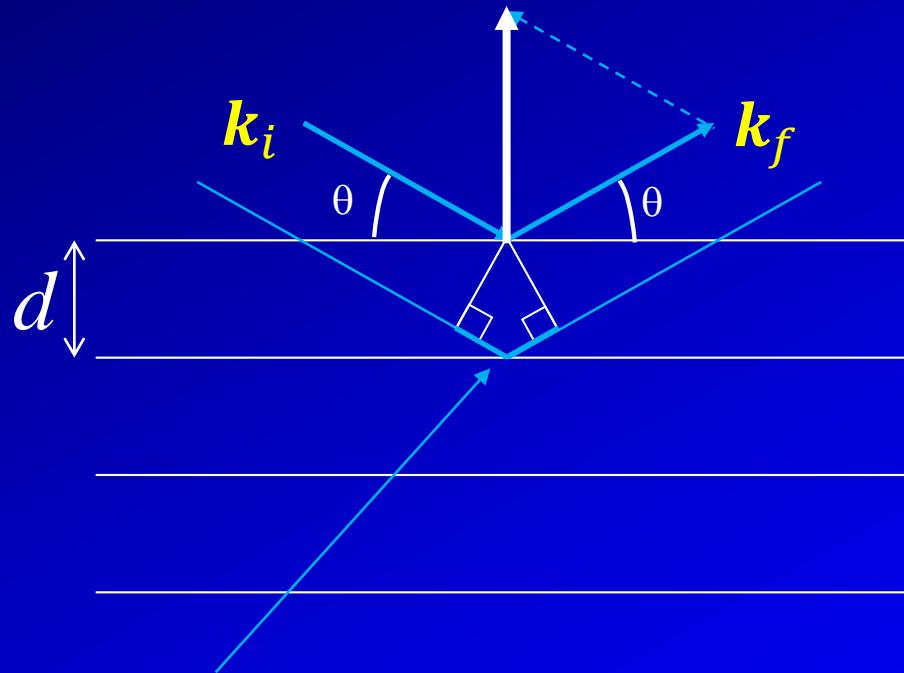
Symmetry of the Reciprocal Lattice is the Laue Group (point Group + center of symmetry)

$I4_122 ; 422 ; 4mm$

Bragg relation

Periodicity = Lattice planes
mirrors

$$\mathbf{q} = \mathbf{k}_f - \mathbf{k}_i$$



The lower beam traverses an extra length of
 $2d \sin \theta$

$$2d \sin \theta = m\lambda$$
$$qd = m2\pi$$

Constructive interference occurs when this length is
equal to an integer multiple of the wavelength

**Bragg relation gives a
geometric relation
for diffraction to happen
but no
quantitative information
on the diffracted intensity**

Diffraction by a periodic crystal

Simple case: Crystal of $N \times N \times N$ cells

One atom/cell scattering factor f , amplitude is:

$$A(\mathbf{q}) = \sum_{u=1}^N \sum_{v=1}^N \sum_{w=1}^N f e^{-i\mathbf{q} \cdot \mathbf{R}_{uvw}}$$

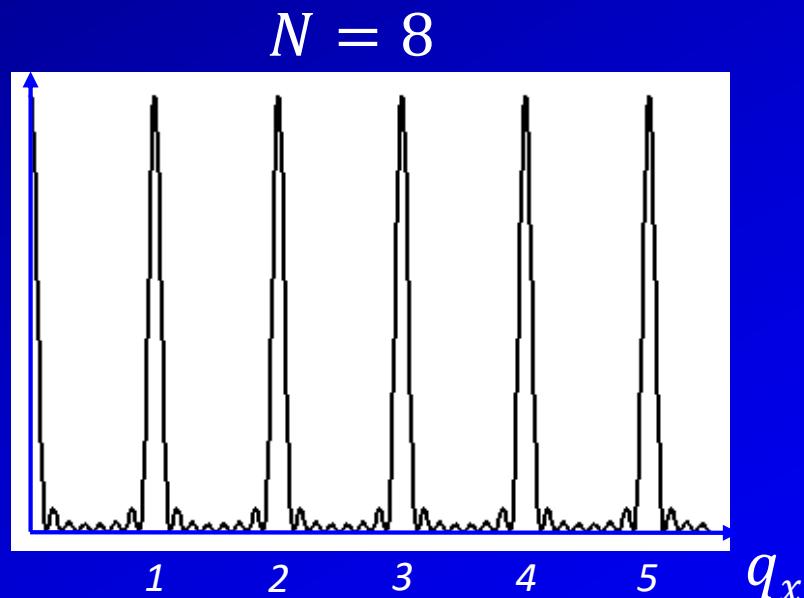
Calculation of a geometric sum

$$\sum_{u=1}^N e^{-2i\pi q_x u} = e^{-i\pi(N+1)q_x} \frac{\sin(\pi N q_x)}{\sin(\pi q_x)}$$

Scattering amplitude max at:

$$\mathbf{q} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$$

\mathbf{q} belongs to the reciprocal lattice



$$I(\mathbf{q}) = f^2 \frac{\sin^2(\pi N q_x)}{\sin^2(\pi q_x)} \frac{\sin^2(\pi N q_y)}{\sin^2(\pi q_y)} \frac{\sin^2(\pi N q_z)}{\sin^2(\pi q_z)}$$

Laue equations- 1

Crystal

- Total electron density $\rho_{tot}(\mathbf{r})$

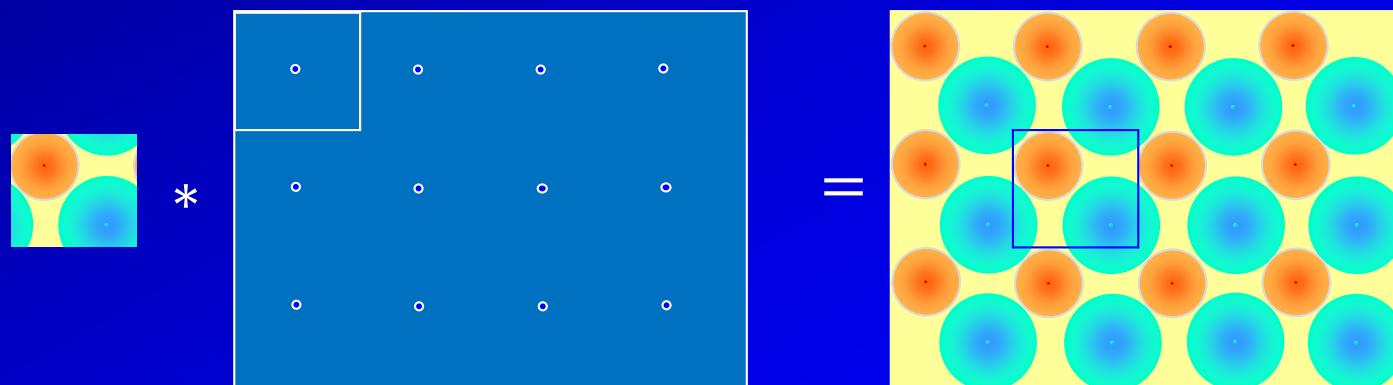
$$\rho_{tot}(\mathbf{r}) = \sum_{uvw} \rho_{uvw}(\mathbf{r} - \mathbf{R}_{uvw})$$

- Electron density of a unit cell $\rho(\mathbf{r})$ (no disorder)

$$\rho_{uvw}(\mathbf{r}) = \rho(\mathbf{r})$$

- Kinematic approximation
- Perfect periodicity

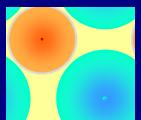
$$\rho(\mathbf{r}) * \sum_{uvw} \delta(\mathbf{r} - \mathbf{R}_{uvw}) \sigma(\mathbf{r}) = \rho_{tot}(\mathbf{r})$$



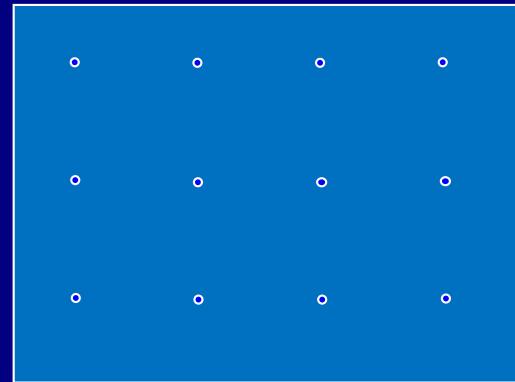
Laue equation - 2

FT of $\rho_{tot}(\mathbf{r})$

$$\rho_{tot}(\mathbf{r}) = \rho(\mathbf{r}) * \sigma(\mathbf{r}) \times \sum_{uvw} \delta(\mathbf{r} - \mathbf{r}_{uvw})$$



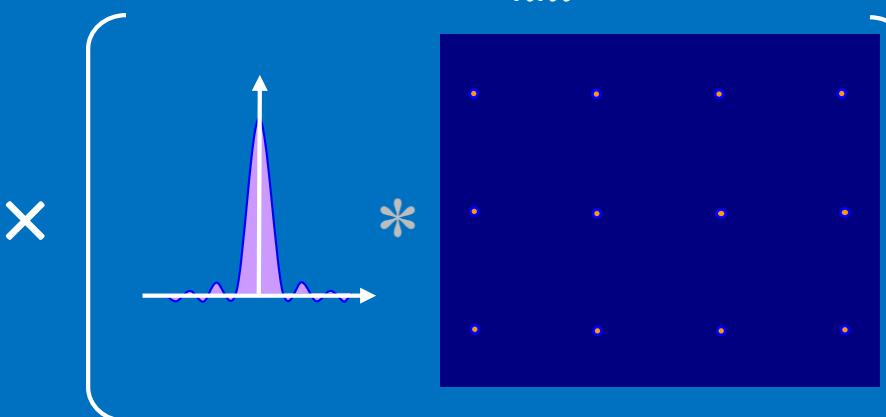
*



$$A(\mathbf{q}) = F(\mathbf{q})$$



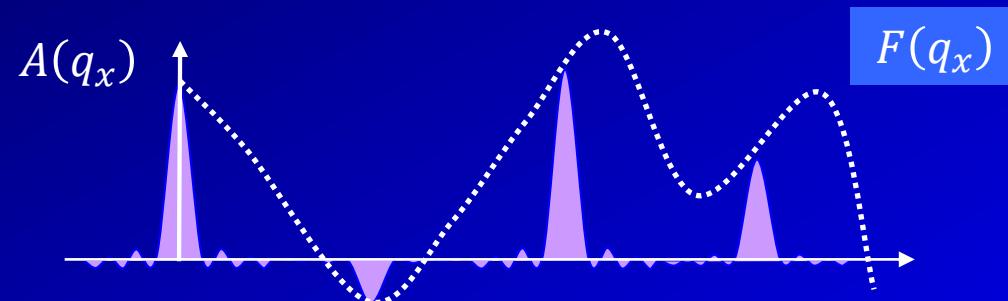
$$\times (2\pi)^3 \left(\Sigma(\mathbf{q}) * \frac{1}{v} \sum_{hkl} \delta(\mathbf{q} - \mathbf{Q}_{hkl}) \right)$$



Laue equations - 3

$$A(\mathbf{q}) = F(\mathbf{q}) \times \left(\Sigma(\mathbf{q}) * \frac{1}{v} \sum_{hkl} \delta(\mathbf{q} - \mathbf{Q}_{hkl}) \right)$$

Each node of the RS replaced by $\Sigma(\mathbf{q})$

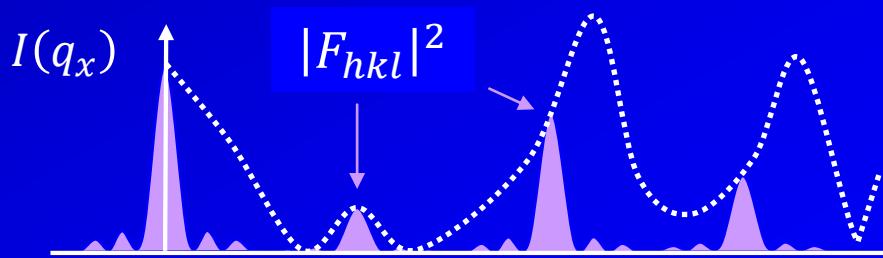


Crystal size cell parameters, no cross terms:

Intensity is max when \mathbf{q} belongs to the RL

$$I(\mathbf{q}) = |F(\mathbf{q})|^2 \times \left(\frac{1}{v^2} \sum_{hkl} |\Sigma(\mathbf{q} - \mathbf{Q}_{hkl})|^2 \right)$$

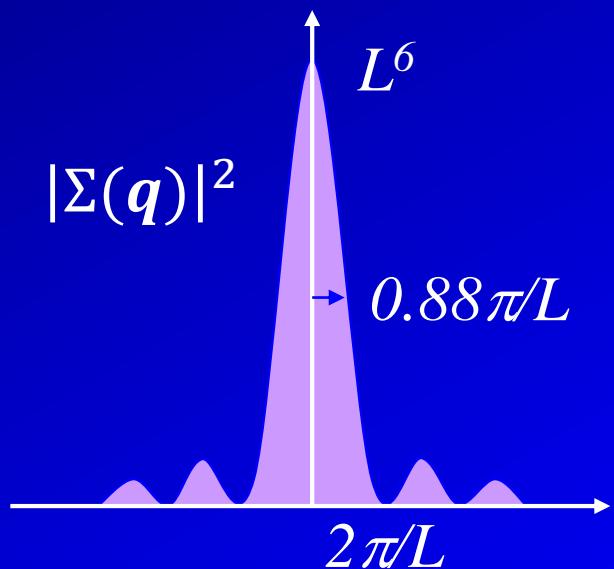
$$F(\mathbf{Q}_{hkl}) = F_{hkl}$$



Fourier Transform of $\sigma(\mathbf{r})$

Fourier Transform
of the « volume » function

$$\Sigma(\mathbf{q}) = \int \sigma(\mathbf{r}) e^{-i\mathbf{q} \cdot \mathbf{r}} d^3 r$$



Exemple of a cube

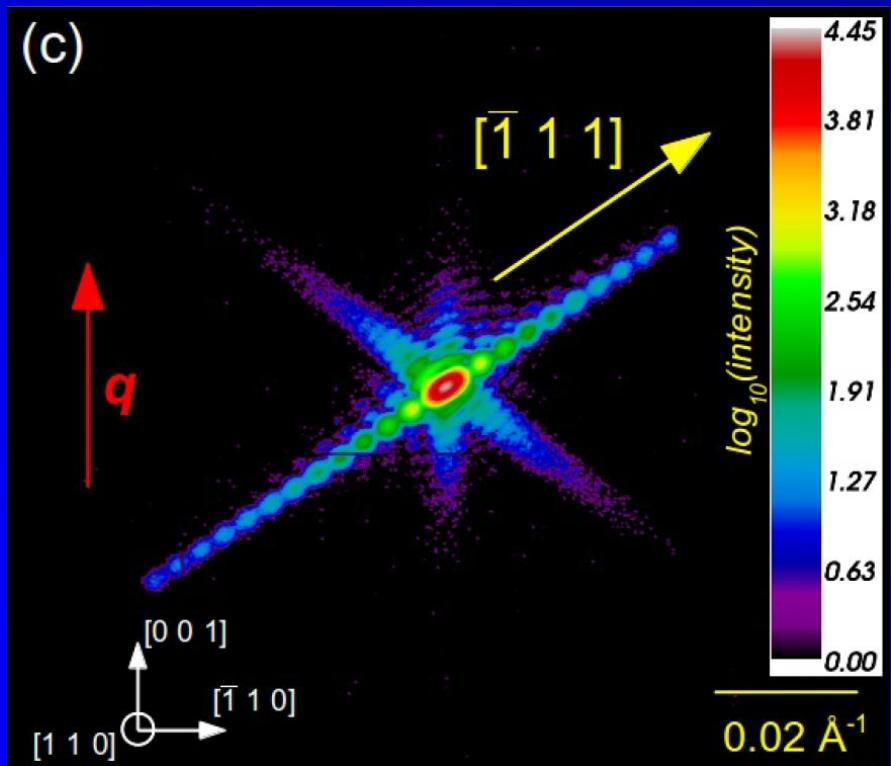
$$\Sigma(\mathbf{q}) = \left(\frac{\sin(Lq_x/2)}{q_x/2} \right) \left(\frac{\sin(Lq_y/2)}{q_y/2} \right) \left(\frac{\sin(Lq_z/2)}{q_z/2} \right)$$

Measure of $\Sigma(q)$

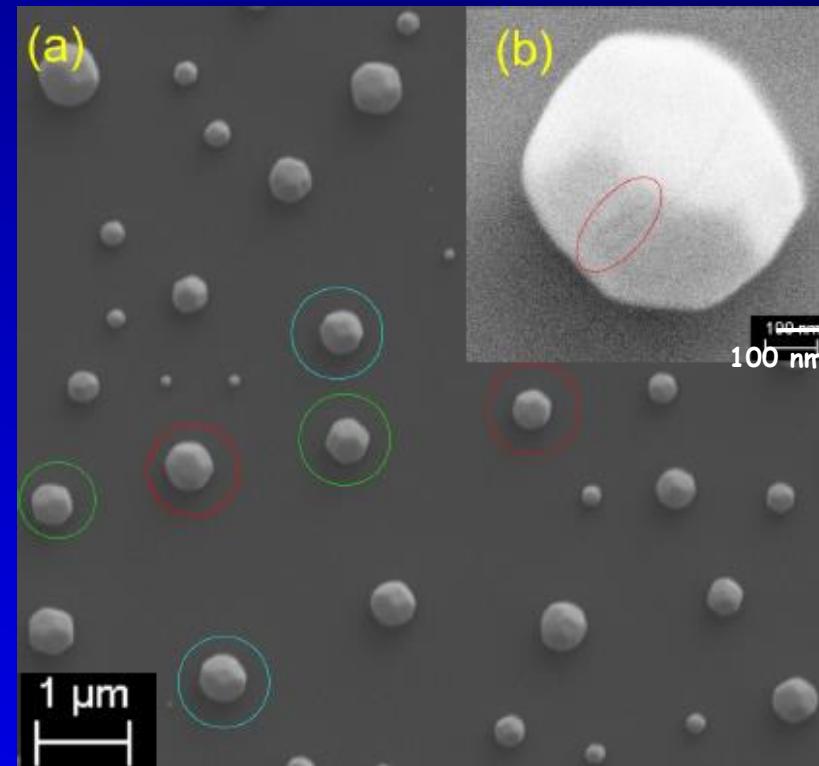
In order to measure $\Sigma(q)$, X-ray must interfere on the whole crystal:

→ Transverse coherence length > crystal size ($1\mu\text{m}$)

→ At least 3rd generation x-ray source



Intensity around the 002 Bragg reflexion
measured on a synchrotron radiation facility.
From Maxime Dupraz Thesis, 2015



Gold on sapphire
SEM image

Structure factor

$$F(\mathbf{Q}_{hkl}) = F_{hkl}$$

TF of the electron density
of the unit cell

$$F(\mathbf{q}) = \int \rho_{uvw}(\mathbf{r}) e^{-i\mathbf{q}\cdot\mathbf{r}}$$

Spherical approximation

$$\rho_{uvw}(r) = \sum_j \rho_j(\mathbf{r} - \mathbf{r}_j)$$

Atoms j , position \mathbf{r}_j
density ρ_j , scattering factor f_j

$$F(\mathbf{q}) = \sum_j f_j e^{-i\mathbf{q}\cdot\mathbf{r}_j}$$

$$F_{hkl} = \sum_j f_j e^{-2i\pi(hu_j + kv_j + lw_j)}$$

- h, k, l , Miller indices,
- u_j, v_j, w_j , reduced coordinates of atoms ($\mathbf{r}_j = u_j\mathbf{a} + v_j\mathbf{b} + w_j\mathbf{c}$)

Friedel law

$$F_{\bar{h}\bar{k}\bar{l}} = F_{hkl}^* \quad I_{\bar{h}\bar{k}\bar{l}} = I_{hkl}$$

Centrosymmetric or non-centrosymmetric (neglecting resonant effects)

The electron density is periodic

therefore admits a Fourier series expansion

$$\rho(\mathbf{r}) = \frac{1}{v} \sum_{hkl} F_{hkl} e^{i\mathbf{Q}_{hkl} \cdot \mathbf{r}}$$

Fourier coefficients
(with a phase)

Spatial frequencies

$$\frac{2\pi}{|\mathbf{Q}_{hkl}|}$$

This is obtained from: $\rho(\mathbf{r}) = \int A(\mathbf{q}) d\mathbf{q} e^{i\mathbf{q} \cdot \mathbf{r}}$ by using: $A(\mathbf{q}) = F(\mathbf{q}) \frac{1}{v} \sum_{hkl} \delta(\mathbf{q} - \mathbf{Q}_{hkl})$

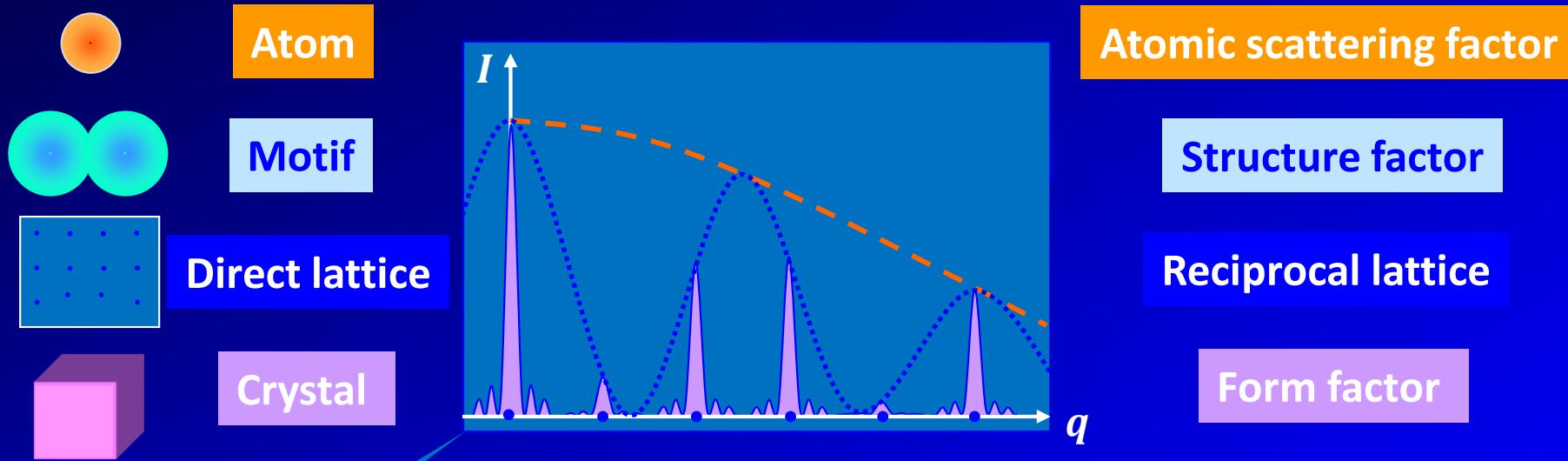
X-rays/Neutrons

For neutrons,
just replace f by b

$$F_{hkl} = \sum_j b_j e^{-2i\pi(hu_j + kv_j + lw_j)}$$

...if one considers only elastic scattering

Diffracted intensity



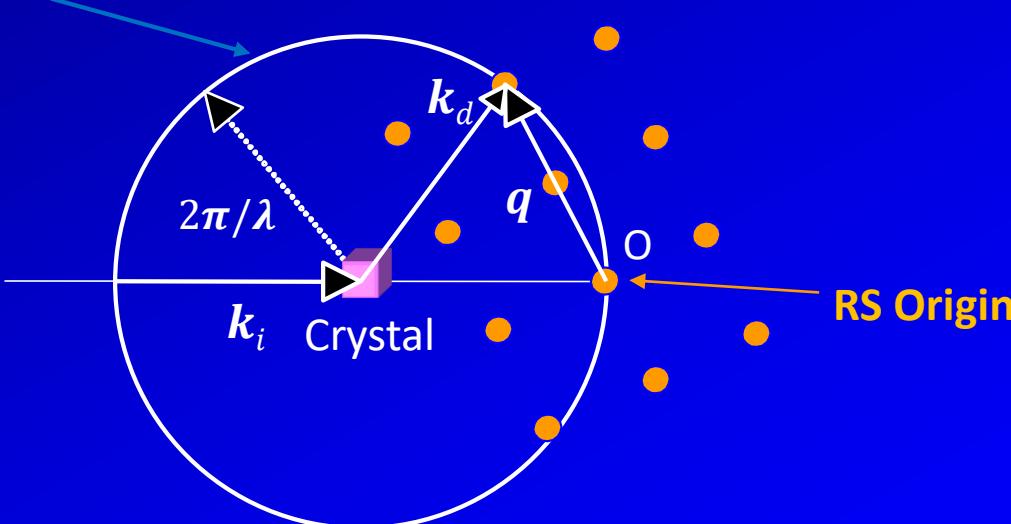
Position of Bragg spots: lattice
Intensity: motif
Profile: Shape/size of grains/crystals

Ewald construction

Geometrical interpretation of diffraction

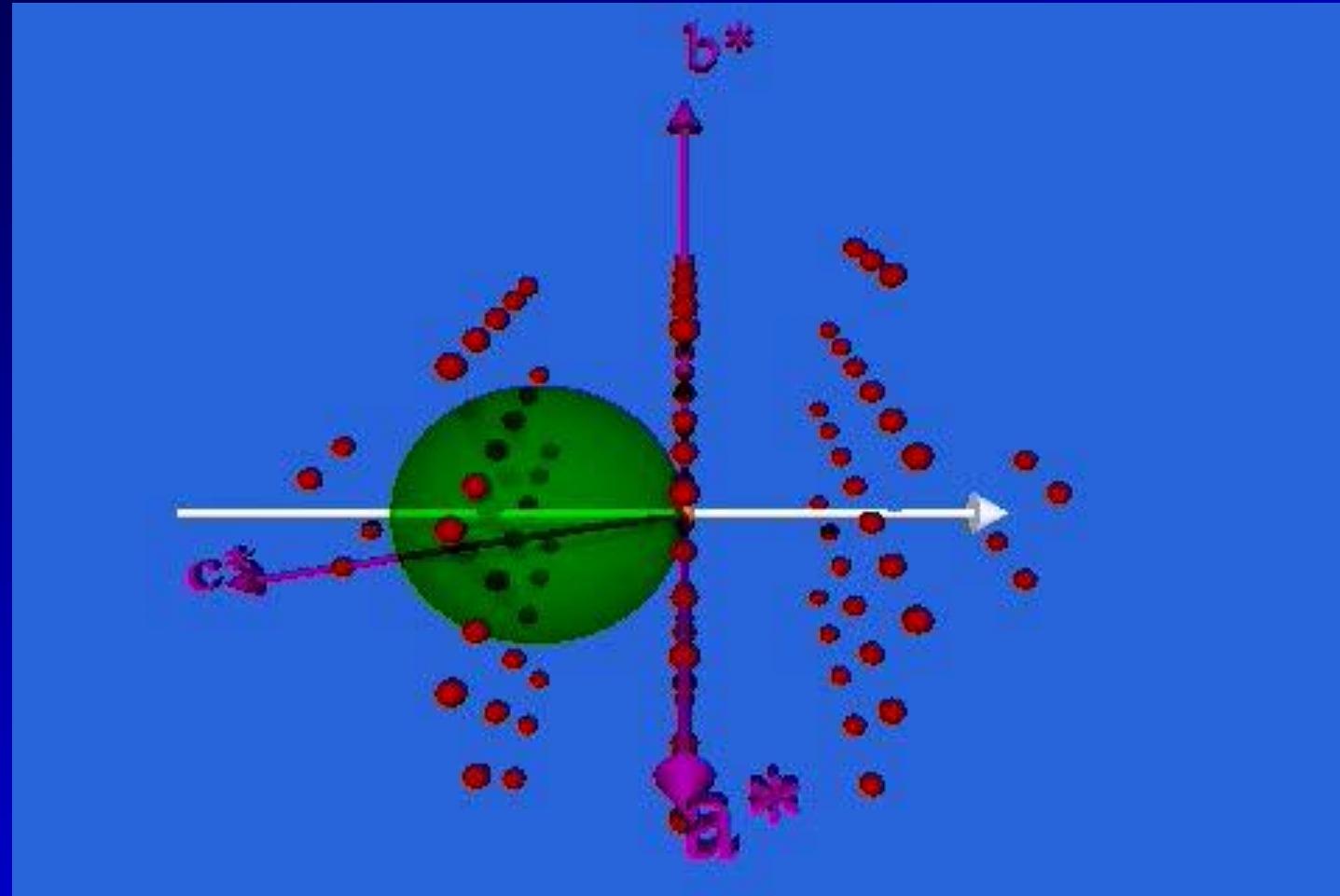
- Elastic scattering : $k_i = k_d = 2\pi/\lambda$
- Laue equations: scattering vector q belongs to RL

Ewald's sphere of reflexion



Diffraction occurs for nodes that lie on the sphere

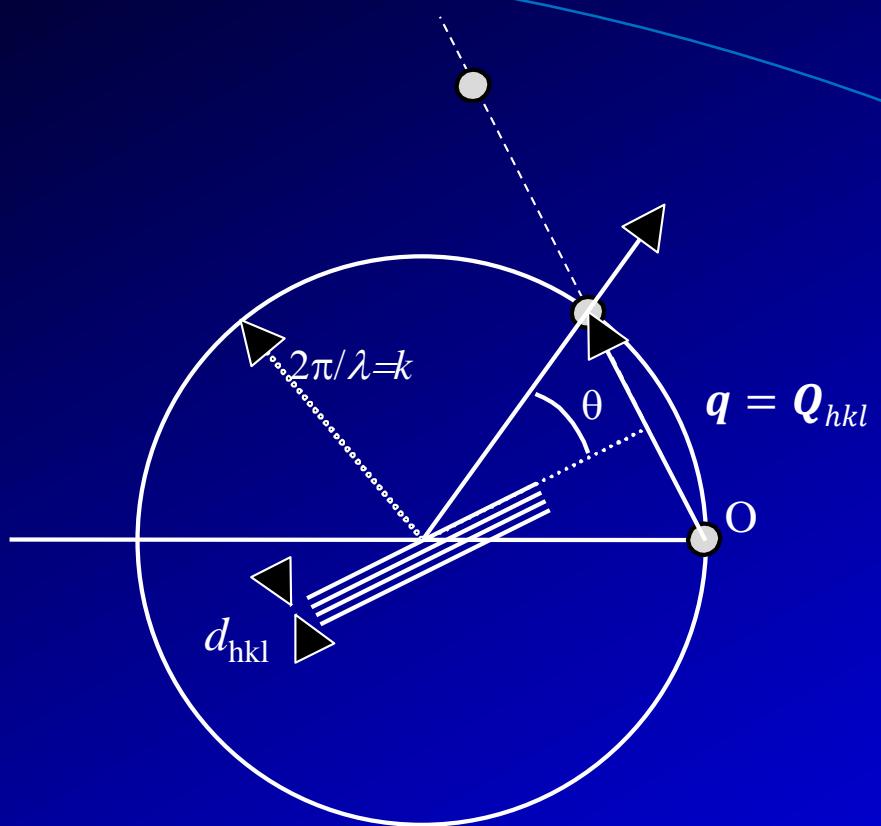
Ewald sphere



© Gervais Chapuis

Not all nodes are accessible

Laue \leftrightarrow Bragg

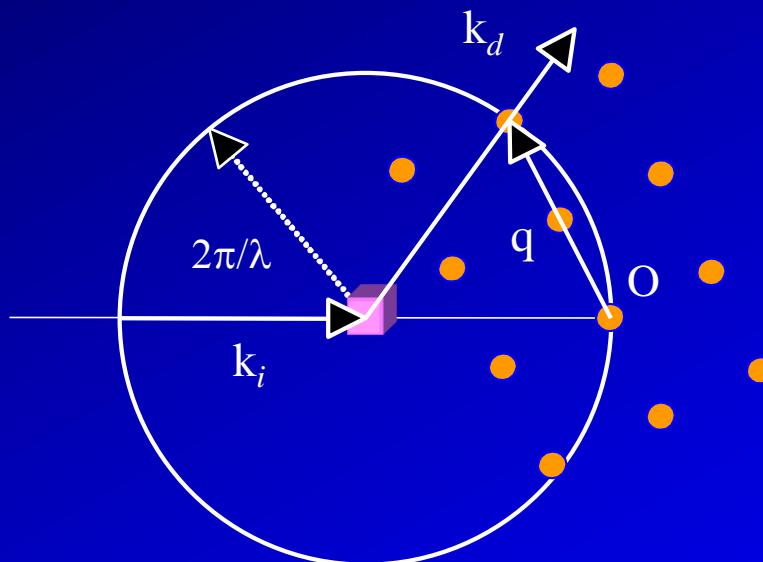


$$q = Q_{hkl} = \frac{2\pi}{d_{hkl}}$$

$$2d_{hkl} \sin \theta = \lambda$$

Experimental methods

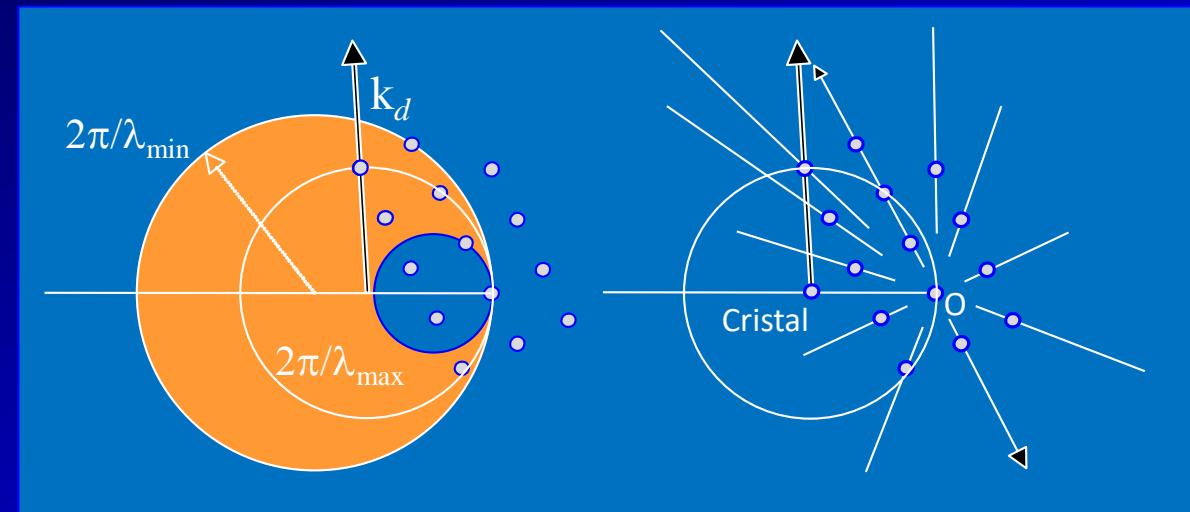
In a 3D crystal, the number of nodes in reflection is small.



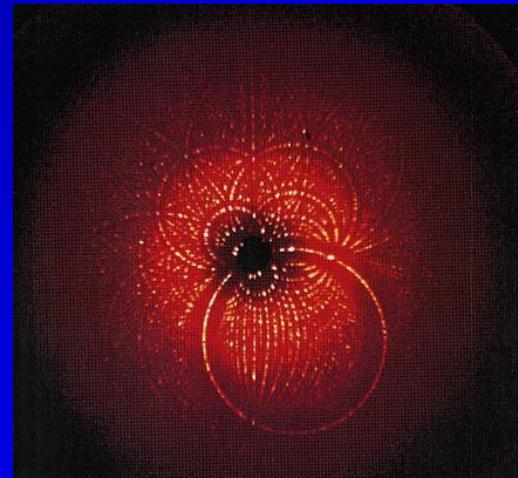
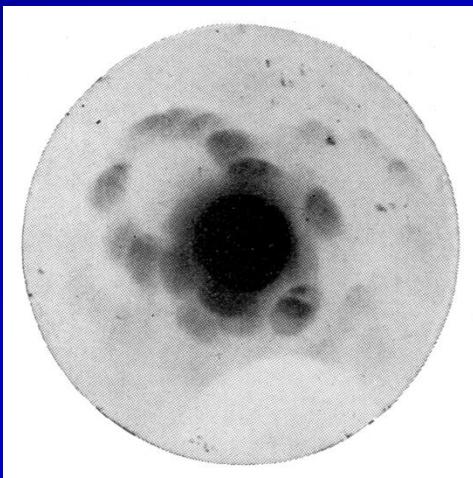
- Laue method (many λ)
- Powder method (many crystals)
- Rotating crystal (many orientations)

Laue method

Diffraction
with white beam

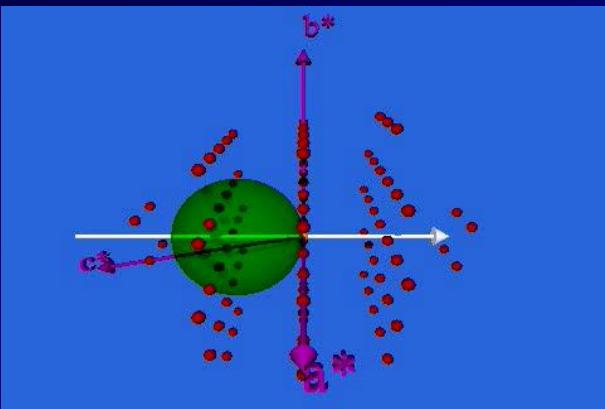


First diffraction 1912 (CuSO_4)
Von Laue, Friedrich, Knipping

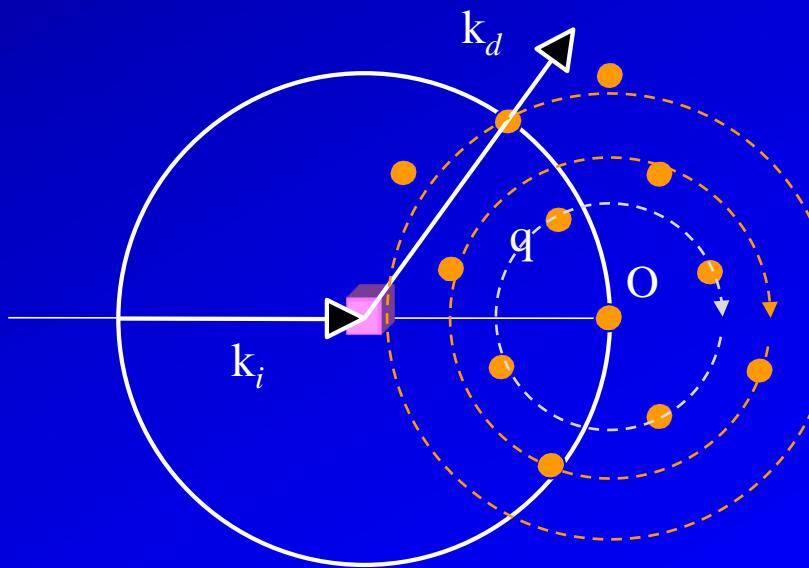


Laue diffraction pattern of MbCO
150 ps pulse (ESRF ID13)
2000 reflections ($E=7\text{-}38 \text{ keV}$)

Rotating crystal

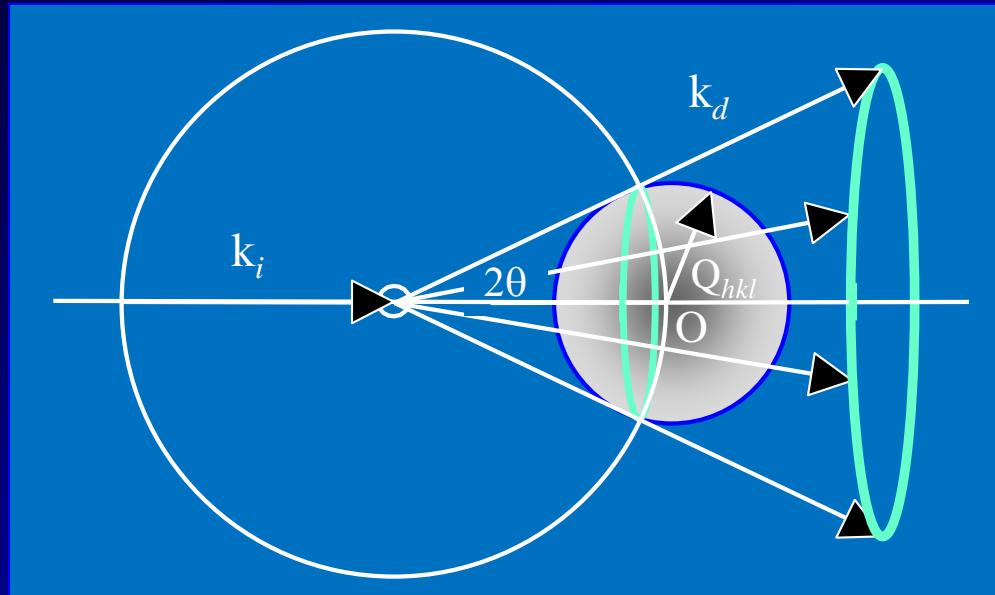


All accessible nodes
Pass through the Ewald sphere

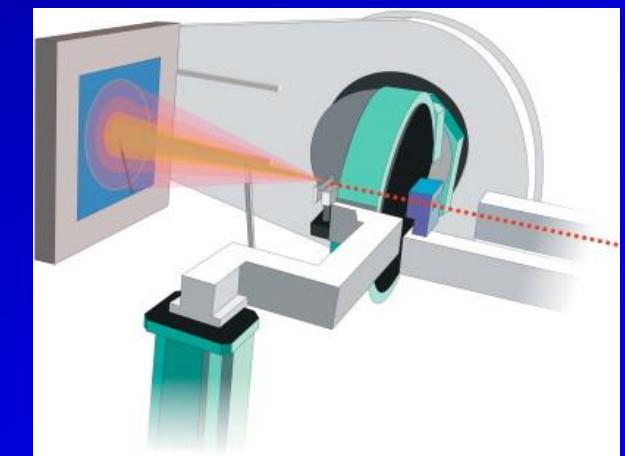
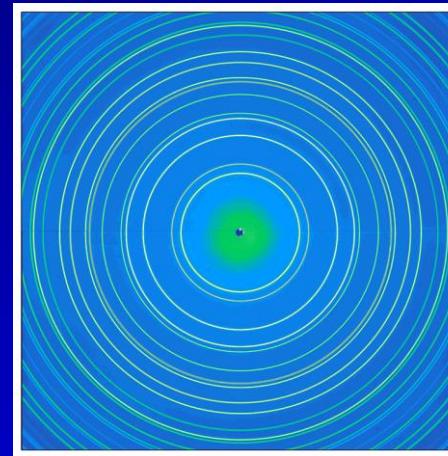


Powder method

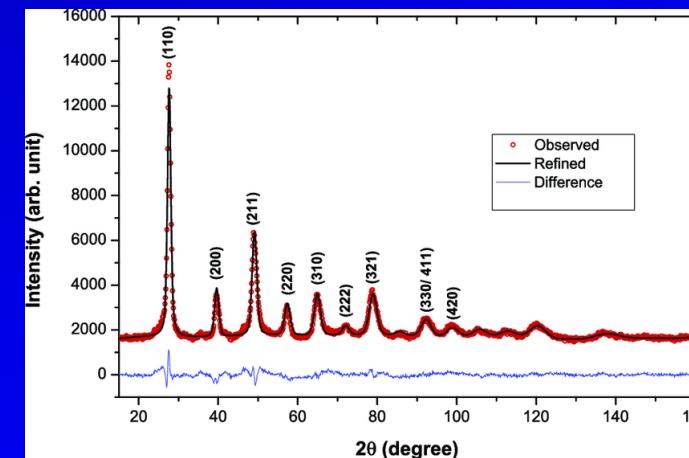
Powder: Set of small crystals ($0.1\text{-}10 \mu\text{m}$) in every possible orientations.



Each node Q_{hkl} on a sphere

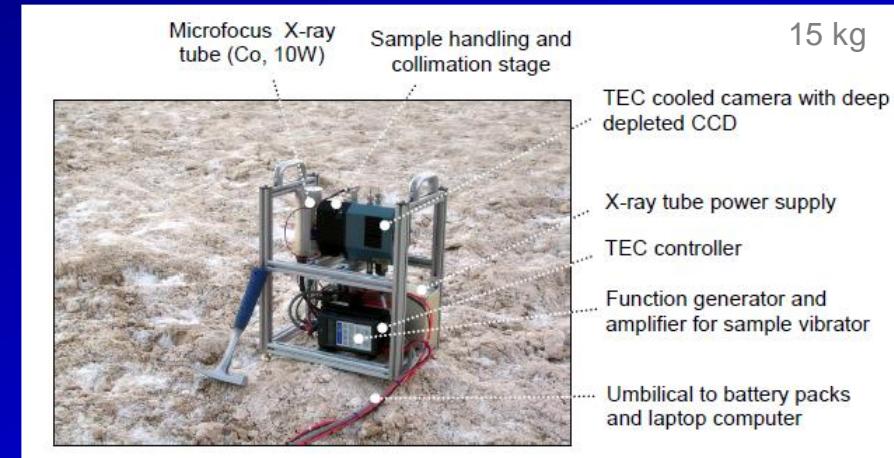


Debye-Scherrer method

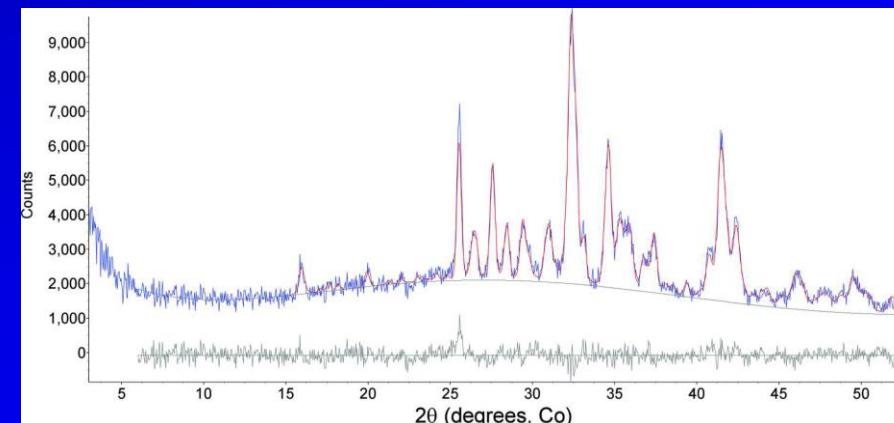


One line: one θ ; distance d_{hkl}

Powder diffraction... on Mars in 2012!



Rocks similar to Mauna Loa, Hawaii



Principle of structure determination

Measure the positions of Bragg spots and their intensity

Determine lattice parameter, symmetry, space group (if possible)

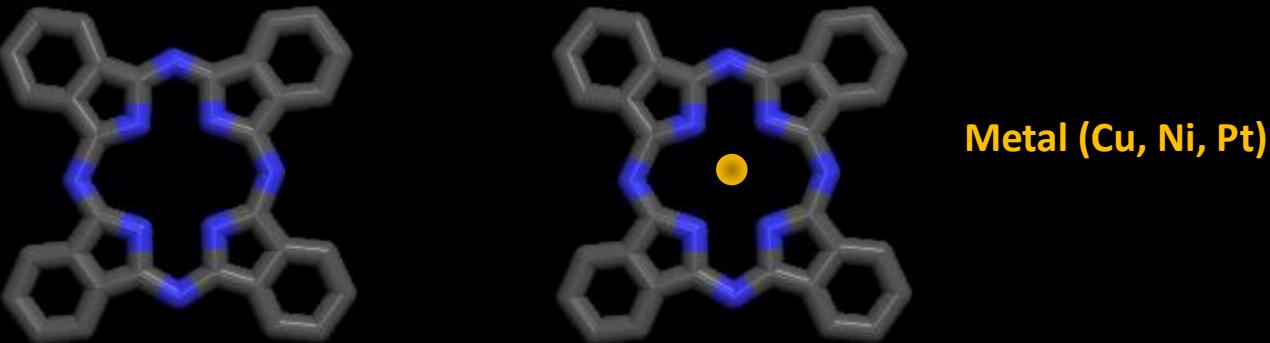
Extract the Bragg spots intensity: $|F_{hkl}|^2$

Solve the phase problem (!)
to get the electron density

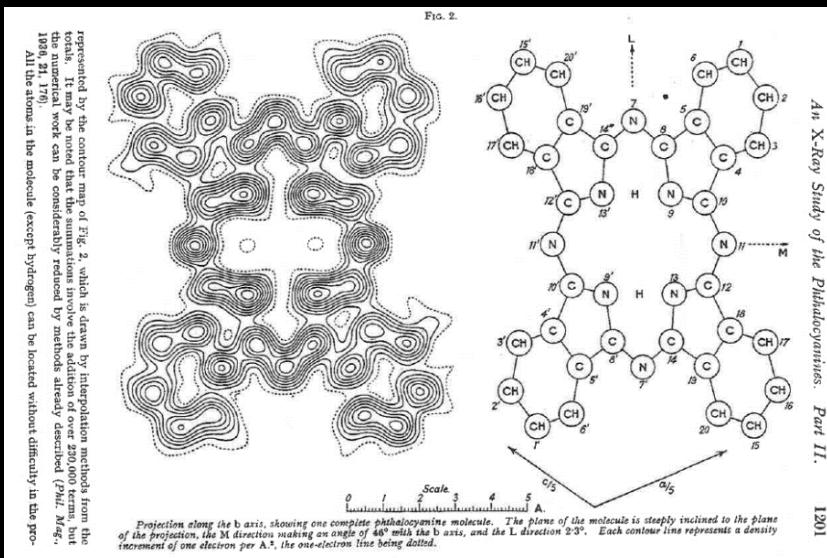
$$\rho_{tot}(x, y, z) = \frac{1}{v} \sum_{hkl} F_{hkl} e^{2i\pi(hx+ky+lz)}$$

Example: Structure of phthalocyanin

J. Monteath Robertson, J. Chem. Soc. 615, (1935); 1185 (1936)



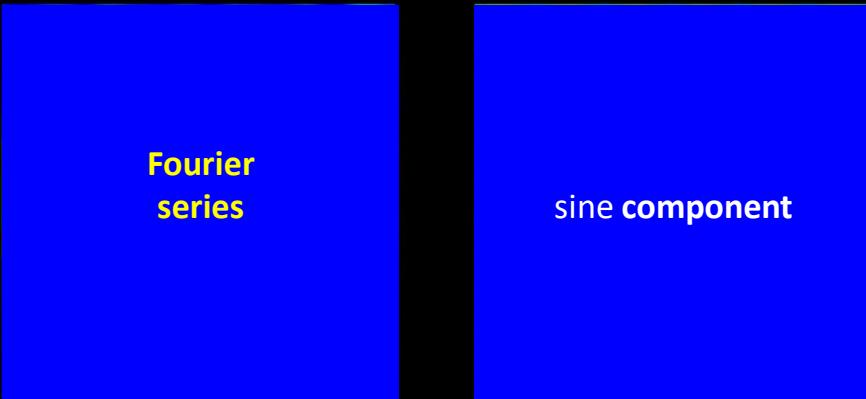
Determination of **phases** of 150 $hk0$ Bragg reflections by comparison.



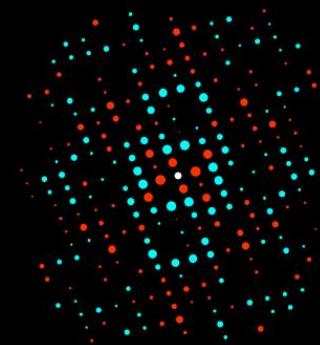
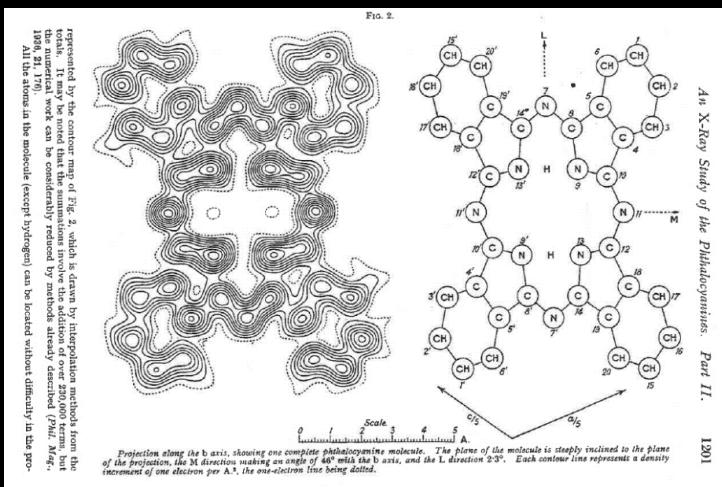
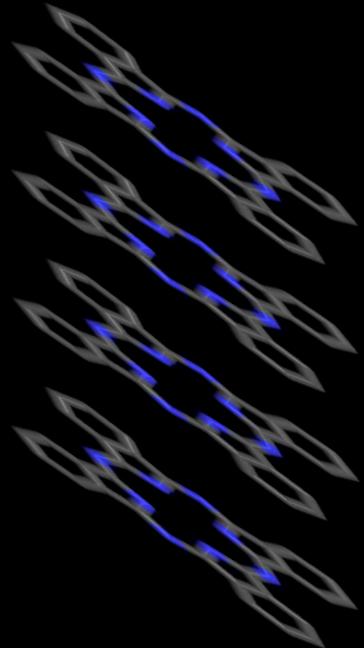
Electron density map
Calculation of 1800 sums of 150 terms (270 000)!
Without computers...

Use of the Fourier series

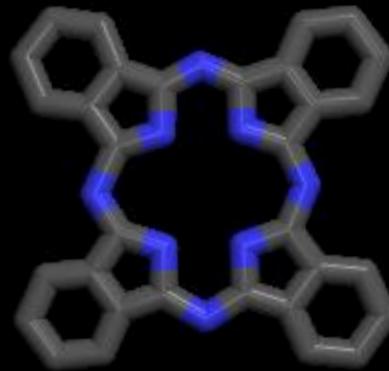
$$\text{resolution} = \frac{2\pi}{q_{Max}} = \frac{\lambda}{2\sin\theta}$$



resolution = 0.95 Å



The molecule is tilted



This is a 3D problem!

Fourier transform
of a CUT
Is the PROJECTION of the FT

Better resolution:
Smaller wavelength
Better crystal quality
Lower temperature

Electron density

$$\rho_{tot}(x, y, z) = \frac{1}{v} \sum_{hkl} F_{hkl} e^{2i\pi(hx+ky+lz)}$$

X-ray give access to
Electron density
and not to
Atom density (neutron)

Precise measurements of intensities →
Electron density

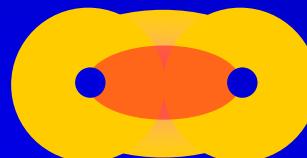
Chemical bonding
Electrostatic potential, charge transfer, dipolar moment, etc.

Calculation of F_{hkl} in the spherical approximation

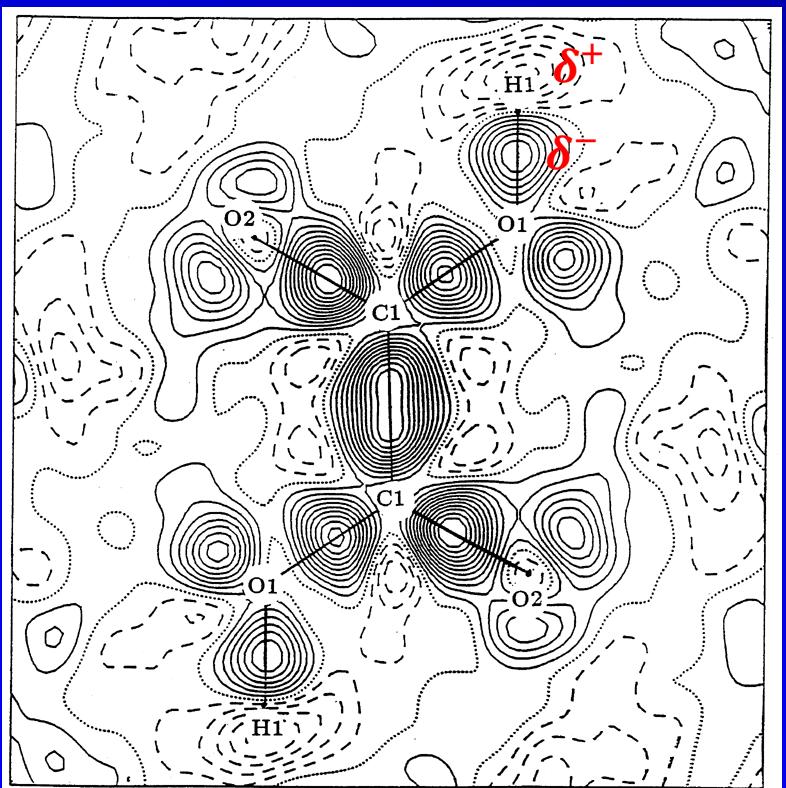
$$F_{hkl}^{calc} = \sum_j f_j e^{-2i\pi(hu_j + kv_j + lw_j)}$$

Deformation map of electron density

$$\rho_{def}(x, y, z) = \frac{1}{v} \sum_{hkl} (F_{hkl} - F_{hkl}^{calc}) e^{2i\pi(hx+ky+lz)}$$

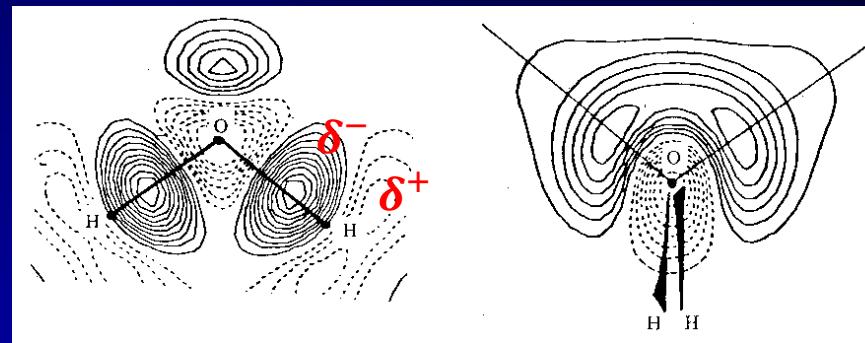


Examples of maps



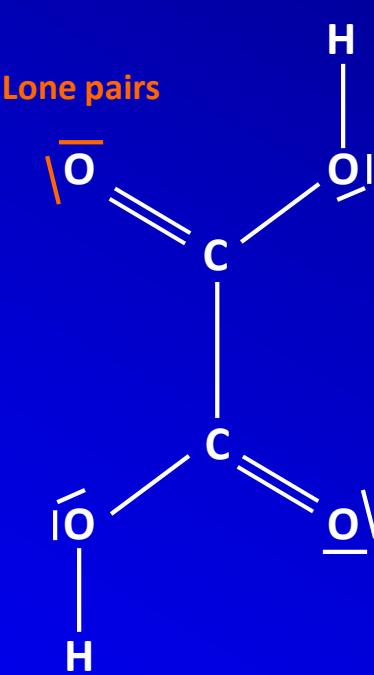
Oxalic acid 15 K

(Zobel et al. 1992)



H₂O in LiOH·H₂O

From Vainshtein

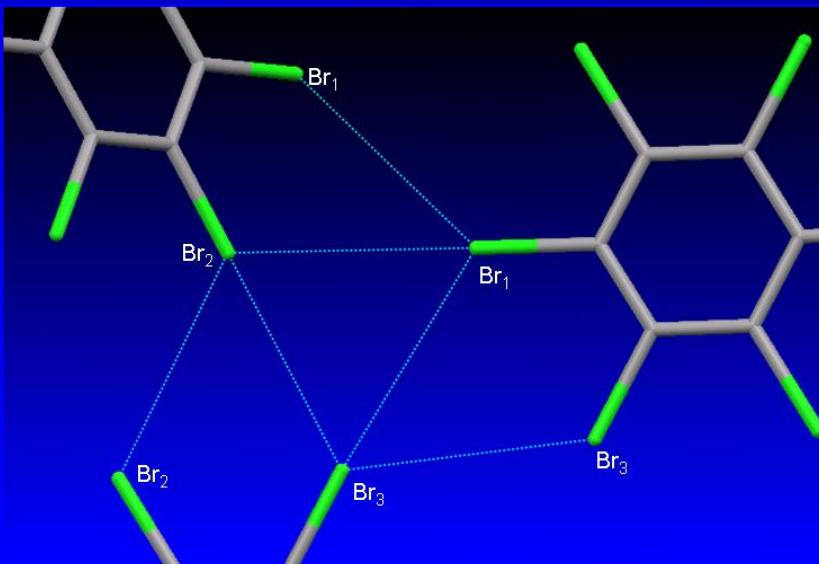


Contour 0.05 eÅ⁻³

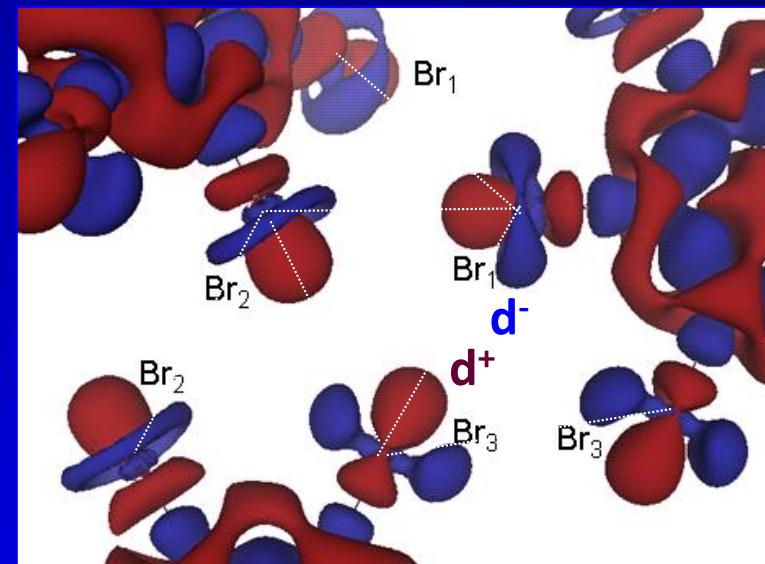
Multipolar development of electron density (Hansen-Coppens model)

$$\rho_{mul}(r) = \rho_{core}(r) + P_v \kappa^3 \rho_{val}(\kappa r) + \sum_{l=0}^{l_{\max}} \kappa'^3 R_l(\kappa' r) \sum_{m=0}^{+l} P_{lm\pm} y_{lm\pm}(\theta, \varphi)$$

Hexabromobenzène C_6Br_6



Static deformation map



From S. Dahaoui *et al.*,
Angew. Chem. Int. Ed., 2009, 48, 3838

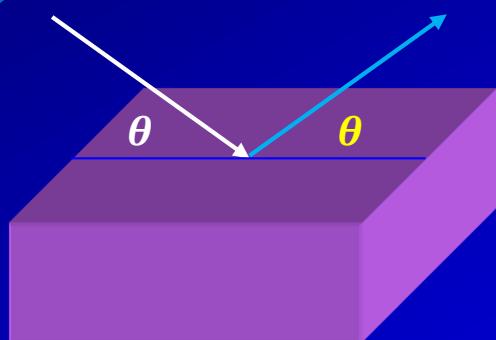
$$\Delta\rho_{\text{stat}}(r) = \rho_{\text{multipole}}(r) - \rho_{\text{spherical}}(r)$$

The **anisotropic distribution electron density** around halogen atom
originates the halogen-halogen interaction

Dynamical theory

Diffraction on perfect crystals

- Dynamical theory (M. Von Laue, P. Ewald, G. Darwin)
 - Depends on the diffraction geometry
- Same diffraction condition (Laue, Bragg) but refraction ($n = 1 - \delta$)



$$2d_{hkl} \sin\left(\theta - 2\frac{\delta}{\sin 2\theta}\right) = \lambda$$

Reflected power (Bragg reflections)

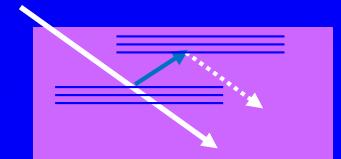
Kinematic Theory

$$P_{\text{ciné.}} = r_e^2 \frac{(1 + \cos^2 2\theta)}{2 \sin 2\theta} \frac{\lambda^3}{v^2} |F_{hkl}|^2 \frac{1}{2\mu}$$

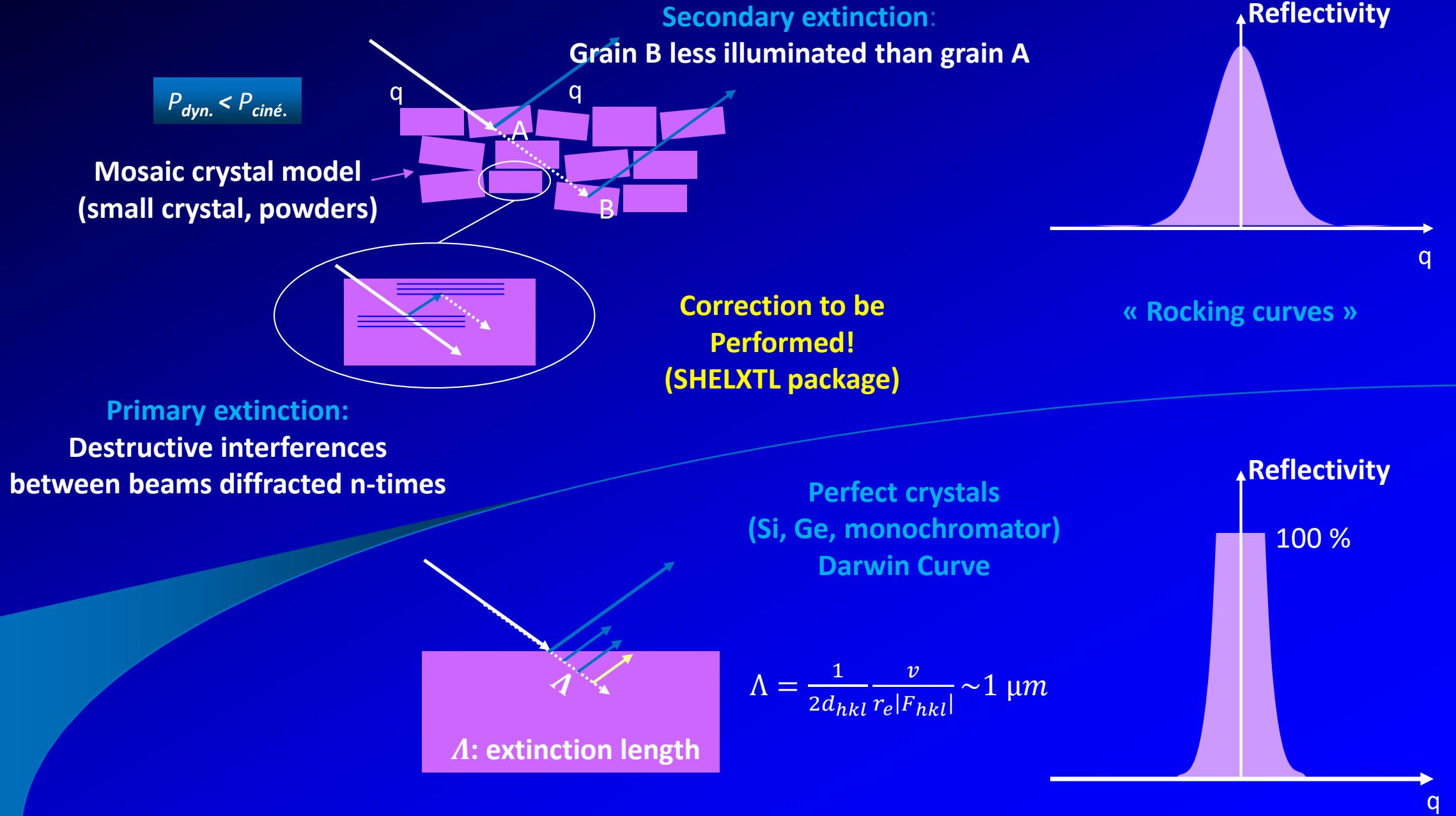
Dynamical Theory

$$P_{\text{dyn.}} = \frac{8}{3\pi} r_e \frac{(1 + |\cos 2\theta|)}{2 \sin 2\theta} \frac{\lambda^2}{v} |F_{hkl}|$$

Dynamical results
is much smaller:
Extinction



Dynamical theory-2



C-4 Disorder

Two types of disorder

- Disorder of **second kind** (liquid-like) :

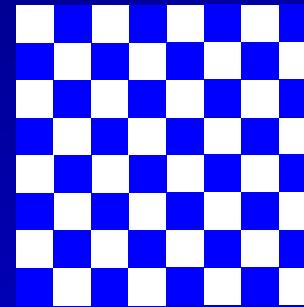
Pair Distribution Function $g(r)$ tends to 0
No diffraction peaks

- Desorder of **first kind** (disordered crystal):

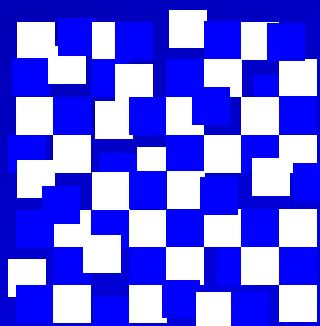
Long range order

Diffraction peak intensity (smaller intensity)
AND
Diffuse scattering

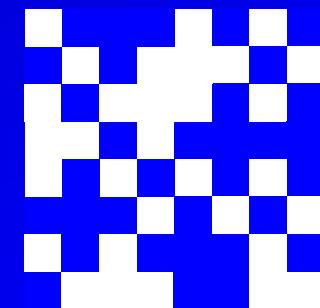
Two types of disordered crystals



Displacement
disorder



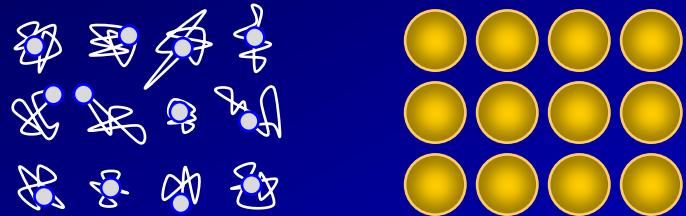
Substitution
disorder



Disorder 1-Effect of temperature

Thermal motion

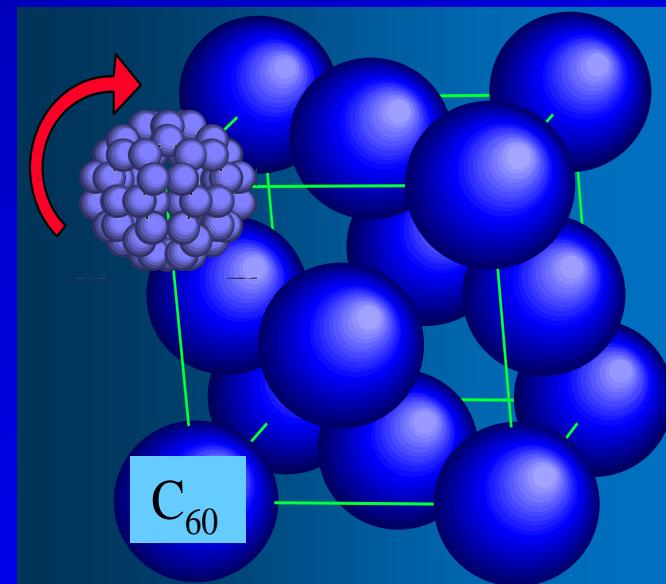
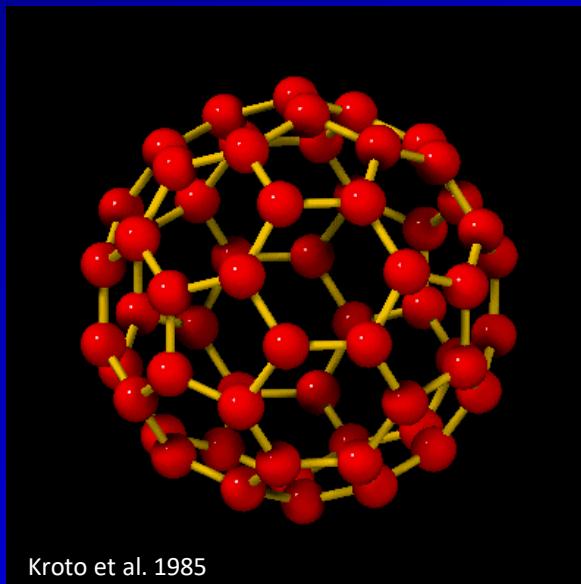
- At a given time, no perfect periodicity
- Periodicity is recovered on average



- Average structure is periodic
- Statistical average \leftrightarrow time average
(Ergodic hypothesis)

Orientational disorder

- Ex : C_{60} , plastic crystals



Real crystals: 2-Defects

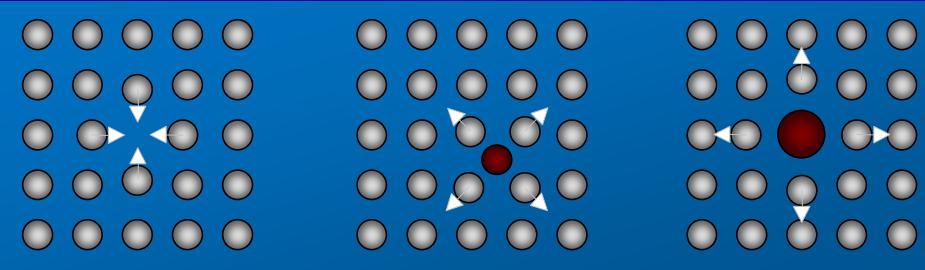
www.techfak.uni-kiel.de/matlwis/amat/def_en/makeindex.html

• Topological defects

- Deformations which change the local atomic environment, such as the number of neighbors

Dimension 0

- Vacancies, interstitials



Vacancy

- Always present ($2 \cdot 10^{-4}$ Cu at 300 K)
- Diffusion, colored centers

Interstitial

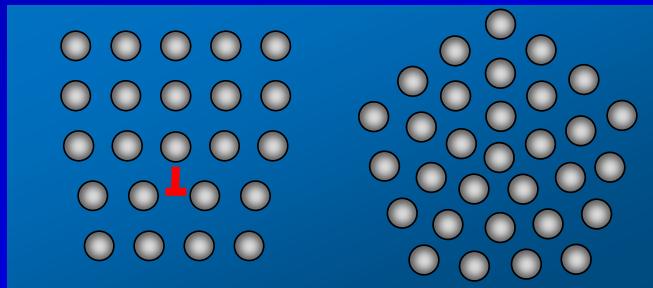
- Plasticity

(Impurity)

- semi-cond. doping
- Colors of jewels
- Plasticity

Dimension 1

- Dislocations (metal plasticity)
- Disclinations (2D, liquid crystals)

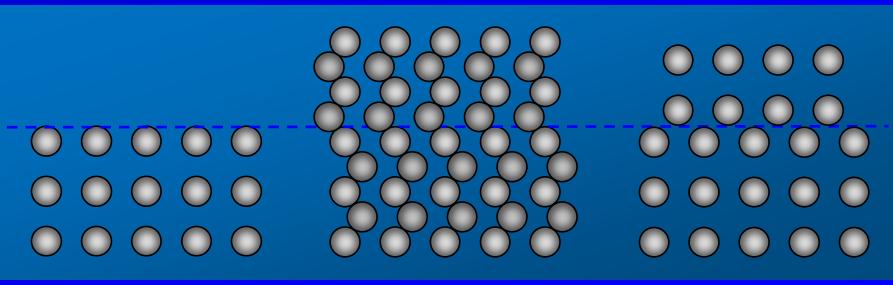


Dislocation

Disclination

Dimension 2

- Surfaces, stacking faults
- Grain boundaries, twins

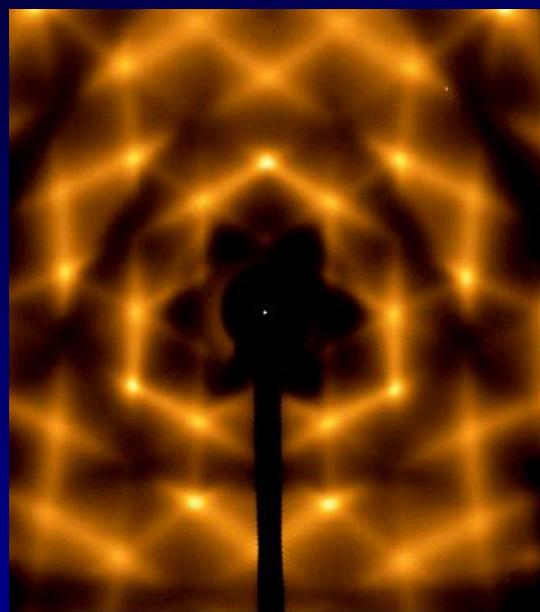


Surface

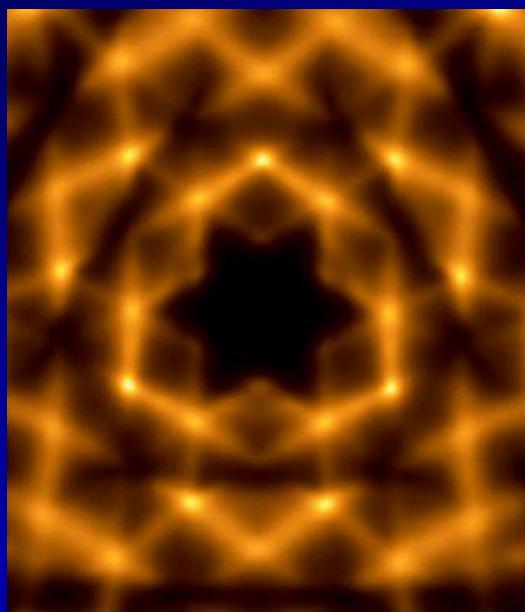
Stacking faults

Grain boundary

Experiment



Simulation



Thermal Diffuse Scattering (TDS)

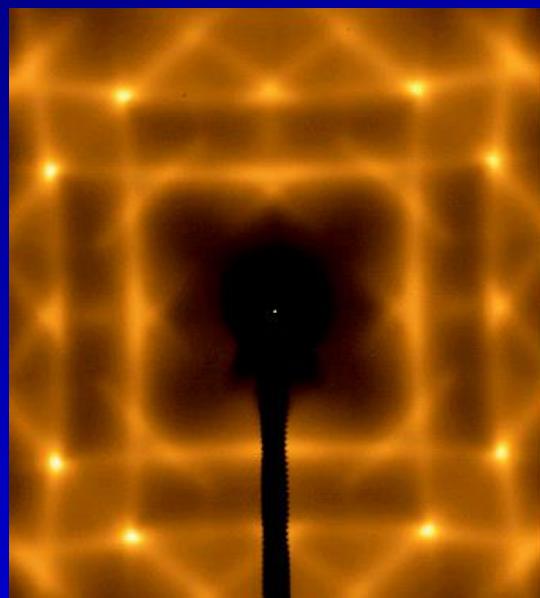
Not elastic...

Si 300 K

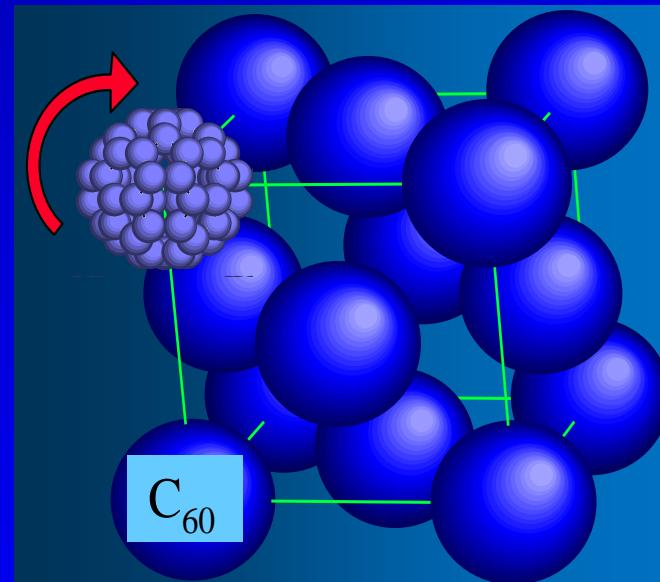
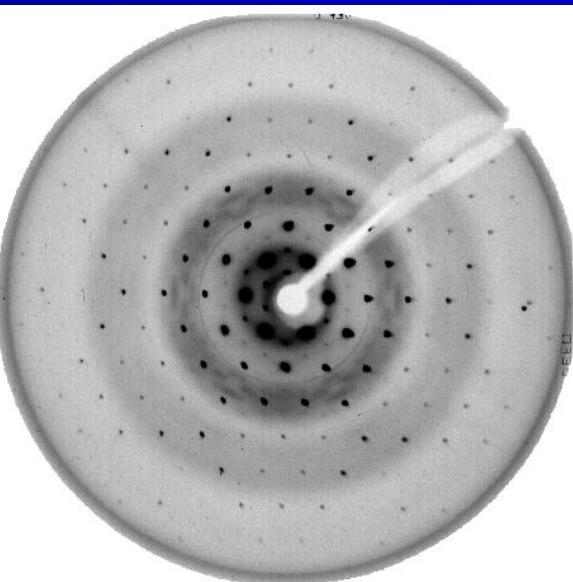
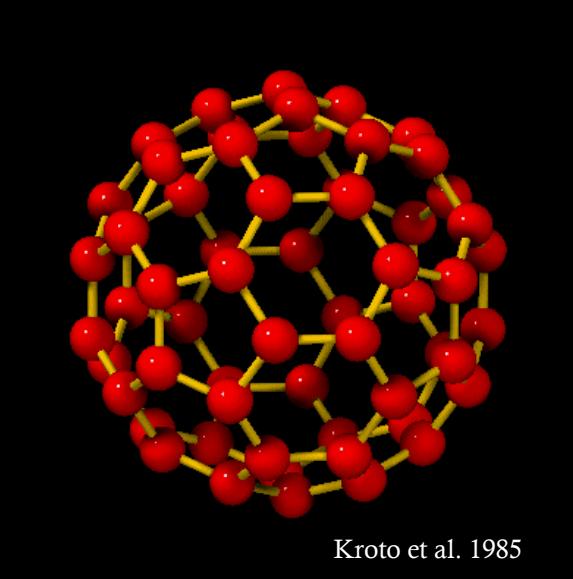
XRay // <111>

False colors,
Log scale.

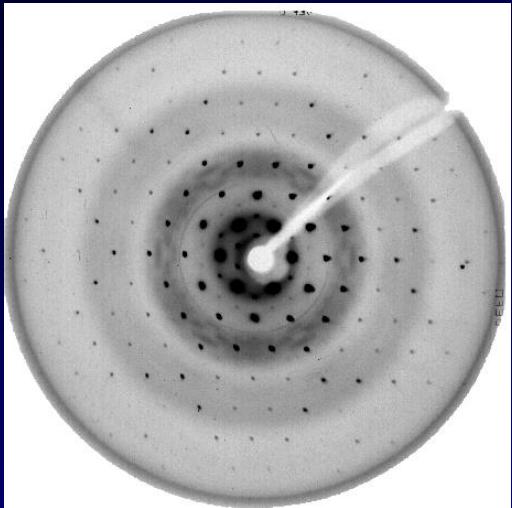
X-Ray // <100>



C_{60} Crystal



General effect of disorder



Bragg reflection

Decreases the Bragg intensity;

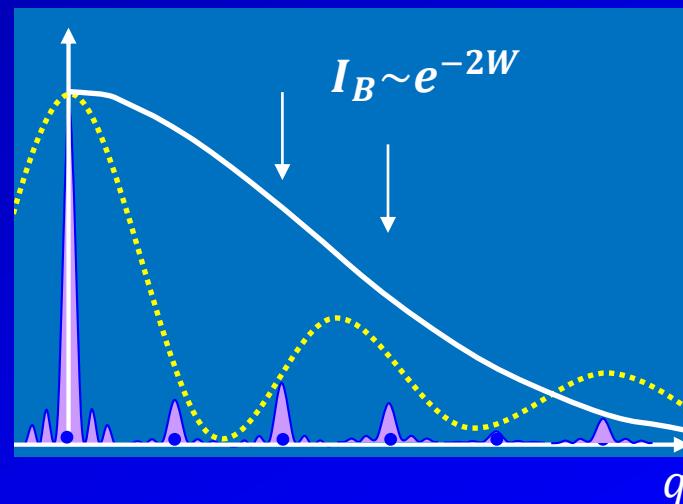
Diffuse scattering

Appearance/Increase of "diffuse scattering"

Very important case: thermal agitation

Debye-Waller factor

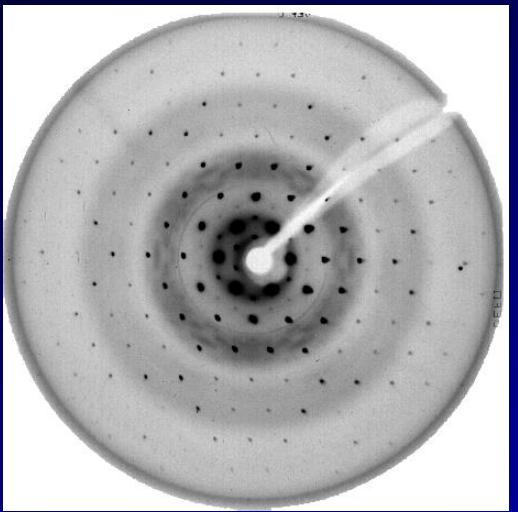
$$e^{-W(q,T)}$$



The larger the temperature
The larger the scattering vector

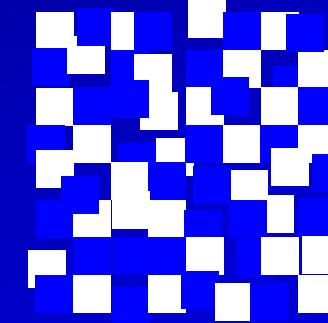
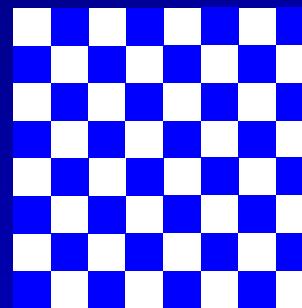
The larger the decrease of
Bragg intensities

Calculation



Bragg reflection

Diffuse scattering



Complex scattering amplitude

$$A(\mathbf{q}) = \sum_{uvw} F_{uvw} e^{-i\mathbf{q} \cdot \mathbf{R}_{uvw}} = \sum_n F_n e^{-i\mathbf{q} \cdot \mathbf{R}_n}$$

Long-range order but
the structure factor depends on R_{uvw}
and cannot be factorized

General expression of scattered intensity

$$I(\mathbf{q}) = A^*(\mathbf{q})A(\mathbf{q}) = \sum_{n, n'} F_n^* F_{n'} e^{-i\mathbf{q} \cdot (\mathbf{r}_{n'} - \mathbf{r}_n)}$$

$\langle \dots \rangle$: space and time average

$$I(q) = N \sum_m \langle F_n^* F_{n+m} \rangle e^{-i\mathbf{q} \cdot \mathbf{r}_m}$$

N = number of unit cells

Diffuse scattering

$$\Phi_n = F_n - \langle F_n \rangle$$

ϕ_n : deviation from average value F_n

$$\langle F_n^* F_{n+m} \rangle = |\langle F \rangle|^2 + \langle \Phi_n^* \Phi_{n+m} \rangle$$

$$I(\mathbf{q}) \cong |\langle F(\mathbf{q}) \rangle|^2 \sum_{hkl} \frac{|\Sigma(\mathbf{q} - \mathbf{Q}_{hkl})|^2}{v^2} + N \sum_m \langle \Phi_n^* \Phi_{n+m} \rangle e^{-i\mathbf{q} \cdot \mathbf{r}_m}$$

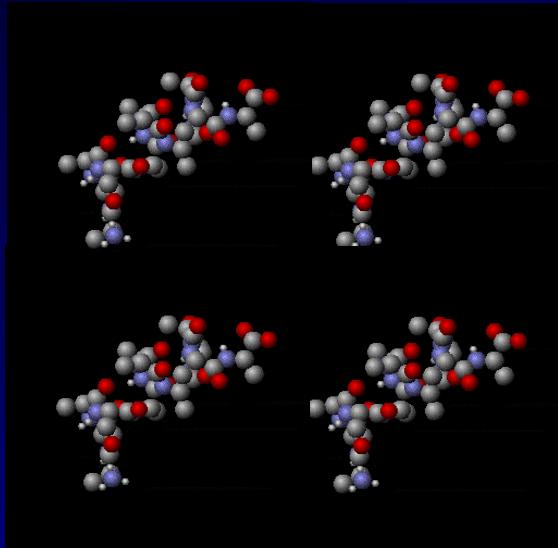
Average structure factor

$I_D(\mathbf{q})$:
Diffraction

$I_{DD}(\mathbf{q})$:
Diffuse scattering

Disorder (deviation from average)

Debye-Waller factor 1

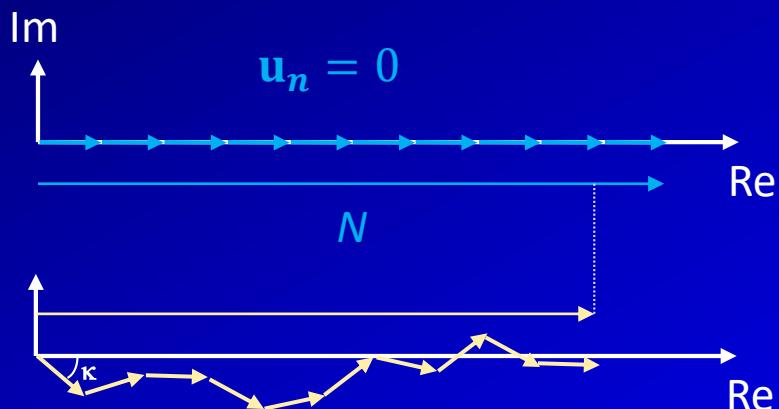


One atom:

$$\langle F_n(\mathbf{q}) \rangle = f \langle e^{-i\mathbf{q} \cdot \mathbf{u}_n} \rangle$$

Harmonic crystal $\langle e^{-i\mathbf{q} \cdot \mathbf{u}_n} \rangle = e^{-1/2 \langle (\mathbf{q} \cdot \mathbf{u}_n)^2 \rangle} = e^{-W}$

$$I(\mathbf{q}) = N^2 |\langle F_n(\mathbf{q}) \rangle|^2 = N^2 f^2 e^{-2W}$$



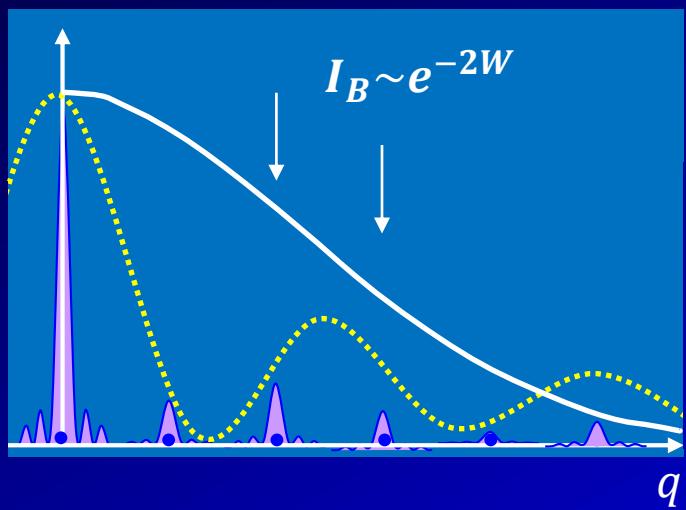
T large, \mathbf{u}_n large, $\Rightarrow \kappa$ large
 \mathbf{q} large $\Rightarrow \kappa$ large

Intensity decreased by factor e^{-2W}

e^{-W}
is the Debye-Waller factor

The lost intensity goes in the TDS

Debye-Waller factor 2



Unit cell with n atoms in \mathbf{r}_j

$$\langle F_n(\mathbf{q}) \rangle = \sum_j f_j e^{-W_j} e^{-i\mathbf{q} \cdot \mathbf{r}_j}$$

$$W_j = \frac{1}{2} \langle (\mathbf{q} \cdot \mathbf{u}_j)^2 \rangle = \frac{1}{2} q^2 \langle u_{jq}^2 \rangle$$

For isotropic vibrations

$$\langle u_j^2 \rangle = \langle u_{xj}^2 + u_{yj}^2 + u_{zj}^2 \rangle = 3 \langle u_{jq}^2 \rangle$$

$$W_j = \frac{1}{6} \left(\frac{4\pi \sin \theta}{\lambda} \right)^2 \langle u_j^2 \rangle \equiv B_{j,T,iso} \left(\frac{\sin \theta}{\lambda} \right)^2$$

Diffraction allows one to get:

$$B_{j,T,iso} = \frac{8\pi^2}{3} \langle u_j^2 \rangle$$

Example 1: Lindemann criterion

Solid melts when the "root mean square":

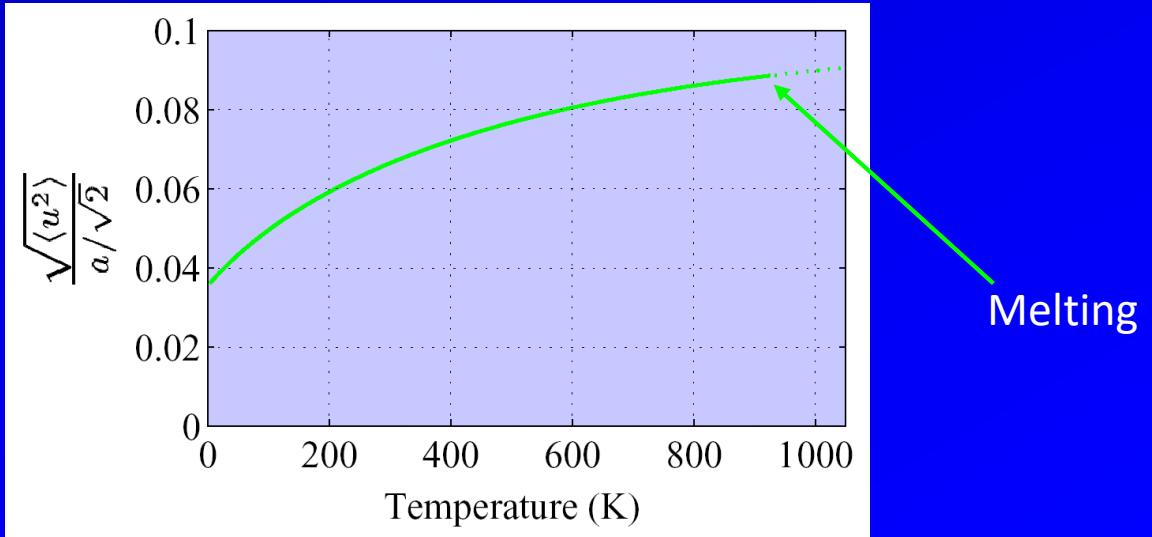
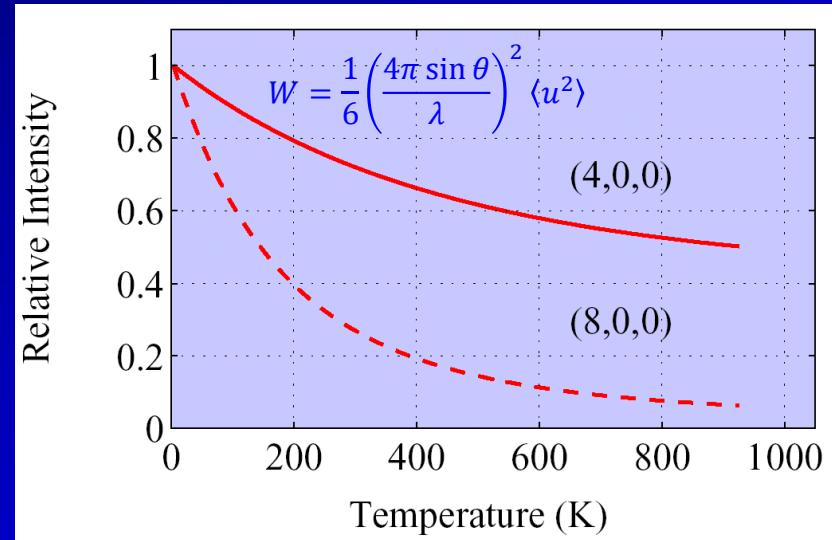
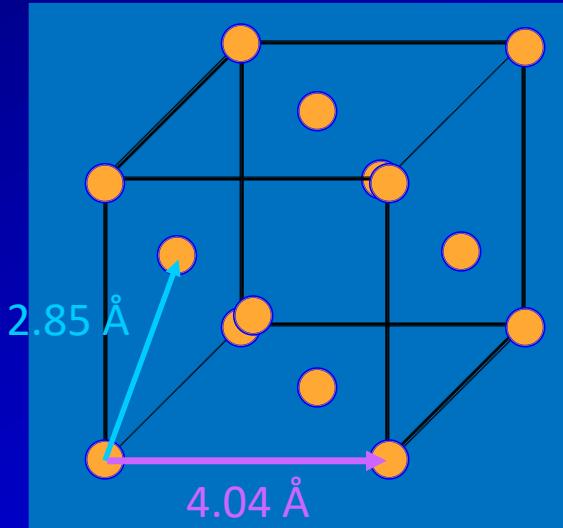
$$\sqrt{\langle u^2 \rangle} = 10\% \text{ } d_{1\text{st}} \text{ neighbour}$$

Aluminium (f.c.c.)

$$a = 4.04 \text{ \AA}$$

First neighbour

$$\frac{a}{\sqrt{2}} = 2.85 \text{ \AA}$$



Example 2:

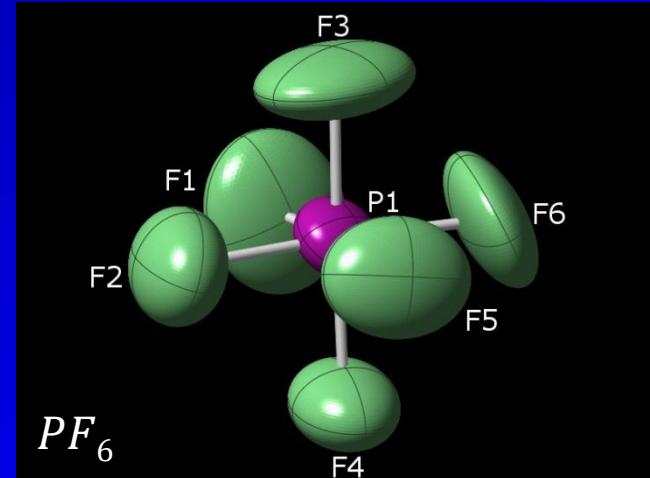
$$W_j = B_{j,T,iso} \left(\frac{\sin \theta}{\lambda} \right)^2$$

$$B_{j,T,iso} = \frac{8\pi^2}{3} \langle u_j^2 \rangle$$

Simple metals: $\sqrt{\langle u^2 \rangle} = 0,05 - 0,2 \text{ \AA}$

Organic compounds: $\sqrt{\langle u^2 \rangle} = 0,5 \text{ \AA}$

Anisotropic B :
 $\langle u^2 \rangle$ depends
on the directions



Thermal ellipsoids

3D- Δ PDF

Pair Correlation Function

$$P(\mathbf{r}) = FT^{-1}(I_{Tot}(\mathbf{q})) = \langle \rho(r) * \rho(-r) \rangle$$

Patterson

$$P_{Pat}(\mathbf{r}) = FT^{-1}(I_{Bragg}(\mathbf{q})) = \langle \rho(r) \rangle * \langle \rho(-r) \rangle$$

Difference: 3D- Δ PDF

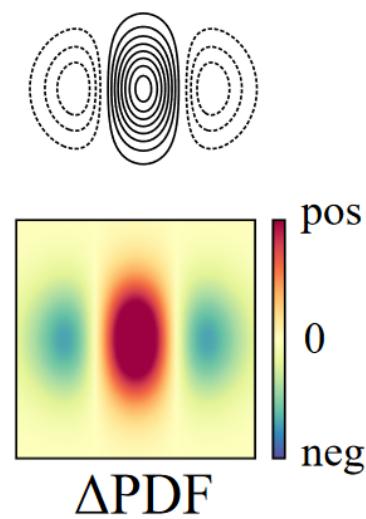
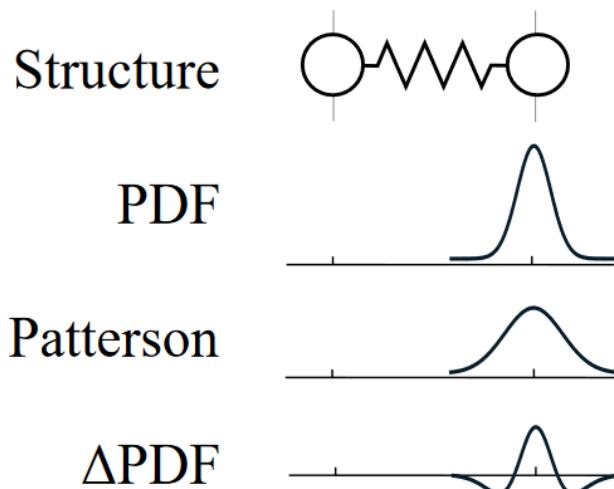
$$\Delta PDF(\mathbf{r}) = FT^{-1}(I_{Diff}(\mathbf{q}))$$

Now measurable in 3D

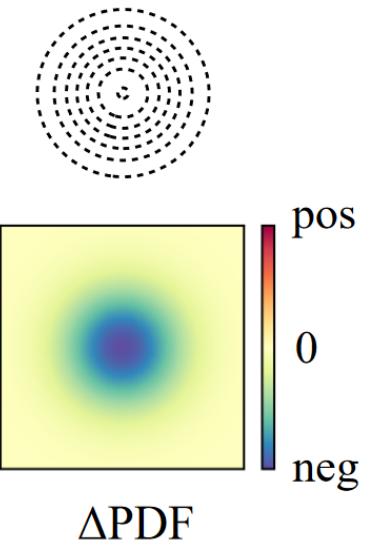
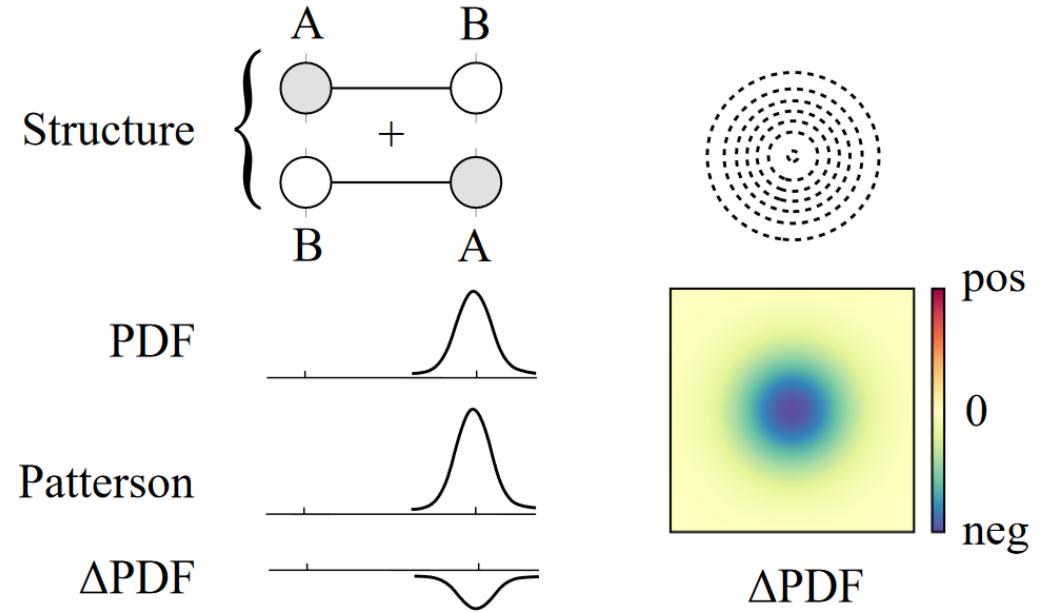
Examples

Each type of disorder has a signature in the 3D- Δ PDF

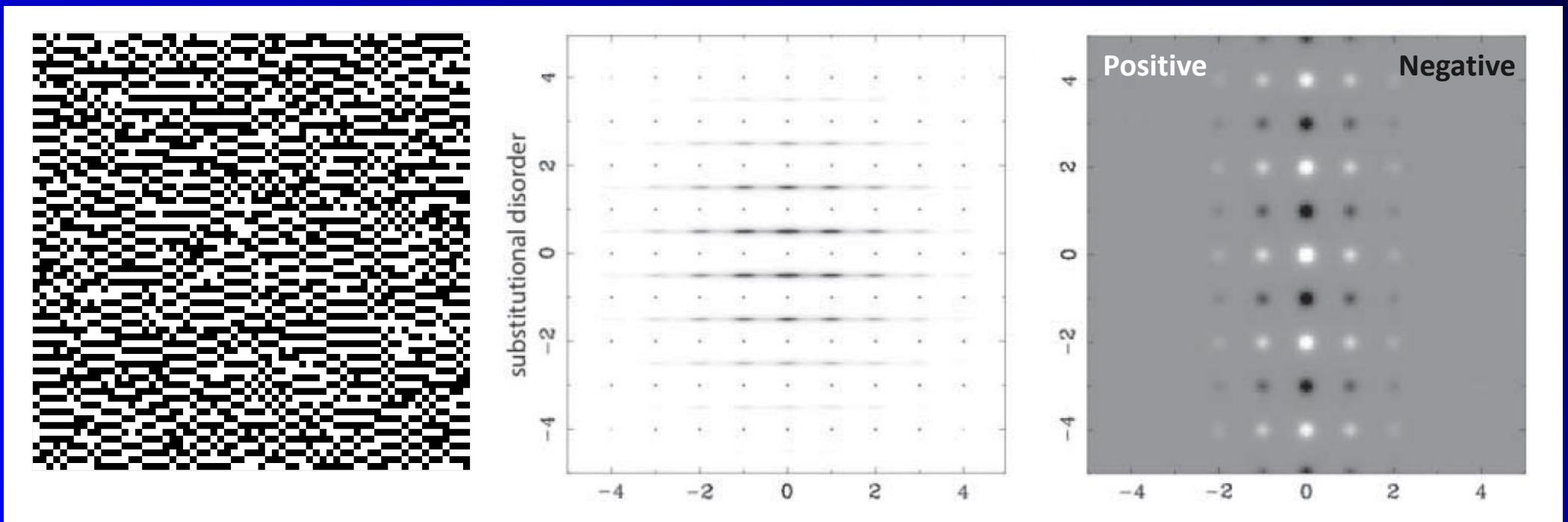
Displacement correlation



Substitutional correlation



Example of short-range order



Local ordering

Total scattering

2D- Δ PDF
Substitution disorder (obviously)

The end!